

THE OHIO STATE UNIVERSITY  
OFFICIAL PROCEEDINGS OF THE  
ONE THOUSAND THREE HUNDRED AND SIXTY-FOURTH MEETING  
OF THE BOARD OF TRUSTEES

Columbus, Ohio, July 7, 2000

The Board of Trustees met at its regular monthly meeting on Friday, July 7, 2000, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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July 7, 2000 meeting, Board of Trustees

The Chairman, Mr. Skestos, called the meeting of the Board of Trustees to order on July 7, 2000, at 10:30 a.m. He requested the Secretary to call the roll.

Present: George A. Skestos, Chairman, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jaclyn M. Nowakowski, and Kevin R. Filiatraut. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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## **INTRODUCTION OF NEW TRUSTEE**

Mr. Skestos:

Please join me in welcoming Mr. Dimon McFerson as a member of our Board of Trustees. Dimon is well known in the community as the past Chairman and CEO of Nationwide.

A native of Los Angeles, Dimon graduated from UCLA and earned a Master's degree from the University of Southern California. He also holds honorary doctoral degrees from Capital University and Ohio Dominican College, where he was board chair for seven years.

A certified public accountant and chartered life underwriter, Dimon is a leader in his industry and in this community. He serves as chairman of the United Way of America board of governors. Locally, he is the board chairman at COSI, and recently completed two years as chair of the Greater Columbus Chamber of Commerce.

Dimon is succeeding Mike Colley on the Board, and has been appointed by Governor Bob Taft to serve a nine-year term ending May 13, 2009. Dimon, I want you to know, when I came on board and they told me it was going to be until 2001, I thought, "My God, I don't know whether I'll live that long; that's a long time from now." But I have! You will even be younger than I when you reach that age, so good luck!

We are extremely pleased to have someone with Dimon's vision and expertise become a member of our Board. Please join me in welcoming him.

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## **PRESIDENT'S REPORT**

President William E. Kirwan:

Although we are now into the summer months, I want to assure you that activity at this amazing University never takes a vacation. We are three weeks into the summer term, faculty are engaged in important research and teaching activities, and major appointments are being made.

One recent appointment that I want to mention to you is Vernon Baisden as our new Assistant Vice President for Public Safety – in other words, our chief safety officer. Vernon officially starts on August 14, but he is in town today, and I wanted to take this opportunity to introduce him to you.

Vernon comes to us from Keene State College in New Hampshire, where he has worked for the past six years as director of campus safety. He earned a reputation at Keene for transforming their department into one with a strong community orientation focused on collaborative problem solving. Vernon also

## **PRESIDENT'S REPORT (contd)**

President Kirwan: (contd)

served as an adjunct faculty member in criminology and as a member of the Keene State College Senate.

He has 15 years of experience in higher education, including 10 years at the University of Michigan -- but we won't hold that against him. In fact, he assures me he has already become a true Buckeye. Vernon, welcome to Ohio State. Will you please stand?

I also want to acknowledge the presence today of Donna Evans, our new dean of the College of Education. You have already approved her appointment and she's now officially at work at Ohio State, and we will hear from Donna just a little later in the program. Donna, welcome to Ohio State as well.

Normally, I use these monthly remarks as a way to keep you updated on a variety of important developments at the University. This month, however, I'd like to focus the majority of my comments on one particular event.

Last month, I led a delegation to three Asian countries selected for their friendship to the University and for the tremendous potential they offer for even stronger relationships with us. We visited Taiwan, Japan, and South Korea.

Our delegation included: Vice President Jerry May; Interim Vice President Dan Sedmak; Vice President Bobby Moser; the president of our Alumni Association, Dan Heinlen; Ginny Trethewey; Joe Alutto, Dean of the Fisher College of Business; David Ashley, Dean of the College of Engineering; and Teckie Shackelford, former chair of our Foundation Board.

I believe this to have been an extremely important trip. In this day and age, a university with the aspirations of ours must have a strong international presence, especially in Asia. We approached the trip with three specific purposes in mind.

First, we wanted to visit major national universities in each country where we either have or are building collaborative relationships. Our focus at these universities was to signal our interest in recruiting outstanding students for graduate study at Ohio State, to create opportunities for our students to study abroad, and to develop stronger research collaboration possibilities for our faculty.

In Taiwan, we met with President Li and deans at National Chung Hsing University -- their major agricultural university in Taiwan -- with whom we will be sponsoring an international conference on trade this December. We also met with President Wei Jao Chen and deans and administrators at National Taiwan University, which is generally considered to be the best university in Taiwan. Interestingly, the director of international affairs at that university is an Ohio State graduate, and we have been assured that the exchange relationship with National Taiwan University is going to grow significantly.

In South Korea, we met with President Byung-Soo Kim and several deans at Yonsei University. Once again, this is the major university in Korea. Here we have an exchange relationship with the Fisher College of Business, where MBA students from Ohio State go to Yonsei for a year and students from Yonsei come to Ohio State to spend a year. It is a wonderful exchange program. We also have an exchange program with them involving undergraduate students.

In Japan, Dean Sedmak and I met with the vice president for medical affairs at the University of Tokyo and developed an exchange agreement for medical

## **PRESIDENT'S REPORT (contd)**

President Kirwan: (contd)

students and interns between our two universities. The University of Tokyo is, of course, the premier university and medical school in Japan.

Our second goal during the trip was to connect with alumni organizations and individuals, and establish the means for building a stronger alumni network in the Pacific Rim. We now have thousands of alumni living in these countries, and many have become enormously successful in business and government. In fact, two members of the cabinet of the new Taiwan President Chen Shui-bian are Ohio State graduates.

We received an enthusiastic welcome from our alumni in all three countries. More than 100 alumni attended receptions in every city and they were very generous with their support. In Taiwan, for example, the alumni club paid for the entire delegation's hotel accommodations and ground transportation. They also presented us with an additional contribution of \$15,000 to begin a scholarship fund that will support students in Taiwan who want to study at Ohio State. The visit clearly energized Ohio State's alumni presence in Asia, and it provided a strong basis for future efforts.

Our third purpose was to meet with government officials and business leaders who can help us strengthen our relationships in that part of the world. Again, we were very successful. We met with the new president of Taiwan, Chen Shui-bian; Mr. Yoshino, CEO of Honda, and other top officials from that corporation; the minister of education in Korea and the vice minister of education in Taiwan; the president of the Korean Highway Corporation, where we have a training program sponsored by our Fisher College of Business and College of Engineering; and 20 business executives in Tokyo, all of whom do business in Ohio.

As I said, I believe this trip was extremely valuable and forms the basis upon which we can build a much stronger presence in these countries.

I came back from the trip with two lasting impressions. First, I've traveled to that part of the world half a dozen times before, but this is the first time that the reality and the immediacy of the global economy was so evident to me. Halfway around the world, I was meeting with the leader of one of the leading employers in Central Ohio – the Honda Corporation. And I met with employees at the Korea Highway Corporation who have received management training right here at Ohio State. It is apparent that the everyday lives and actions of people in Ohio, and at Ohio State in particular, are intricately entwined with the lives and actions of people in Japan, Taiwan, South Korea, and many countries around the world.

The second impression I carried back with me was a confirmation of the international stature and global reach of The Ohio State University. When you hear a large group of alumni in Taiwan, about as far from Columbus as you can get, singing all three verses of *Carmen Ohio* – how many of you knew there were three verses to *Carmen Ohio*? – with passion and gusto, it just leaves an indescribable feeling of pride in this University and its impact on people all over the world.

Before we proceed with the agenda, I also must mention one very sad and disappointing piece of news which you are all aware. As you know, David Williams, who has been a valuable member of The Ohio State University since 1986, and who has done an extraordinary job as vice president for Student Affairs for the past seven years, will be leaving Ohio State at the end of the month.

## **PRESIDENT'S REPORT (contd)**

President Kirwan: (contd)

David's outstanding service to this University has been marked by his dedication, his hard work, his keen insight, and his genuine concern and empathy for our students, staff, and faculty. He also has been one of our most effective ambassadors. Through his efforts, we have strengthened our relations with the larger community. In this regard, his leadership of the Campus Partners effort, and, in particular, the Gateway project, has been extraordinary.

I am extremely saddened to see David leave, as I know all of you are. David, there will be other opportunities in the coming weeks to celebrate your many accomplishments at Ohio State, but I didn't want this occasion to pass without acknowledging your exceptional contributions to our University in so many ways. Would you please stand so we can do so?

We will soon begin the very difficult task of finding a permanent replacement for David. We will launch a national search this month. In the meantime, we are fortunate that we have someone as talented and experienced as Bill Hall to take over as interim vice president for Student Affairs, effective August 1, 2000.

Bill has been with Ohio State 23 years, and has been assistant vice president for Student Affairs for the past six years. He has developed a national reputation for his innovative programs that focus on the quality of the student experience and he enjoys strong support from faculty, staff, and students. Bill, would you please stand and let us welcome you to your new responsibility?

Mr. Chairman, as we have a very full agenda today, I'm going to conclude my report.

At this time, with your permission, I'd like to introduce Dr. Robert Michler, chief cardiologist at Ohio State, and Mr. Reed Fraley, who will be making a very important presentation concerning our plans to develop a heart hospital. So let me turn it over to Dr. Michler and Mr. Fraley.

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## **PRESENTATION ON THE HEART HOSPITAL**

Mr. R. Reed Fraley:

President Kirwan, Chairman Skestos, and Board members, Dr. Michler and I thank you for the opportunity to have a few minutes on the agenda this morning to discuss our continuing and dynamic developmental heart center at Ohio State University. There continues to be a lot of activity around the Medical Center and, while it's a challenging environment, it's also a very dynamic and exciting one.

Before I proceed, I'd like to digress for 30 seconds, and inform you that the *U.S. News and World Report* rankings were released this morning. I'm pleased to report that, once again, the OSU Medical Center and The James are listed on that report as among the 150 hospitals in the country, out of 5,500, that are recognized. Specifically the areas include: endocrinology; gynecology, for both the Medical Center and The James; rehabilitative medicine; respiratory disease; ear, nose, and throat in both The James and the Medical Center; geriatrics, and kidney disease; and urology and cancer at The James.

What we know, through some of these rankings and our analysis, is what we're going to be talking about today -- the Heart Center -- is on the radar screen and we expect to be in those rankings in the very near future. What we always need

## **PRESENTATION ON THE HEART HOSPITAL (contd)**

Mr. Fraley: (contd)

to be cautious about is the importance of these types of lists. This one has been around for some 10 years now, and has become the benchmark by which institutions are rated or ranked. It has become the website for which people check to see what hospital to come to. So we are very pleased to have the only hospitals in Central Ohio that are recognized.

To move back to the topic at hand -- our OSU Heart Center. Over the past years, you've heard from us about a number of projects related to heart care. We're here this morning with another update on our efforts to build a world-class heart program, and to continue to build that program, which you allowed us to start several years ago.

OSU has a rich history in setting the pace for heart care in Central Ohio, as you'll hear later from some of the comments that Dr. Michler will be making. But most recently, you know we've continued that commitment as evidenced by much of the national talent we've been recruiting. National talent whom have been on the forefront of such things as minimally invasive surgery and the use of robotic assistance. In fact, Dr. Michler has been on the forefront of bringing those techniques to our Medical Center.

Additionally, as you have been very involved, the most visible step we've taken to enhance our cardiovascular services is the new OSU Heart and Lung Institute scheduled to open within a few weeks. This is truly a state-of-the-art facility, and puts us in the forefront of research to help us eliminate heart and lung disease -- ideally, to even put ourselves out of business in a few years.

Today we're here to ask your support in another important step in the continued commitment to world-class health care at The Ohio State University Heart Center. We're asking your permission to hire architects to assist us in designing a proposed heart hospital adjacent to the Heart and Lung Institute. This proposed new heart hospital, combined with the Heart and Lung Institute, will provide a unique combination of expertise. More importantly, it will allow us to take the leading edge discovery research of the Heart and Lung Institute and immediately transfer it to the bedside to improve the quality of life for our patients and the citizens of Ohio.

The Ohio State University Hospitals Board acted upon this recommendation on June 22, and recommended to this Board, hopefully to seek your permission today, for the approval to actually seek out those architects.

There is a very definite distinction in what we're doing here at OSU. We can't lose sight of the fact that we are the only academic medical center in Central Ohio. Thus it is a responsibility that we take seriously and one that requires us to acquire new knowledge, to teach that knowledge, and then to translate that knowledge to the patient care as quickly as we can. It is what our community, the citizens of Ohio, expect from us and what we are committed to doing.

The next step on the road of creating that world-class heart center is the proposed new heart hospital. With that as an introduction, I'd like to turn it over to Dr. Michler, who will give you a broad overview of the exciting vision for the new OSU Heart Center.

Dr. Robert E. Michler: [PowerPoint Presentation]

Thank you, Reed. What I'd like to do today is to give you a sense of what distinguishes us and why we should consider this kind of effort. Most

## **PRESENTATION ON THE HEART HOSPITAL (contd)**

Dr. Michler: (contd)

importantly, at the core, at the very heart and soul of who we are as an institution, it is clear that we must provide world-class care. And we must do so by fulfilling three aspects of that: 1) to be comprehensive and address the needs of our patients; 2) to advance basic and clinical cardiovascular research; and 3) to promote the education of those individuals who come to us.

How are we planning on achieving this mission? Clearly to begin with we must merge and join cardiology and cardiothoracic surgery into a common entity that will be discovering new technology, new techniques, and new methods of diagnosis. With the help and aid of The Ohio State University, the College of Medicine and Public Health, and the Hospitals this new Ohio State University Heart Center, comprised of a Heart and Lung Institute and a state-of-the-art heart hospital, will become a premier center for cardiovascular medicine in the United States.

But let's stop for a moment and ask ourselves a simple question, how big a problem is heart disease? Should we focus our attention on heart disease at all? Let me address that by telling you that heart disease is the number one killer in the United States and in developing countries. In fact, heart disease kills more people in this country than the next seven leading causes of death put together.

How does that impact on the State of Ohio? In several ways. Every one in five people in the State of Ohio have cardiovascular disease. We rank 7<sup>th</sup> in the number of deaths from coronary artery disease and 15<sup>th</sup> in the risk of death from heart disease and cerebral vascular accidents.

Nationally, this is very expensive -- nearly \$290 billion of expense annually. More than 58 million people in the United States live with heart disease. Where has The Ohio State University been with respect to the management of heart disease? There is a long tradition of excellence beginning in 1952, with the first cardiac catheterization. Many of these things were actually first in the State of Ohio, if not the Midwest: open heart surgery, selective coronary catheterization, the first heart transplant in 1986, and the first total artificial heart implant in 1988.

So this long tradition has actually culminated over the last three years in a very accelerated and dynamic proposition to bring to Ohioans and to Americans a level of care unsurpassed. In 1997, we began with the first minimally invasive heart surgery program -- a gene therapy program. The following year, we introduced lung transplantation to Central Ohio; the first genetic program for heart attack patients using gene therapy to diagnose and treat patients with heart disease. The first laser heart surgery program was also performed in that year. And last year, we performed the first successful bridge to transplantation using a new mechanical heart. This was the first time in the entire world that this had been accomplished, and it was accomplished here at The Ohio State University. That year the first double lung transplant and -- something you've heard so much about -- the robotic-assisted coronary artery bypass surgery was performed in 1999. This was the first in the Western Hemisphere, and we continue to be among the top five in the world performing these kinds of procedures.

So how do we begin? What are the steps that are underway in order to make this a reality? You see what has distinguished us in the past and most certainly in the recent past. How are we planning on addressing this for the future? We must assemble national and international talent.

## PRESENTATION ON THE HEART HOSPITAL (contd)

Dr. Michler: (contd)

Over the last three years, we've hired four new heart surgeons to our program. One was a NASA space shuttle engineer who went to Yale University and we recruited here. The second was one of the first individuals in the entire world to perform robotic heart surgery and trained the European heart surgeons – he is now on our faculty. One of the experts in congenital heart surgery, pediatric heart surgery, is now a member of our faculty. Each of them are developing and spearheading efforts that combine research and patient care and the ability to translate these new technologies into patient care for the benefit of each and every one of us and our families.

This is how we've been able to achieve national and international attention. It's why in the last several months The Ohio State University was featured on the front page of the *Science Times* in the *New York Times*, and how within several weeks of that appearance there was another article about Ohio State University on gene therapy. It's why Tom Brokaw and Robert Bazell of the *NBC Nightly News* came to visit The Ohio State University and do a piece on national television about our achievements. It's why *Forbes Magazine* wrote about The Ohio State University. These are distinguishing characteristics, they're accomplishments, and they're happening right here.

The generation of new approaches stems from the genetics of heart attack, with the human genome project, in which scientists in the Heart and Lung Institute participated in for the benefit of diagnosing heart disease and one day being able to provide treatment. It's also very interesting how an entire floor of the new Heart and Lung Institute is dedicated to angiogenesis. A fascinating area of blood vessel research that combines heart and cancer researchers in a very simple example by telling you that a cancer tumor grows because it has a blood supply, but that blood supply is not what you want a tumor to have. So research that can eliminate that blood supply can cure cancers. At the same time, the benefit of knowing how to grow blood vessels can help patients with heart disease and heart attacks. So having these researchers on the same floor is wonderful, because a failure in one laboratory becomes the success in the laboratory across the hall. That kind of integrated approach is what we are achieving here at this University.

Novel means of drug delivery -- such as a new technology called nanotechnology, through Mauro Ferrari, a brilliant scientist recruited from Berkeley, whose company left Silicon Valley to come to Columbus, Ohio, and The Ohio State University. He is creating chips that are smaller than your pinky fingernail that contain information. Whether they're clot-busting drugs to prevent heart attacks, or they're new chemotherapeutic agents, or they're cells such as muscle cells or new blood vessel cells that with the aid of a robot can be inserted inside of the heart, all of these things are here at The Ohio State University. Once again, these things distinguish us.

Our comprehensive Heart Center team is designed to provide seamless transition between two adjacent facilities -- an inpatient/outpatient cardiology to cardiothoracic surgery and through to the rehabilitation process. The purpose of this is to provide more efficient, streamlined, very patient/user-friendly care. And through doing this, by creating these kinds of efficiencies, you can understand how we can reduce the cost of delivering this kind of care.

We will truly be an example not only to other institutions within the State of Ohio, but, we certainly anticipate, throughout the world in the accomplishment of heart care. Can you imagine what this all means to the students of the future? To the residents' training, to fellows who want to be heart surgeons and cardiologists,



## **PRESENTATION ON THE HEART HOSPITAL (contd)**

Dr. Michler: (contd)

the opportunity to have two world-class institutions synergistically operating, overlapping in their design, their development, and their opportunity to benefit patients. This will be a rich resource for people who are learning how to become physicians, scientists, surgeons, and cardiologists.

This kind of recognition has already made an impact throughout the State of Ohio, because other institutions in the state want to be part of who we are. MedCentral System in Mansfield opened an open-heart surgery program with our help, and they are part of our team, and Fairfield Medical Center and Mary Rutan Hospital the same. There are other institutions and other programs who are interested in being part of our team, because quality is recognized and quality is rewarded.

How are we going to achieve this kind of world-class vision? I think you have a very good example in what we've accomplished already, but the future is strong. World-renowned experts in the care and delivery of heart care are already joining our faculty, and we have plans for others. The latest technology must be performed in a facility that is streamlined and efficient. We have national and international ventures.

Last Monday, we performed a live telecast to Dresden, Germany. We were the only center in the United States that performed a live telesurgery using a robot to perform a mitral valve example operation. There was no other center in the United States that transmitted this and it was transmitted to the European Congress of Cardiovascular Scientists, Surgeons, and Cardiologists. Over 700 Europeans were in attendance, and The Ohio State University was the program selected to demonstrate this new technology.

We have partnerships with world leaders in medicine and industry. As you may know, the United States Surgical Corporation gave us \$6 million to help in the development of less invasive heart surgery.

All of this is what we can achieve and hope that you will help us achieve. We can't do this alone. We need your support and help, and we hope that you will be supportive of this venture.

I must turn for a moment and think of Henry David Thoreau, the poet and essayist whom you all remember, who wrote many years ago: "To know that one life has breathed easier because you have lived, that is to have succeeded." The Ohio State University has a tremendous opportunity to live these words of Henry David Thoreau. Thank you, and we appreciate the time that you've given us this morning.

Mr. Skestos:

All I can say is "Wow!" I want to thank you for coming here this morning, and it is people like you that are here at Ohio State and that will be coming to Ohio State that will make it one of the nationally-renowned medical centers in this country. Thank you very much. Any questions of these gentlemen?

President Kirwan:

Let me also congratulate you and thank you for the presentation. I think what the world needs more of is outstanding cardiologists who can quote Thoreau -- a powerful combination.

## **PRESENTATION ON THE HEART HOSPITAL (contd)**

President Kirwan: (contd)

I just want to voice my very strong support for this initiative. We recognize at the University, and within the Medical Center, that we cannot be a leader in everything, every area of human endeavor and academic discipline. We have to be focused and selective, and we see this investment in cardiology as one of our targets of opportunity to build world-class eminence. I just want to say how strongly I support this initiative, and how important it is to the future of our Medical Center and our University.

Mr. Skestos:

Anything else? Any other comments or questions? Again, thank you very much.

Mr. Fraley:

Thank you for your time.

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## **PRESENTATION ON THE OHIO PRINCIPAL'S LEADERSHIP ACADEMY**

Mr. Skestos:

I would like to now call on Provost Ray to introduce several presentations: 1) the Ohio Principal's Leadership Academy; and 2) the Vision of the College of Education.

Provost Edward J. Ray:

Thank you, Mr. Chairman and members of the Board. I'm pleased to introduce one of Ohio's newest educational enterprises and one of The Ohio State University's newest initiatives to improve our state's K-12 educational system -- the Ohio Principal's Leadership Academy, located on The Ohio State University's main campus. The Ohio Principal's Leadership Academy's first president, Joseph Murphy, has been in office for just one month. We were very fortunate to attract Joe, who is one of the country's foremost authorities in the field of educational leadership. He comes to us from Vanderbilt University, a name some of you may be familiar with.

Under Joe's guidance, the Ohio Principal's Leadership Academy is the centerpiece of a statewide effort to help Ohio's school principals become more effective school and community leaders. As Joe will explain, the Ohio Principal's Leadership Academy was founded last year through a partnership of government, education, and business leaders with a governing board that reflects diverse perspectives and input.

As chair of the board, I can attest to the deep interest of its members in addressing the quality of education by improving the quality of educational leaders. Joe is here today to describe to you the Ohio Principal's Leadership Academy, and the tremendous opportunity it offers principals around the state to produce world-class schools by acquiring world-class leadership education.

Dr. Joseph Murphy:

I would like to begin by thanking you for the opportunity to be here and to thank Provost Ray for that very thoughtful introduction. Let me begin by saying that

## **PRESENTATION ON THE OHIO PRINCIPAL'S LEADERSHIP ACADEMY (contd)**

Dr. Murphy: (contd)

people often ask, "Why focus on leadership?" When you look at the school improvement literature and you begin to unpack why certain schools succeed and others don't, there are basically a handful of factors or variables or conditions that explain quality schools. One of the fingers on that hand is school leadership. You very rarely find a successful school that doesn't have a strong educational leader at the helm. So this is a particularly important piece of the school improvement equation that needs to be focused upon.

A few words in terms of background. The Academy enjoys robust support from a wide variety of players, from the Governor to the Legislature, to The Ohio State University, and, particularly strong support, from the Ohio Business Roundtable, which actually provided the genesis for the idea and started the ball rolling, if you will.

That group – it was an interesting dynamic – came together and worked for about a year under the leadership of the Ohio Business Roundtable and John Pepper, from Proctor & Gamble, to put the idea together and begin to weave the concept into a design structure. They did a lot of background work. They worked with the Legislature, which is where the basic funding for the Ohio Principal's Leadership Academy comes from. They worked and strong-armed our colleagues at Ohio State University here for some space. We are located in Page Hall, next to the Wexner Center, and Ohio State is clearly one of our major partners. Our other partners include The Limited and Battelle – both made early contributions to where we are.

The mission of the Academy is very straightforward. We are in the business of helping existing school leaders improve their ability to impact student learning. It is as simple as that and that's basically where we're headed. We have a governing board, I think a fairly interesting governing board, that represents the coalition design of this work. It's laced with some very influential leaders from the education sector: both of the executive directors are from the Ohio Association of Elementary and Secondary Schools principals' group; the state superintendent of public instruction; and Chancellor Chu, from the Board of Regents.

It also has a very strong corporate flavor to it as well: Rich Stoff, president of the Ohio Business Roundtable; John Pepper; Doug Olesen; and Al Dietzel. Folks like that represent a second piece of the puzzle if you will. The third leg of the stool is the political leg and we have George Tombaugh, from the Governor's office.

Again, the team that was put into place represents a nice example of the partnership of what the Academy is about. I come from the education sector and I sit as president. The chief operating officer comes from The Limited and is on loan three-quarter time. Again, we have the education/corporate sense of what we're about as we set about the business of strengthening the leadership skills of school principals throughout the state. That's all in terms of background.

One of the central points we want to make is there is no shortage of people telling school principals what they should be doing and what they should be working on or how they should go about their business. There are plenty of opportunities for principals to dabble in professional development activities, both in the state and nationally.

What the Ohio Principal's Leadership Academy stands for is education. There is a plethora of management, organizational, and political training out there for

## **PRESENTATION ON THE OHIO PRINCIPAL'S LEADERSHIP ACADEMY (contd)**

Dr. Murphy: (contd)

school principals. Where there is a tremendous shortage in is the core business of why we have schools, which is the education function. So the Academy is clearly committed to the notion of helping principals understand their business, and their business is education.

We couple that with the best information that we can find from the political, corporate, and education world about what quality leadership looks like. Our understanding of the world is if we can put those two pictures together, a powerful understanding of what quality education is and the best available information about leadership, you are going to drive schools to higher levels of student learning. That's basically what we're all about.

We're committed, as is the College of Education, to developing very deep partnerships with all of the communities involved. This isn't a solo effort by the education world; again, our strongest base to date has been the corporate sector. It has been the driving force and continues to provide a lot of the lifeblood into what we're all about.

We are very closely connected to the real world of practice. We have a very powerful advisory board of school principals that guides and shapes us. We also build what we do on the new set of national standards about what school leadership needs to be and what it needs to look like. Again, those standards are unequivocally anchored to the body of knowledge that shows which principal behaviors, performances, and dispositions lead to higher learning for students in schools. So that's basically where we come from.

We're a fairly small organization at this time and we're involved in a series of ways to influence the quality of leadership in the state. First of all, we are developing some of our own products because what we want isn't out there. So that's one strategy that we have, the development strategy. We are scanning the world right now to figure out where we can incorporate powerful programs and ideology that's out there, that we can either lift whole cloth or slightly adapted into the academy. We've talked to the Fisher College and the Glenn Institute on campus already and made a series of other contacts. Again, most of those in a corporate sector to this point.

The other strategy is shaping. We believe we have as good of fire power around the issues of school improvement and leadership connected to that as you can get in the world. So what we hope to be able to do, is to work directly with school districts and other initiatives that are out there to help them reshape where they are headed, in order to get closer to the path of school improvement in higher student performance. We want to be able to have a shaping or influencing. So we're going to develop, we're going to contract, and we're going to try to shape what goes on throughout the state.

Let me just say a few words about some of the near term objectives and then I'll stop. Most principals work primarily by being given a key to the building, which they get when they're hired, and then they hand that in 35 years later when they retire. That's not a very powerful model for growth and development. So one of the things we started early on was a comprehensive, two-year longitudinal, extensive development program for beginning school principals. This will help them develop their own skills and build a network of relationships to other school leaders, in particular, a mentor whom they have assigned to them. That's one of our programs.

## **PRESENTATION ON THE OHIO PRINCIPAL'S LEADERSHIP ACADEMY (contd)**

Dr. Murphy: (contd)

We are just in the process of getting our website up and running. A second example -- and we have our request in for the next biennium for a few additional programs that we would like to see started -- is a comprehensive program for more experienced school leaders. Another example would be for an initiative specifically focused on the problems that urban principals face. Urban schools offer an array of challenges that we have not been very successful in this country in cracking over the last century. We believe leadership is one of the keys to improve urban education and that makes their professional development even more important.

That is basically the picture of who we are, where we come from, and who the supporters are of the work that we're about. With that I'll stop, and if there are any questions I'd be happy to answer them.

Mr. Skestos:

Thank you, Dr. Murphy.

Dr. Murphy:

Thank you.

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## **VISION OF THE COLLEGE OF EDUCATION**

Provost Edward J. Ray:

Mr. Chairman and members of the Board, I'm very pleased to second President Kirwan's welcome to The Ohio State University's new dean of the College of Education. Dr. Donna Browder Evans earned three degrees from Ohio State and has a proven record as a dean at Old Dominion, the University of North Florida, and Wayne State. Her background makes her uniquely qualified to take Ohio State's highly ranked College of Education to the very top of its discipline.

Dr. Evans is a national expert on urban education and her commitment to working with the city and state in addressing K-12 issues with effective partnerships, matches the goals of this University. She has a proven record as a strong and effective manager who can attract, energize, and retain highly talented faculty, staff, and students. It is my pleasure to introduce to you Dr. Donna Evans.

Dean Donna B. Evans:

This is my fourth day as the dean of the College of Education at The Ohio State University and I'm thrilled to be here. I will just say a few words about my vision, because one of the things that I've learned in my career as a dean is you do a lot of listening. Then, hopefully, you develop a shared vision with academics if you really want to get some things done, and there are some themes around which I'd like to see those things happen.

One of the things that is very important is first to reevaluate our preparation of educators, particularly teachers. Clearly we need to take a look at what we're doing if we're going to really service our constituent groups of people, and those are primarily public schools. I'm a firm believer in public schools -- I think it's

## **VISION OF THE COLLEGE OF EDUCATION (contd)**

Dean Evans: (contd)

what has made our country great. I think that we probably didn't pay much attention to public schools until they became problems in our society. So now public education is at the top of the national agenda. And that's just fine with me, particularly urban education. One of the things we know is, as our cities go, so goes our country. Our urban schools are in difficulty and we need to work together to make those changes. So that's one major vision.

A second vision is to build technological capacity in our college both for academic support, but especially for instructional support. Actually, that is fun, and I'm not a techy-type. I just know the right answer when I see it. One of the things that we need to do is to hire people who know how to make those things come into implementation. We've already begun to take some looks at what we need to do with technology.

In these four days, I've learned that there are lots of things that are going on in that college. Unbelievable! As you know, this college continues to be in the top tier of colleges of education in the *U.S. News and World Report*. This year we were ranked eighth, overall. There are about 157 graduate education programs in the country, so number eight is not bad. Michigan was number eight last year and we were number seven, so we have to do something about that this year.

The future of teacher education in this country is very critical. In Ohio it is very critical, because one of the things that we know is that teachers make every other profession possible, every single one. The wonderful discussion I heard earlier about the heart hospital -- somebody had to teach those doctors how to read, think, and make decisions, and we do that.

I hope that you will have me back a year and four days from now, when I will have solidified some of our visions, and we will be able to talk about some of the things that have happened. I do have a big, big dream and I did warn both the president and the provost when I came that I really like to make spaces beautiful and important for people who live and work and learn there. I was thrilled to find when I came that there is a major renovation project that has been conceptualized for Arps and Ramseyer Halls. That will enable the college to unite physically, as well as philosophically, and to consolidate two schools and student services from 13 buildings all over campus into two. That would be very exciting. It would also provide a vision of what an education college should be and how important that is in the whole scheme of a university of this magnitude.

I hope to be back here within the not-too-far future to talk to you about creating a northern gateway at the corner of College Avenue and North High Street that would be an anchor for the Columbus campus and an invitation to learning. So until you invite me back, I'm really happy to be home again. It occurred to me as I was driving to school past a corn field that is truly higher than "knee high by the Fourth of July," that it's really fun to be back, and it feels right. Thank you so much.

Mr. Skestos:

Thank you, Dean Evans.

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## **CONSENT AGENDA**

President William E. Kirwan:

We have 20 resolutions on the Consent Agenda today. I would like to ask that #11 and #13 be set aside for a separate vote, and unless there are any objections, I would like to recommend the remaining 18 resolutions to the Board:

Mr. McFerson:

As a new member, may I ask a question about this resolution? It's only to clarify the Creation of the Office of Information Technology in the "WHEREASes." In my opinion, organizing this makes a great deal of sense. Not only is it for the maintenance of systems and to get the synergies and effectiveness, but every bit as important is the strategic emphasis of looking forward, as to creating a strategic technology strategy and making sure we're always looking well into the future, Dr. Kirwan. So if the "WHEREASes" could be expanded to include that comment. It's in the detail, but I really believe it's a very important point to make as to why we are doing this

Mr. Skestos:

Yes, we can amend and provide that in there.

### **CREATION OF THE OFFICE OF INFORMATION TECHNOLOGY (OIT)**

Amended Resolution No. 2001-1

WHEREAS the Administrative Resource Management System (ARMS) project was established in 1994 and charged with implementing new General Ledger and Human Resources systems for the University; and

WHEREAS implementation of those systems, as well as a Procurement system, will be completed by September 30, 2000; and

WHEREAS maintenance and production support of these systems is an on-going technology function; and

WHEREAS University Technology Services (UTS) provides these on-going support services for other systems; and

WHEREAS the transition project initiated in December 1999 has recommended that on-going support of these systems can best be accomplished through a single consolidated organization combining the best practices of UTS and ARMS to achieve an efficient and effective technology organization; and

WHEREAS A CONSOLIDATED ORGANIZATION WILL ENHANCE THE UNIVERSITY'S ABILITY TO PLAN STRATEGICALLY FOR FUTURE TECHNOLOGY NEEDS:

NOW THEREFORE

BE IT RESOLVED, That effective July 2000, ARMS and UTS begin a transition into a single unit organized by functional working area and named the Office of Information Technology under the leadership of a Deputy CIO and Executive Director with transition to be completed by no later than September 30, 2000; and

BE IT FURTHER RESOLVED, That the Secretary of the Board of Trustees be hereby authorized and directed to incorporate the above changes in the Bylaws of the Board of Trustees and the Rules of the University Faculty.

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**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY  
AND BYLAWS OF THE UNIVERSITY SENATE**

Resolution No. 2001-2

Synopsis: Approval of the following amendments to the Rules of the University Faculty and Bylaws of the University Senate are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the Rules of the University Faculty and Bylaws of the University Senate as approved by the University Senate; and

WHEREAS the proposed changes in the Rules of the University Faculty and Bylaws of the University Senate were approved by the University Senate on June 3, 2000:

Amended Rule

3335-5-37 Membership.

There shall be a university senate, a unicameral body constituted as follows:

(A) Unchanged.

(B) Non-voting members: senate members not entitled to vote on the floor of the senate, but otherwise to participate in all senate deliberations, shall include the chair of faculty council (if not a member of the senate), the president of the alumni association, the secretary of the university senate, THE SECRETARY OF THE FACULTY, the president of the freshman senate, the president of the undergraduate student government, the president of the council of graduate students, and the president of the inter-professional council, and the chair of the university staff advisory committee. (B/T 7/7/72, B/T 6/14/74, B/T 7/9/76, B/T 7/22/77, B/T 7/20/79, B/T 2/3/84, B/T 2/7/86, B/T 2/1/91, B/T 4/7/95, B/T 5/3/96, B/T 8/1/97, B/T 12/4/98, B/T 4/6/99, B/T 7/7/2000)

Amended Senate Bylaw

3335-19-03 Agenda.

(A) and (B) Unchanged.

(C) The secretary of the university senate shall send AND MAKE AVAILABLE ELECTRONICALLY copies of the agenda for all senate meetings to all members and alternate members at least seven days prior to the meeting.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Rules of the University Faculty and Bylaws of the University Senate be adopted as recommended by the University Senate.

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**REVISIONS TO THE SEXUAL HARASSMENT POLICY**

Resolution No. 2001-3

WHEREAS the University desires to ensure an environment for work and study free from sexual harassment; and

WHEREAS the University wishes to revise its policy and procedure relating to the investigation and resolution of allegations of sexual harassment; and



**REVISIONS TO THE SEXUAL HARASSMENT POLICY (contd)**

WHEREAS the University is required by law to adopt such a policy and procedure; and

WHEREAS the appropriate University officials and committees have been consulted with respect to the revision of this policy:

NOW THEREFORE

BE IT RESOLVED, That the Sexual Harassment Policy and accompanying Procedure Manual, which are attached as Appendix I, be hereby adopted as a policy of the University, effective July 7, 2000.

(See Appendix I for Procedure Manual, page 75.)

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**JOSEPH SULLIVANT MEDAL AWARD**

Resolution No. 2001-4

Synopsis: Approval of the awarding of the Joseph Sullivant Medal is proposed.

WHEREAS the Joseph Sullivant Medal is awarded once every five years as a memorial to the eminent services of Joseph Sullivant who, as a member of the first Board of Trustees, contributed significantly in determining the character and future of The Ohio State University; and

WHEREAS pursuant to the procedure established by this Board of Trustees, nominations for the award were referred through the Dean of the Graduate School to a Board of Award appointed by the President; and

WHEREAS after a careful review of all nominations this Board of Award recommended to the President that Charles A. Csuri (M.A., Art, '48), Professor Emeritus be the recipient of this award; and

WHEREAS the President, in support of this recommendation and on behalf of the Board of Trustees, authorizes the granting of this medal at a time convenient to the University and the recipient:

NOW THEREFORE

BE IT RESOLVED, That the Joseph Sullivant Medal Award be presented to Charles A. Csuri in accordance with the provisions for granting this award.

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**HONORARY DEGREES**

Resolution No. 2001-5

Synopsis: The awarding of the following honorary degrees is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of honorary degrees as listed below:

### **HONORARY DEGREES (contd)**

Henry B. Betts	Doctor of Public Service
F. A. Cotton	Doctor of Science
Lester R. Brown	Doctor of Science
Raphael Mechoulam	Doctor of Science

and

WHEREAS pursuant to paragraph (C) of rule 3335-3-03 of the Administrative Code, the President, after consultation with the Steering Committee of the University Senate, recommends to the Board of Trustees awarding of an honorary degree as listed below:

Ratan N. Tata	Doctor of Business Administration
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NOW THEREFORE

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

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### **PERSONNEL ACTIONS**

Resolution No. 2001-6

BE IT RESOLVED, That Resolution 77-92 and the provisions of the February 6, 1959 Report of the Committee on Retirement and Insurance, calling for "Principal Administrative Officials" to be relieved of their administrative assignments at age 65, be waived to allow for the continued administrative appointment of Samson T. Jacob as Chairperson of the Department of Molecular and Cellular Biochemistry beyond his sixty-fifth birthday; and

BE IT FURTHER RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the June 2, 2000 meeting of the Board, including the following Appointments, Appointment/Reappointment of Chairpersons and Directors, Leaves of Absence Without Salary, Professional Improvement Leaves, Promotions/Tenure/Reappointments, Emeritus Titles, and salary rolls with promotion, as detailed in the University Budget be approved and the Medical Staff Appointments/Reappointments (The Ohio State University Medical Center and The Ohio State University Hospitals-East) approved May 25, 2000, by The Ohio State University Hospitals Board, be ratified.

#### Appointments

Name: WILLIAM H. HALL  
Title: Interim Vice President for Student Affairs  
Office: Student Affairs  
Effective: August 1, 2000  
Present Position: Assistant Vice President for Student Affairs

Name: JOHN MUELLER  
Title: Professor (The Wayne Woodrow Hayes Chair in National Securities Studies)  
Department/Center: Political Science/Mershon Center  
Term: October 1, 2000 through September 30, 2005  
Present Position: Professor, Department of Political Science, University of Rochester, Rochester, NY

**PERSONNEL ACTIONS (contd)**

Appointment of Chairpersons of Departments and Director of Division

July 1, 2000 through June 30, 2001

Pharmacology

Andrej Rotter\*

July 1, 2000 through June 30, 2004

Surgery

E. Christopher Ellison

October 1, 2000 through September 30, 2004

Accounting and Management Information Systems  
Division of Comparative Studies in the Humanities  
Slavic & East European Languages  
and Literatures

J. Richard Dietrich  
David G. Horn  
  
Daniel E. Collins

Reappointment of Chairpersons of Departments and Director of Center

July 1, 2000 through December 31, 2000

Physiology and Cell Biology

Jack A. Rall\*

July 1, 2000 through June 30, 2001

Neurology  
Otolaryngology  
Radiology

Jerry R. Mendell  
David E. Schuller  
Dimitrios G. Spigos

July 1, 2000 through June 30, 2004

Molecular and Cellular Biochemistry  
Veterinary Preventive Medicine

Samson T. Jacob  
Kent H. Hoblet

October 1, 2000 through September 30, 2004

Foreign Language Center

Diane W. Birckbichler

\*Interim

Leaves of Absence Without Salary

HASSAN Y. ALY, Associate Professor, Department of Economics (Marion Campus), effective Spring Quarter 2000, to accept a Fulbright Visiting Scholar Award at the United Arab Emirates University.

ANN K. BLOMBACH, Associate Professor, School of Music, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001, to devote significant time to re-coding her MacGAMUT oral training software.

G. KEONG LEONG, Associate Professor, Department of Management Sciences, Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001, to become a visiting faculty member at Thunderbird, The American Graduate School of International Management.

DANA D. STRICKLAND, Assistant Professor, Department of Finance, Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001, to be an Economist for the U.S. Securities and Exchange Commission.

**PERSONNEL ACTIONS (contd)**

Leaves of Absence Without Salary (contd)

BRUCE A. WEINBERG, Assistant Professor, Department of Economics, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001, to accept a National Fellow at the Hoover Institution at Stanford University.

Professional Improvement Leaves

SALLY L. KITCH, Chair and Professor, Department of Women's Studies, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001.

ALICIA L. BERTONE, Professor, Department of Veterinary Clinical Sciences, effective Autumn Quarter 2000, Winter Quarter, Spring Quarter, and Summer Quarter 2001.

JOHN C. BURNHAM, Professor, Department of History, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001.

WILLIAM W. MUIR, Professor, Department of Veterinary Clinical Sciences, effective Autumn Quarter 2000, Winter Quarter, Spring Quarter, and Summer Quarter 2001.

GAY SU PINNELL, Professor, School of Teaching and Learning, effective Autumn Quarter 2000, Spring Quarter, and Summer Quarter 2001.

STEPHEN V. TRACY, Professor, Department of Greek and Latin, effective Autumn Quarter 2000 and Winter Quarter 2001.

CYNTHIA B. DILLARD, Associate Professor, School of Teaching and Learning, effective Spring Quarter, Summer Quarter, and Autumn Quarter 2001.

C. MARK GRIMSLEY, Associate Professor, Department of History, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001.

GLENN A. HARTZ, Associate Professor, Department of Philosophy (Mansfield Campus), effective Winter Quarter, Spring Quarter and Autumn Quarter 2001.

CHRISTOPHER F. HIGHLEY, Associate Professor, Department of English, effective Autumn Quarter 2000 and Winter Quarter 2001.

ELIZABETH V. HUME, Associate Professor, Department of Linguistics, effective Autumn Quarter 2000.

CHRISTIANE LAEUFER, Associate Professor, Department of French and Italian, effective Winter Quarter 2001.

ROBERT D. LEVINE, Associate Professor, Department of Linguistics, effective Autumn Quarter 2000.

LINDA JAMES MYERS, Associate Professor, Department of African-American and African Studies, effective Autumn Quarter 2000.

CLARE A. SIMMONS, Associate Professor, Department of English, effective Winter Quarter and Spring Quarter 2001.

DAVID L. STEBENNE, Associate Professor, Department of History, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001.

ABRIL J. TRIGO, Associate Professor, Department of Spanish and Portuguese, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001.

## **PERSONNEL ACTIONS (contd)**

### Professional Improvement Leaves (contd)

KAREN A. WINSTEAD, Associate Professor, Department of English, effective Winter Quarter and Spring Quarter 2001.

### Professional Improvement Leave—Cancellation

JOHN J. SKOWRONSKI, Professor, Department of Psychology (Newark Campus), effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001.

### Professional Improvement Leave—Change in Dates

ALLAN J. SILVERMAN, Associate Professor, Department of Philosophy, change leave from Winter Quarter 2000, to Spring Quarter 2000.

### Promotions, Tenure, and Reappointments

## **COLLEGE OF THE ARTS**

### PROMOTION TO PROFESSOR

John R. Giffin, Dance - effective 10/1/2000

Katherine B. Jones, School of Music - effective 10/1/2000

Todd W. Slaughter, Art - effective 10/1/2000

### PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

James M. Akins, School of Music - effective 10/1/2000

Lisa C. Florman, History of Art - effective 10/1/2000

Midori Kitagawa, Art - effective 10/1/2000

Jeanine Thompson, Theatre - effective 10/1/2000

## **COLLEGE OF BIOLOGICAL SCIENCES**

### PROMOTION TO PROFESSOR

Ronald B. Hammond, Entomology - effective 7/1/2000

Tina M. Henkin, Microbiology - effective 10/1/2000

Allison A. Snow, Evolution, Ecology & Organismal Biology - effective 10/1/2000

### PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Joseph R. Holomuzki, Evolution, Ecology & Organismal Biology, Mansfield - effective 10/1/2000

Douglas A. Nelson, Evolution, Ecology & Organismal Biology - effective 10/1/2000

## **THE MAX M. FISHER COLLEGE OF BUSINESS**

### PROMOTION TO PROFESSOR

John R. Current, Management Sciences - effective 10/1/2000

Robert L. Heneman, Management & Human Resources - effective 10/1/2000

## **COLLEGE OF DENTISTRY**

### PROMOTION TO PROFESSOR

Alan B. Carr - effective 7/1/2000

Peter E. Larsen - effective 7/1/2000

### PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Lisa A. Knobloch - effective 7/1/2000

**PERSONNEL ACTIONS (contd)**

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF DENTISTRY (contd)  
REGULAR CLINICAL

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

James W. Preisch - effective 7/1/2000

COLLEGE OF EDUCATION

PROMOTION TO PROFESSOR

Donna F. Berlin, School of Teaching & Learning - effective 10/1/2000

Philip T. K. Daniel, School of Educational Policy & Leadership - effective 10/1/2000

Donna Y. Ford, School of Physical Activity & Educational Services - effective 10/1/2000

Merry M. Merryfield, School of Teaching & Learning - effective 10/1/2000

George E. Newell, School of Teaching & Learning - effective 10/1/2000

David L. Porretta, School of Physical Activity & Educational Services - effective 10/1/2000

Karen F. Zuga, School of Teaching & Learning - effective 10/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Antoinette Errante, School of Educational Policy & Leadership - effective 10/1/2000

Darcy H. Granello, School of Physical Activity & Educational Services - effective 10/1/2000

Samuel R. Hodge, School of Physical Activity & Educational Services - effective 10/1/2000

Susan I. Kent, School of Teaching & Learning, Newark - effective 10/1/2000

Helen M. Marks, School of Educational Policy & Leadership - effective 10/1/2000

COLLEGE OF ENGINEERING

PROMOTION TO PROFESSOR

Gerald S. Frankel, Materials Science & Engineering - effective 10/1/2000

Michael J. Mills, Materials Science & Engineering - effective 10/1/2000

Kevin M. Passino, Electrical Engineering - effective 10/1/2000

Giorgio Rizzoni, Mechanical Engineering - effective 10/1/2000

Ken H. Sandhage, Materials Science & Engineering - effective 10/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Joel T. Johnson, Electrical Engineering - effective 10/1/2000

Richard L. Lewis, Computer & Information Science - effective 10/1/2000

Urbashi Mitra, Electrical Engineering - effective 10/1/2000

Robert G. Parker, Mechanical Engineering - effective 10/1/2000

Gregory N. Washington, Mechanical Engineering - effective 10/1/2000

TENURE

Jose M. Castro, Industrial, Welding & Systems Engineering - effective 10/1/2000

COLLEGE OF FOOD, AGRICULTURAL & ENVIRONMENTAL SCIENCES

PROMOTION TO PROFESSOR

Wolfgang D. Bauer, Horticultural & Crop Science - effective 7/1/2000

Mark A. Bennett, Horticultural & Crop Science - effective 7/1/2000

Michael L. Day, Animal Sciences - effective 7/1/2000

Jeffrey L. Firkins, Animal Sciences - effective 7/1/2000

Michael S. Lilburn, Animal Sciences - effective 7/1/2000

**PERSONNEL ACTIONS (contd)**

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF FOOD, AGRICULTURAL & ENVIRONMENTAL SCIENCES (contd)

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Valente B. Alvarez, Food Science & Technology - effective 7/1/2000  
Sheryl A. Barringer, Food Science & Technology - effective 7/1/2000  
Steven J. Moeller, Animal Sciences - effective 7/1/2000  
Sandra G. Velleman, Animal Sciences - effective 7/1/2000

AGRICULTURAL TECHNICAL INSTITUTE

PROMOTION TO PROFESSOR

Steven M. Neal - effective 7/1/2000

PROMOTION TO ASSISTANT PROFESSOR

James K. Carr - effective 10/1/2000

OHIO STATE UNIVERSITY EXTENSION

PROMOTION TO PROFESSOR

Cheryle J. Syracuse - effective 7/1/2000

PROMOTION TO ASSOCIATE PROFESSOR

Roger F. Bender - effective 7/1/2000  
Rebecca J. Cropper - effective 7/1/2000  
Fred J. Deel - effective 7/1/2000  
Rose E. Merkowitz - effective 7/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Thomas W. Blaine - effective 7/1/2000  
Daney G. Jackson - effective 7/1/2000

COLLEGE OF HUMAN ECOLOGY

PROMOTION TO PROFESSOR

Robert A. Disilvestro, Human Nutrition & Food Management - effective 10/1/2000

COLLEGE OF HUMANITIES

PROMOTION TO PROFESSOR

Thomas Cooley, English - effective 10/1/2000  
Sarah I. Johnston, Greek & Latin - effective 10/1/2000  
Linda M. Mizejewski, English - effective 10/1/2000  
Jacqueline A. Royster, English - effective 10/1/2000  
Tamar Rudavsky, Philosophy - effective 10/1/2000  
Clare A. Simmons, English - effective 10/1/2000  
Melanie R. Thon, English - effective 10/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Angela K. Brintlinger, Slavic Languages & Literatures - effective 10/1/2000  
Cynthia Burack, Women's Studies - effective 10/1/2000  
Daniel E. Collins, Slavic Languages & Literatures - effective 10/1/2000  
Steven Conn, History - effective 10/1/2000

**PERSONNEL ACTIONS (contd)**

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF HUMANITIES (contd)

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE (contd)

Fernando Martinez-Gil, Spanish & Portuguese - effective 10/1/2000  
Birgitte Soland, History - effective 10/1/2000

COLLEGE OF LAW

TENURE

Sarah R. Cole - 08/16/2000

LIBRARIES

PROMOTION TO PROFESSOR

Raimund E. Goerler - effective 7/1/2000

PROMOTION TO ASSOCIATE PROFESSOR

Gerald S. Greenberg - effective 7/1/2000  
Nancyanne N. O'Hanlon - effective 7/1/2000

PROMOTION TO ASSISTANT PROFESSOR WITH TENURE

Lisa A. Pillow - effective 7/1/2000

COLLEGE OF MATHEMATICAL & PHYSICAL SCIENCES

PROMOTION TO PROFESSOR

Robert S. Coleman, Chemistry - effective 10/1/2000  
Richard J. Furnstahl, Physics - effective 10/1/2000  
Andrew P. Gould, Astronomy - effective 10/1/2000  
Klaus Honscheid, Physics - effective 10/1/2000  
Jeffery D. McNeal, Mathematics - effective 10/1/2000  
Kristen Sellgren, Astronomy - effective 10/1/2000  
Akos Seress, Mathematics - effective 10/1/2000  
Janet Z. Tarino, Chemistry, Mansfield - effective 10/1/2000  
Mohamed F. Yousif, Mathematics, Lima - effective 10/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Hans U. Boden, Mathematics, Mansfield - effective 10/1/2000  
Christian Friesen, Mathematics, Marion - effective 10/1/2000  
Anne B. McCoy, Chemistry - effective 10/1/2000  
Bjorn Sandstede, Mathematics - effective 10/1/2000

COLLEGE OF MEDICINE & PUBLIC HEALTH

PROMOTION TO PROFESSOR

Belinda R. Avalos-Copelan, Internal Medicine - effective 7/1/2000  
Lauren B. Bakaletz, Pediatrics - effective 7/1/2000  
Gail E. Herman, Pediatrics - effective 7/1/2000  
Douglas A. Kniss, Obstetrics & Gynecology - effective 10/1/2000  
Mark B. Landon, Obstetrics & Gynecology - effective 7/1/2000  
B. Ulysses K. Li, Pediatrics - effective 7/1/2000



**PERSONNEL ACTIONS (contd)**

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF MEDICINE & PUBLIC HEALTH (contd)

PROMOTION TO PROFESSOR (contd)

Thomas W. Prior, Pathology - effective 7/1/2000  
D. Bradley Welling, Otolaryngology - effective 7/1/2000

PROMOTION TO ASSOCIATE PROFESSOR

Frank H. Douce, School of Allied Medical Professions - effective 7/1/2000  
Mary K. Waller, School of Allied Medical Professions - effective 10/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Philip T. Diaz, Internal Medicine - effective 7/1/2000  
John C. Grecula, Radiology - effective 7/1/2000  
Mark A. King, Radiology - effective 7/1/2000  
William S. Melvin, Surgery - effective 7/1/2000  
Theodore H. Niemann, Pathology - effective 7/1/2000  
Douglas M. Post, Family Medicine - effective 7/1/2000  
Russell P. Woda, Anesthesiology - effective 7/1/2000  
Kay N. Wolf, School of Allied Medical Professions - effective 7/1/2000

COLLEGE OF MEDICINE & PUBLIC HEALTH  
REGULAR CLINICAL

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Barry R. DeYoung, Pathology - effective 7/1/2000  
Cynthia B. Evans, Obstetrics & Gynecology - effective 7/1/2000  
Michael E. Falkenhain, Internal Medicine - effective 7/1/2000  
Kathryn J. Klopfenstein, Pediatrics - effective 7/1/2000  
Julie E. Mangino, Internal Medicine - effective 7/1/2000  
Daniel G. Rowland, Pediatrics - effective 7/1/2000  
David A. Wininger, Internal Medicine - effective 7/1/2000

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Mary Jo A. Bowman, Pediatrics - effective 7/1/2000 & 7/1/2001  
Diane L. Gorgas, Emergency Medicine - effective 7/1/2000 & 7/1/2001

REAPPOINTMENT

Daniel L. Coury, Pediatrics - effective 7/1/2001  
John T. Davis, Surgery - effective 7/1/2001  
Joel L. Frazier, Orthopaedics - effective 7/1/2001  
Gina M. French, Pediatrics - effective 7/1/2001  
Stephanie E. Ladson-Wofford, Internal Medicine - effective 7/1/2001  
William L. Marsh, Jr., Pathology - effective 7/1/2001  
John M. McGregor, Surgery - effective 7/1/2001  
Juliann M. Paolicchi, Pediatrics - effective 7/1/2001  
Todd E. Pesavento, Internal Medicine - effective 7/1/2001  
Carol J. Potter, Pediatrics - effective 7/1/2001  
Robert M. Rennebohm, Pediatrics - effective 7/1/2001  
Chris A. Rhoades, Internal Medicine - effective 7/1/2001  
Phillip J. Shubert, Obstetrics & Gynecology - effective 7/1/2001  
Ray C. Wasielewski, Orthopaedics - effective 7/1/2001  
Maryjo Welker, Family Medicine - effective 7/1/2001

**PERSONNEL ACTIONS (contd)**

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF NURSING  
REGULAR CLINICAL

REAPPOINTMENT

Susan Bowman Burpee, Adult Health & Illness Nursing - effective 7/1/2001

COLLEGE OF OPTOMETRY

PROMOTION TO PROFESSOR

Joseph T. Barr - effective 7/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Thomas W. Raasch - effective 7/1/2000

COLLEGE OF OPTOMETRY  
REGULAR CLINICAL

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Gilbert E. Pierce - effective 7/1/2000 & 7/1/2001

COLLEGE OF PHARMACY

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

John A. Bauer - effective 10/1/2000

Daren L. Knoell - effective 10/1/2000

COLLEGE OF SOCIAL & BEHAVIORAL SCIENCES

PROMOTION TO PROFESSOR

Frederick T. L. Leong, Psychology - effective 10/1/2000

Edward D. Mansfield, Political Science - effective 10/1/2000

Kevin J. O'Brien, Political Science - effective 10/1/2000

Catherine M. Stoney, Psychology - effective 10/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Douglas B. Downey, Sociology - effective 10/1/2000

Mei-Po Kwan, Geography - effective 10/1/2000

Audrey L. Light, Economics - effective 10/1/2000

COLLEGE OF SOCIAL WORK

PROMOTION TO PROFESSOR

Rudolph Alexander, Jr. - effective 10/1/2000

Maria C. Julia - effective 10/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Mo-Yee Lee - effective 10/1/2000

**PERSONNEL ACTIONS (contd)**

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF VETERINARY MEDICINE

PROMOTION TO PROFESSOR

Kenneth W. Hinchcliff, Veterinary Clinical Sciences - effective 7/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Gary L. Bowman, Veterinary Preventive Medicine - effective 7/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

David E. Anderson, Veterinary Clinical Sciences - effective 7/1/2000

Thomas E. Wittum, Veterinary Preventive Medicine - effective 7/1/2000

COLLEGE OF VETERINARY MEDICINE  
REGULAR CLINICAL

REAPPOINTMENT

Frank H. Welker, Veterinary Preventive Medicine – effective 7/1/2001

Emeritus Titles

DALE F. BERTSCH, Knowlton School of Architecture, with the title Professor Emeritus, effective July 1, 2000.

CHARLES F. KIELKOPF, Department of Philosophy, with the title Professor Emeritus, effective July 1, 2000.

JOHN A. LOTT, Department of Pathology, with the title Professor Emeritus, effective July 1, 2000.

EARL F. MURPHY, College of Law, with the title Professor Emeritus, effective June 1, 2000.

KENT P. SCHWIRIAN, Department of Sociology, with the title Professor Emeritus, effective July 1, 2000.

RICHARD R. MARTIN, Ohio State University Extension, with the title Associate Professor Emeritus, effective July 1, 2000.

THOMAS W. FOSTER, Department of Sociology (Mansfield Campus), with the title Assistant Professor Emeritus, effective July 1, 2000.

Medical Staff Appointments (The Ohio State University Medical Center)

May 2000

Michael G. Bissell, MD, PhD, MPH, Pathology, Attending, 02/17/00-06/30/01

Jacqueline Hinsley Morrison, LISW, PhD, Psychiatry, Attending/PhD, 01/25/00-06/30/01

Thomas G. Hospel, MD, Family Med/Sports Med, Attending, 05/10/00-06/30/01

Robert S. Houser, DO, Plastic Surgery, PGY-2 Limited, 04/04/00-05/31/00

Raheela A. Khawaja, MD, Int Med/Endocrinology, Attending, 04/10/00-06/30/01

Vijendra S. Mohan, MD, Orthopaedic Surgery, Community Affiliate, 05/10/00-06/30/02

Christina M. Nyirati, PhD, RN, CS, FNP, Family Medicine, Allied Health Professional, 05/10/00-06/30/02

Jenifer M. Takats, MD, Psychiatry, Attending, 01/25/00-06/30/02

Nancy J. Treece, RN, CNS, Psychiatry, Allied Health Professional, 05/10/00-06/30/02

Jason P. Wiltshire, MD, Transplant Surgery, PGY-3 Limited, 04/01/00-05/31/00

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center)

May 2000

William E. Maher, MD, Internal Medicine, Attending, 07/01/00-06/30/02  
Thomas H. Mallory, MD, Orthopaedic Surgery, Attending, 07/01/00-06/30/02  
Julie E. Mangino, MD, Internal Medicine, Attending, 07/01/00-06/30/02  
Uma U. Marar, MD, Internal Medicine, Attending, 07/01/00-06/30/02  
Thomas F. Mauger, MD, Ophthalmology, Attending, 07/01/00-06/30/02  
Hagop S. Mekhjian, MD, Internal Medicine, Attending, 07/01/00-06/30/02  
Jeffrey W. Milks, MD, Family Medicine, Attending, 07/01/00-06/30/02  
James L. Moore, MD, Neurology, Attending, 07/01/00-06/30/02  
Mark A. Muresan, MD, Pediatrics, Courtesy, 07/01/00-06/30/02  
Kami Lynn Nemcik, CR, Anesthesiology, Allied Health Professional, 07/01/00-06/30/02  
Cheryl L. Newton, RN, Neurology, Allied Health Professional, 07/01/00-06/30/02  
Theodore H. Niemann, MD, Pathology, Attending, 07/01/00-06/30/02  
Margaret A. Nuovo, MD, Pathology, Attending, 07/01/00-06/30/02  
Robert V. O'Toole, MD, Pathology, Attending, 07/01/00-06/30/02  
Gregory A. Otterson, MD, Internal Medicine, Attending, 07/01/00-06/30/02  
Michael E. Para, MD, Internal Medicine, Attending, 07/01/00-06/30/02  
Todd E. Pesavento, MD, Internal Medicine, Attending, 07/01/00-06/30/02  
Evelyn E. Pintz, MD, Internal Medicine, Attending, 07/01/00-06/30/02  
David S. Postlewaite, MD, Surgery, Attending, 07/01/00-06/30/02  
Kevin J. Pugh, MD, Orthopaedic Surgery, Attending, 07/01/00-06/30/02  
Thomas E. Reilley, DO, Anesthesiology, Attending, 07/01/00-06/30/02  
Rosemary E. Reiss, MD, Obstetrics & Gynecology, Attending, 07/01/00-06/30/02  
David E. Roberts, MD, Internal Medicine, Attending, 07/01/00-06/30/02  
Barbara M. Rogers, MD, Anesthesiology, Attending, 07/01/00-06/30/02  
JoAnn C. Rohyans, MD, Pediatrics, Courtesy, 07/01/00-06/30/02  
Brad H. Rovin, MD, Internal Medicine, Attending, 07/01/00-06/30/02  
Robert L. Ruberg, MD, Surgery, Attending, 07/01/00-06/30/02  
Grace Y. Ryu, MD, Pediatrics, Attending, 07/01/00-06/30/02  
Jeffrey D. Sanderson, MD, Phys. Med. & Rehab., PGY-2 Limited, 07/01/00-06/30/02  
Jason G. Sayat, MD, Obstetrics & Gynecology, PGY-3 Limited, 07/01/00-06/30/02  
Lisa M. Sayoc, MD, Internal Medicine, PGY-4 Limited, 07/01/00-06/30/02  
Steven Richard Schneir, MD, Psychiatry, Attending, 07/01/00-06/30/02  
Scott O. Schumann, DDS, Dentistry, Attending, 07/01/00-06/30/02  
Andreas W. Schuster, MD, Anesthesiology, Attending, 07/01/00-06/30/02  
Dara P. Schuster, MD, Internal Medicine, Attending, 07/01/00-06/30/02  
John H. Seguin, MD, Pediatrics, Attending, 07/01/00-06/30/02  
Manisha H. Shah, MD, Internal Medicine, Attending, 07/01/00-06/30/02  
David H. Sharkis, MD, Internal Medicine, Attending, 07/01/00-06/30/02  
Cynthia S. Shellhaas, MD, Obstetrics & Gynecology, Attending, 07/01/00-06/30/02  
Kimberly Robinson Shepherd, MD, Obstetrics & Gynecology, PGY-2 Limited, 07/01/00-06/30/02  
Paul E. Shoaps, MD, Internal Medicine/Peds, PGY-3 Limited, 07/01/00-06/30/02  
Shraddha Shilpa Shrestha, MD, Obstetrics & Gynecology, PGY-2 Limited, 07/01/00-06/30/02  
Robert H. Small, MD, Anesthesiology, Attending, 07/01/00-06/30/02  
Pamela J. Smith, MD, Internal Medicine Courtesy, 07/01/00-06/30/02  
Sofronio S. Soriano, MD, Phys. Med. & Rehab., PGY-4 Limited, 07/01/00-06/30/02  
Gaylyn J. Speas, MD, Anesthesiology, Attending, 07/01/00-06/30/02  
Carl E. Speicher, MD, Pathology, Attending, 07/01/00-06/30/02  
Steven J. Stack, MD, Emergency Medicine, PGY-3 Limited, 07/01/00-06/30/02  
Gregory L. Staker, MD, Internal Medicine, PGY-4 Limited, 07/01/00-06/30/02  
Mark W. Stanley, DO, Anesthesiology, PGY-3 Limited, 07/01/00-06/30/02  
Poongothal Sundaram, MD, Internal Medicine, Fellow 2<sup>nd</sup> Year, 07/01/00-06/30/02  
Diane Tallo, MD, Internal Medicine, Courtesy, 07/01/00-06/30/02  
Benoit D. Tano, MD, Internal Medicine, PGY-2 Limited, 07/01/00-06/30/02  
Arththapol Tanphalchitr, MD, Internal Medicine, PGY-3 Limited, 07/01/00-06/30/02

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

May 2000 (contd)

Christopher J. Timan, MD, Pediatrics, Attending, 07/01/00-06/30/02  
Douglas B. Tippin, MD, Radiology, PGY-3 Limited, 07/01/00-06/30/02  
Herman A. Tolbert, MD, Psychiatry, Attending, 07/01/00-06/30/02  
Patricia Gerbig Toohey, MD, Internal Medicine, PGY-2 Limited, 07/01/00-06/30/02  
Tracey L. Trgovac, MD, Internal Medicine/Peds, PGY-4 Limited, 07/01/00-06/30/02  
Chang-Yong Tsao, MD, Pediatrics, Attending, 07/01/00-06/30/02  
Katja R. Turner, MD, Anesthesiology, Attending, 07/01/00-06/30/02  
Louis J. Unverferth, MD, Orthopaedic Surgery, Courtesy, 07/01/00-06/30/02  
Linbee Sanchez Valencia, MD, Obstetrics & Gynecology, PGY-2 Limited, 07/01/00-06/30/02  
Robert B. VanCourt, DPM, Orthopaedic Surgery, PGY-3 Limited, 07/01/00-06/30/02  
Kuldeep K. Vaswani, MD, Radiology, Attending, 07/01/00-06/30/02  
Miguel A. Villalona-Calero, MD, Internal Medicine, Attending, 07/01/00-06/30/02  
Michael J. Walker, MD, Surgery, Attending, 07/01/00-06/30/02  
Chad M. Wallis, MD, Surgery, PGY-2 Limited, 07/01/00-06/30/02  
Patrick J. Ward, MD, Internal Medicine, PGY-3 Limited, 07/01/00-06/30/02  
Rebecca A. Ware, MD, Psychiatry, PGY-4 Limited, 07/01/00-06/30/02  
Joel M. Weaver, DDS, Anesthesiology, Attending, 07/01/00-06/30/02  
Harrison G. Weed, MD, Internal Medicine, Attending, 07/01/00-06/30/02  
Jennifer L. Wenzke, MD, Internal Medicine, PGY-3 Limited, 07/01/00-06/30/02  
Ray S. Wheasler, MD, Pediatrics, Courtesy, 07/01/00-06/30/02  
Timothy J. Williams, MD, Orthopaedic Surgery, PGY-2 Limited, 07/01/00-06/30/02  
Russell P. Woda, DO, Anesthesiology, Attending, 07/01/00-06/30/02  
Hong Wu, MD, Ophthalmology, PGY-4 Limited, 07/01/00-06/30/02  
David O. Yablok, MD, Anesthesiology, Attending, 07/01/00-06/30/02  
Shounan Yao, MD, Otolaryngology, PGY-2 Limited, 07/01/00-06/30/02  
Emmanuel E. Zervos, MD, Surgery, Fellow 2<sup>nd</sup> Year, 07/01/00-06/30/02  
Pan Zheng, MD, Pathology, Attending, 07/01/00-06/30/02

Request for Additional Privileges

David Bahner, MD, Emergency Medicine, Conscious sedation, 05/10/00-06/30/01  
Thomas Gavin, MD, Emergency Medicine, Conscious sedation, 05/10/00-06/30/01  
Thomas Mauger, MD, Ophthalmology, Ophthalmic Yag Laser, Ophthalmic Argon/Diode Laser, Excimer Laser, Tunable Dye Laser, 07/01/00-06/30/02  
Hagop S. Mekhjian, MD, Internal Medicine, Endoscopies, Needle biopsy, Lumbar puncture, Conscious sedation, 07/01/00-06/30/02  
James L. Moore, MD, Neurology, EEG, Lumbar Puncture, 07/01/00-06/30/02  
Michael Para, MD, Internal Medicine, Skin punch biopsy, Skin scraping with microscopic exam, 07/01/00-06/30/02  
Erick Rath, DDS, Oral Maxillofacial Surgery, Conscious sedation, 07/01/00-06/30/02  
Andrew Reeves, MD, Neurology, Electromyography, Evoked potentials, Lumbar puncture, 07/01/00-06/30/02  
Thomas Reilly, DO, Anesthesiology, Conscious sedation, 07/01/00-06/30/02  
Andrew Schuster, MD, Anesthesiology, Conscious sedation, 07/01/00-06/30/02  
Robert Small, MD, Anesthesiology, Conscious sedation, 07/01/00-06/30/02  
Deborah Stahl, MD, Anesthesiology, Conscious sedation, 07/01/00-06/30/02  
Kuldeep Vaswani, MD, Radiology, Abdominal/Thoracic Imaging, 07/01/00-06/30/02  
David Yablok, MD, Anesthesiology, Conscious sedation, 07/01/00-06/30/02  
Michael Walker, MD, Surgical Oncology Conscious sedation, 07/01/00-06/30/02  
Joel Weaver, DDS, Dentistry, Conscious sedation, Anesthesia privileges, 07/01/00-06/30/02  
Russell Woda, DO, Anesthesiology, Conscious sedation, 07/01/00-06/30/02  
James Young, MD, Psychiatry, Electroconvulsive therapy, 03/13/00-06/30/02

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Hospitals East)

April 2000

Philip F. Binkley, MD, Internal Medicine, Active, 05/15/00-06/30/01  
Michael G. Bissell, MD, PhD, Pathology, Active, 05/15/00-06/30/01  
Frank Cosentino, DO, Internal Medicine, Courtesy, 05/15/00-06/30/01  
E. Christopher Ellison, MD, Surgery, Active, 05/15/00-06/30/01  
Ronald Hopkins, PA, Musculoskeletal Diseases, Allied Health Professional, 05/15/00-06/30/01  
Steven E. Katz, MD, Ophthalmology, Courtesy, 05/15/00-06/30/01  
John P. MacLaurin, DO, Internal Medicine, Courtesy, 05/15/00-06/30/02  
James L. Moore, MD, Neurology, Active, 05/15/00-06/30/02  
Douglas W. Scharre, MD, Neurology, Active, 05/15/00-06/30/02  
Jean Starr, MD, Surgery, Courtesy, 05/15/00-06/30/02  
Donald Woodard, MD, Family Practice, Active, 05/15/00-06/30/02

Medical Staff Reappointments (The Ohio State University Hospitals East)

April 2000

Thomas Mallory, MD, Musculoskeletal Diseases, Active, 07/01/00-06/30/02  
Eric G. Massa, DPM, Podiatry, Courtesy, 07/01/00-06/30/02  
Thomas F. Mauger, MD, Ophthalmology, Active, 07/01/00-06/30/02  
Hagop S. Mekhjian, MD, Gastroenterology, Active, 07/01/00-06/30/02  
Margaret A. Nuovo, MD, Pathology, Active, 07/01/00-06/30/02  
Aparna M. Parmar, MD, Family Practice, PGY-1 Limited, 07/01/00-06/30/02  
Tom H. Pepper, MD, Drug/Alcohol Rehab., Active, 07/01/00-06/30/02  
Kevin J. Pugh, MD, Musculoskeletal Diseases, Active, 07/01/00-06/30/02  
Paula C. Rabidoux, PhD, Phys. Med. & Rehab., Allied Health Professional, 07/01/00-06/30/02  
Barbara M. Rogers, MD, Anesthesiology, Active, 07/01/00-06/30/02  
Robert L. Ruberg, MD, Plastic & Reconstructive, Active, 07/01/00-06/30/02  
Vijay S. Saini, MD, Family Practice, Active, 07/01/00-06/30/02  
Stuart R. Schilling, DPM, Podiatry, Active, 07/01/00-06/30/02  
Andreas W. Schuster, MD, Anesthesiology, Active, 07/01/00-06/30/02  
Christine M. Siggers, DPM, Podiatry, Active, 07/01/00-06/30/02  
Robert H. Small, MD, Anesthesiology, Active, 07/01/00-06/30/02  
Frank P. Sobolewski, DPM, Podiatry, Active, 07/01/00-06/30/02  
Floyd P. Sours, PhD, Psychology, Active, 07/01/00-06/30/02  
Gaylyn J. Speas, MD, Anesthesiology, Active, 07/01/00-06/30/02  
Carl E. Speicher, MD, Pathology, Active, 07/01/00-06/30/02  
Daryl R. Sybert, DO, Musculoskeletal Diseases, Active, 07/01/00-06/30/02  
Katja R. Turner, MD, Anesthesiology, Active, 07/01/00-06/30/02  
Louis J. Unverferth, MD, Musculoskeletal Diseases, Active, 07/01/00-06/30/02  
Lewis M. Vichinsky, DPM, Podiatry, Active, 07/01/00-06/30/02  
Russell P. Woda, DO, Anesthesiology, Active, 07/01/00-06/30/02  
David O. Yablok, MD, Anesthesiology, Active, 07/01/00-06/30/02  
Pan Zheng, MD, PhD, Pathology, Consulting, 07/01/00-06/30/02  
Eugene D. Zoog, DPM, Podiatry, Active, 07/01/00-06/30/02

Request for Change in Status

David S. Schwartz, MD, Surgery, Courtesy, Honorary/no privileges

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## RESOLUTIONS IN MEMORIAM

Resolution No. 2001-7

Synopsis: Approval of six Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

Milton Ain

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 1, 2000, of Milton Ain, Associate Professor Emeritus in the College of Social Work.

Professor Ain received a bachelor of art degree in 1953 in social science from the City College of New York, and a master of social work in 1967 at The Ohio State University. He served in the United States Army during the Korean War. Before joining the faculty of the College of Social Work, Professor Ain served as the clinical director of the Interfaith Counseling Center and Consultant with the Jewish Family Services. After joining the faculty of the College of Social Work, Professor Ain became Director of Baccalaureate Studies, as well as serving as a board member and President of Huckleberry House, Vice President of the Central Ohio Chapter of the National Association of Social Workers, board member of the Hillel Foundation, and creator of the specialty in Jewish Studies in the MSW Program in the College of Social Work.

Professor Ain was honored by students and faculty colleagues for his excellent contributions as a social work educator. He was recognized for challenging his students to utilize their intellect and practice skills in order to fulfill their complex professional roles in clinical practice settings. He demonstrated exceptional ability as a leader and supervisor of students and faculty members assigned to social work practice settings.

Milton Ain was committed to providing community service in diverse professional roles including board member, clinical practice director, and clinical practitioner in order to improve the lives of troubled individuals, married couples, and families. Professor Ain spoke in a clear voice among the social work faculty in promoting reliance on reason and ethical values to guide debates on curriculum issues and social work faculty governance.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Milton Ain its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Richard J. First

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 10, 2000, of Richard Joseph First, Associate Professor Emeritus in the College of Social Work.

Dr. First was a native of Michigan. Born and reared on a farm, he completed his baccalaureate degree in agriculture at Michigan State University in 1956. Following experience as an extension agent, he earned a master of social work at Michigan State. Case Western Reserve University granted his Ph.D. in 1979. His professional experience as a social worker included community organizing and policy-making activities in the cause of social and economic justice. He was a program developer and trainer for the North Carolina Fund in early voter registration projects.

These programs became the model for Vista during President Johnson's War on Poverty. He also served the Michigan League for Human Services and the Michigan United Fund. He was recognized for his developmental service by Vista at its thirtieth anniversary celebration in 1996.

He held faculty appointments teaching social policy, social planning, and community organizing at the University of Arkansas at Little Rock, Indiana University, and The Ohio State University. He

## RESOLUTIONS IN MEMORIAM (contd)

Richard J. First (contd)

also held visiting appointments at the University of North Carolina/Greensboro and Jackson State University, Jackson, Mississippi, where he helped initiate a doctoral program in social work. He was recognized for his contribution to social work education in 1986 by the alumni of the Indiana School of Social Work. The Jackson State University School of Social Work offered a posthumous resolution of gratitude for his lifetime of work and service to the development of their doctoral program.

In the last twenty years, he devoted his scholarship and advocacy to solving housing problems and understanding the causes of homelessness. He received funding from the National Institute of Mental Health, Ohio Department of Mental Health, the Indiana Department of Mental Health, the Ohio Board of Regents and others to conduct studies relevant to the relationship between homelessness and mental health. The Central Ohio Chapter of the National Association of Social Workers honored him for his work on behalf of homeless people in 1989.

Dr. First was also deeply involved in international social development, social welfare, and social work education. He participated in student exchange education with the Fachhochschule, Hamburg, and Dortmund Fachhochschule in Germany. He lectured at the Goethe-University of Frankfurt Center for North American Studies in 1989. Later he lectured at Jagiellonian University in Krakow, Poland, and took students for study of the changes in social welfare in Poland in the transition to market economy.

A man with commitment to social justice, Dr. First is remembered for his tireless efforts to reduce poverty and discrimination. His scholarly articles and presentations contributed to understanding and solving social problems. He also was called to give expert testimony to legislatures in two states and consulted on policy in a number of governmental agencies.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Richard J. First its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Philip C. Keenan

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 20, 2000, of Dr. Philip C. Keenan, Professor Emeritus in the Department of Astronomy.

Dr. Keenan was born in Pennsylvania in 1908 and received his B.S. and M.S. degrees from the University of Arizona, where he published his first paper in 1929. He earned his Ph.D. degree from the University of Chicago. He worked at Yerkes Observatory and the University of Chicago until the outbreak of World War II, when he joined the Navy Bureau of Ordinance. He came to Ohio State and Perkins Observatory in 1946, and remained on the faculty until his retirement in 1976.

By far, the most influential of Dr. Keenan's work was his development, with W. W. Morgan at Yerkes, of a system of classifying stars by their spectra. Named the MK system after Morgan and Keenan, it has remained the worldwide standard ever since. Morgan and Keenan published their *Atlas of Stellar Spectra* in 1943, showing examples of each type of spectrum, and from that time forward the labels were defined by the spectra shown in that atlas. A spectrum is sensitive primarily to the temperature of the star but the total radiated power of the star also enters into the classification. A third variable, the chemical composition of a star, also causes some stars to have very different spectra, a factor also included in the MK system. Professor Keenan was responsible for classifying the cooler stars, and his physical insight guided him in classifying stars so that the effects of temperature, radiated power, and composition clearly could be discriminated. It was this understanding of how to glean the most useful information from stellar spectra that caused the MK system to remain the standard while our understanding of stars changed greatly.



## RESOLUTIONS IN MEMORIAM (contd)

Philip C. Keenan (contd)

Professor Keenan's most recent publication appeared in 1999, giving him a span of publications of over 70 years. No other astronomer in the history of the United States has even come close to such a record. Long after the age where most scientists have ended their involvement in research, Dr. Keenan remained at the forefront, incorporating the latest data from spacecraft observations of stars in modifications of his spectral classification scheme.

Dr. Keenan brought incredible powers of concentration and very sharp eyesight to his classification of stellar spectra, and he could often recognize a star simply by looking at its spectrum. However, he had a very wide range of other interests. He was an avid stamp collector, a great baseball fan, and a lover of old books. He taught himself both Spanish and Portuguese so he could read documents on the history of astronomy in South America, a field in which he became quite an authority. His knowledge and love of wildflowers was extensive, and he traveled widely to view rare flowers in other countries. He spent many hours tending his flower garden at Perkins Observatory, where his ashes were scattered. With his passing, a link with early 20<sup>th</sup> century astronomy has been lost.

He leaves no family; astronomers who rely extensively on his classification scheme, fellow stamp collectors, colleagues, and scholars who shared his diverse pursuits were his family. On behalf of the University community, the Board of Trustees expresses to the friends and colleagues of Professor Philip C. Keenan its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his colleagues in the Department of Astronomy as an expression of the Board's heartfelt sympathy.

K. Narahari Rao

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 5, 2000, of K. Narahari Rao, Professor Emeritus in the Department of Physics.

Professor Rao received his Ph.D. from the University of Chicago in 1949 under the direction of Dr. Gerhard Herzberg (Nobel Laureate, 1971). He was at the National Physical Laboratory in Delhi, India, from 1950-52, and then Duke University and the University of Tennessee between 1952-54. He came to the Department of Physics at The Ohio State University in 1960 as a research associate, became Associate Professor in 1960 and was promoted to Professor in 1963. In 1992 he retired as Professor Emeritus of the Department of Physics.

His research was centered in the field of high resolution infrared spectroscopy. Throughout his career, he addressed a need for better wavelength standards in this region which culminated in the publication with Dr. Guy Guelachvili of the *Handbook of Infrared Standards* (Academic Press, 1986 and later editions). He also led in the development of improved methods of studying infrared spectra at higher and higher resolution. These methods were applied to the determination of molecular constants, studies of different isotopomers for better structure determination, studies of intra-molecule interactions, and observations of transitions normally considered to be unavailable for study. He also demonstrated for the first time that high resolution spectra of solid hydrogen yielded unusual and highly informative new kinds of data.

These research activities resulted in over 300 publications, including six books and six major review articles. He worked with 43 students who received Ph.D. degrees, 36 students who received M.S. degrees (with theses), 10 postdoctoral fellows, and 34 visiting scientists from nine countries, many of who returned on several occasions.

Professor Rao became editor of the *Journal of Molecular Spectroscopy* in 1973. He stepped down as editor in 1995 after increasing the size of the journal by a factor of six and processing nearly 10,000 articles essentially single-handed.

## **RESOLUTIONS IN MEMORIAM (contd)**

K. Narahari Rao (contd)

During the same period he played a similar role as director and organizer of the international "Symposia on Molecular Structure and Spectroscopy." These conferences are held annually at the OSU campus and are attended by 400-500 scientists from all around the world. These activities led to a special recognition in 1992 upon his retirement, as well as the creation of annual "Rao Prizes" given to three young scientists for outstanding first presentations at the Symposium.

Professor Rao was elected to the Cosmos Club in 1967, received the Asian Indians in North America Prize for basic research in 1980, the Indian National Science Academy Endowment Lecture in Spectroscopy in 1982, the Marcus Marci Medal of the Czechoslovak Spectroscopy Society in 1985, The Ohio State University Distinguished Scholar Award in 1986, the Faculty Service Award from the National University Continuing Education Association in 1987, the Pittsburgh Spectroscopy Award in 1988, The Ohio State University International Outstanding Faculty Award in 1992, and the William Fowler Award from the Ohio Section of the American Physical Society in 1993. He was also a Fellow in the American Physical Society and a Fellow in the Optical Society of America.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Rao its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed in the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

Leo F. Schwerin

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 17, 2000, of Leo F. Schwerin, Associate Director Emeritus of The Ohio State University Research Foundation.

Leo Schwerin was a native of Iowa, born on July 26, 1915. He received his Bachelor of Science degree in Physics and Math from Iowa Wesleyan College in 1938, and his Master of Education degree in 1941 from Colorado Northern University.

He spent the first five years of his career in Rowan, Iowa, as a high school principal where he also coached baseball and basketball. Mr. Schwerin then taught basic radio for the Signal Corps at Camp Evans, New Jersey, for a short time before coming to Wright Field in Dayton, Ohio, as the assistant chief of the Antenna Lab where he worked for 14 years. He later attended the Lay School of Theology and spent seven years as the director of stewardship and congregation life for the Ohio United Lutheran Church. Leo Schwerin held the position of contracts officer at The Ohio State University Research Foundation from 1962 until he retired in 1982. He had the honor of being the first staff member from the Research Foundation to achieve emeritus status.

As a research administrator for the Research Foundation, Leo Schwerin negotiated and administered contracts for the Electrosience Lab and other Air Force and NASA research efforts. He was a dedicated staff person who continued to attend Electrosience Lab functions after his retirement from the Research Foundation.

For thirty years, he was an active member of the National Contract Managers Association and was leader of NCMA's National Small Business Group. Over the years, he served the local chapter of NCMA as Treasurer, Secretary, and Fellows Chair, as well as photographer for all chapter meetings and events and contributed much to the photographic history of the local chapter of NCMA.

An avid traveler, he enjoyed hiking and traveling in Alaska, Canada, and Newfoundland. He married his wife, Frances, in 1941 and they were married for over 50 years until her death in 1993. Leo Schwerin was a charter member of Resurrection Lutheran Church and an active member of the Advent Lutheran Church. He was former director of stewardship for the United Lutheran Churches of America and a fifty-year member of the Lutheran Lay Ministry. He was an

## **RESOLUTIONS IN MEMORIAM (contd)**

Leo F. Schwerin (contd)

avid OSU Buckeye sports fan who for many years attended as many OSU football and basketball games as possible.

On behalf of the University community, the Board of Trustees expresses to the family of Leo F. Schwerin its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be provided to his family as an expression of the Board's heartfelt sympathy.

Robert A. Titus

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 13, 2000, of Dr. Robert Austin Titus, Associate Professor Emeritus in the School of Music.

Dr. Titus was born in Marion, Iowa, and attended Iowa State University before transferring to the University of Iowa to pursue the study of music. At the completion of his undergraduate studies, he was elected to Phi Beta Kappa. His graduate studies were supervised by his clarinet mentor, Dr. Himie Voxman, renowned scholar and author. His Ph.D. dissertation "Solo Music for the Clarinet in the 18<sup>th</sup> Century" is the most widely-read document on woodwind music of that period, and remains the most authoritative source of information on the subject.

Dr. Titus joined the faculty of the School of Music in 1947, teaching applied clarinet performance, woodwind pedagogy and literature, and woodwind ensemble until his retirement in 1981. He served as chairman of the woodwind section of the School of Music, advised graduate students, and acted as leader of the Faculty Woodwind Quintet which performed, recorded, and toured extensively. In 1951, he joined faculty colleagues in forming the Columbus Little Symphony, which later became the Columbus Symphony Orchestra. He was principal clarinetist in the orchestra until 1962. An exacting teacher of profound musical scholarship, he touched the lives of his students, imbuing in them his great love of music and an unwavering commitment to musical scholarship and excellence.

In addition to his great love of classical music and a lifelong commitment to his profession, Dr. Titus pursued many and varied interests, including archeology, bicycling, gardening, and a multitude of outdoor activities. As a B-17 navigator in the Army Air Force during World War II, he cultivated friendships which endured and were enriched throughout his life through annual reunions of the 95<sup>th</sup> Bomb Group and the Air Force Escape and Evasion Society. He was the recipient of many prestigious awards. His service to his country is permanently remembered in a memorial at the Air Force Museum at Wright Patterson Air Force Base in Dayton, Ohio.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Robert A. Titus its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

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## **REPORT OF RESEARCH CONTRACTS AND GRANTS**

Resolution No. 2001-8

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for May 2000 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

**REPORT OF RESEARCH CONTRACTS AND GRANTS (contd)**

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of May 2000 be approved.

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**REPORT ON UNIVERSITY DEVELOPMENT**

Resolution No. 2001-9

Synopsis: The report on the receipt of gifts and the summary for May 2000 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Wayne Woodrow Hayes Chair in National Securities Studies, The Max Morehouse Chair in Cancer Research, The Martha Morehouse Chair in Arthritis and Immunology Research, and The Dr. Ernest W. Johnson Professorship; and

WHEREAS this report includes the establishment of nineteen (19) new named endowed funds and the amendment to one (1) endowed professorship:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of May 2000 be approved.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**TOTAL UNIVERSITY PRIVATE SUPPORT**

July-May  
1998-99 Compared to 1999-00

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through May		
	<u>1998-99</u>	<u>1999-00</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$ 34,632,738	\$25,280,383	(27)
Alumni (From Bequests)	<u>3,563,238</u>	<u>9,284,861</u>	161
Alumni Total	\$38,195,976	\$34,565,244	(10)
Non-Alumni (Current Giving)	\$10,756,998	\$19,976,684	86
Non-Alumni (From Bequests)	<u>6,074,572</u>	<u>5,850,423</u>	(4)
Non-Alumni Total	\$16,831,570	\$25,827,107	53
Individual Total	\$55,027,546	\$60,392,351	10 <sup>A</sup>
Corporations/Corp. Foundations	\$33,412,444	\$33,279,994	0
Private Foundations	\$7,841,805	\$13,433,747	71 <sup>B</sup>
Associations & Other Organizations	<u>\$3,126,797</u>	<u>\$4,909,448</u>	57 <sup>C</sup>
Total	\$99,408,592	\$112,015,540	13

NOTES

- A Individual giving at the \$10,000 or more level is up 5% for this year (\$42.2 million from 479 gifts this year; \$40.3 million from 439 gifts last year).
- B Foundation giving at the \$10,000 or more level is up 79% so far this year (\$12.5 million from 174 gifts this year; \$7.0 million from 133 gifts last year).
- C Other organizations and associations have given about \$1.4 million more at the \$10,000 or more level so far this year compared with last year.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**TOTAL UNIVERSITY PRIVATE SUPPORT (contd)**

July-May  
1998-99 Compared to 1999-00

GIFT RECEIPTS BY PURPOSE

	Dollars July through May		
	<u>1998-99</u>	<u>1999-00</u>	<u>% Change</u>
Gift Receipts to Current Use & Endowment Funds:			
Buildings/Equipment	\$23,715,626	\$9,758,518	(17)
Faculty Support	\$11,770,198	\$18,629,616	58
Program Support	\$42,031,205	\$49,505,710	18
Student Financial Aid	\$12,192,208	\$14,724,040	21
Annual Funds-Colleges/Departments	\$7,371,000	\$7,661,661	4
Annual Funds-University	<u>\$2,328,355</u>	<u>\$1,735,995</u>	(25)
Total	\$99,408,592	\$112,015,540	13

**GIFT ADDITIONS TO ENDOWMENT**

Dollars  
July through May

<u>1998-99</u>	<u>1999-00</u>	<u>% Change</u>
\$41,142,566	\$41,460,465	1

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Chair</u>			
The Wayne Woodrow Hayes Chair in National Securities Studies (Support for chair - Mershon Center in the College of Social and Behavioral Sciences; provided by a gift from the family and friends of Woody Hayes) (Grandfathered)	\$1,287,515.00		\$1,287,515.00
<u>Establishment of Named Endowed Professorship</u>			
The Dr. Ernest W. Johnson Professorship (Support for professorship – College of Medicine and Public Health; provided by gifts from friends and colleagues in honor of Dr. Ernest W. Johnson) (Grandfathered)	\$500,000.00		\$500,000.00
<u>Establishment of Named Endowed Funds</u>			
Ohio Extension Agents Association and Epsilon Sigma Phi Fund (Support for continuing education scholarships and programs and to enhance working relations with county, district, and state personnel for OSU Extension; provided by gifts from remaining funds from the national "Galaxy" Conference)		\$32,665.99	\$32,665.99
The Rodney B. Baldwin Scholarship Fund (Scholarships – College of Law; provided by gifts from the estate of Rodney B. Baldwin)		\$25,000.00	\$25,000.00

**THE OHIO STATE UNIVERSITY FOUNDATION**

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Chairs</u>			
The Max Morehouse Chair in Cancer Research (Support for chair position - College of Medicine and Public Health; provided by gifts from the estate of Martha Morehouse in memory of her father)		\$1,250,000.00	\$1,250,000.00
The Martha Morehouse Chair in Arthritis and Immunology Research (Support for chair position - College of Medicine and Public Health; provided by gifts from the estate of Martha Morehouse)		\$1,250,000.00	\$1,250,000.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Presidential Scholar Fund</u>			
The H. Fred Krimendahl II Presidential Scholarship Fund (Support for a presidential scholarship; provided by gifts from the H. Frederick Krimendahl II Foundation and West End Road Fund at New York Community Trust)	\$250,000.00		\$250,000.00
<u>Establishment of Named Endowed Funds</u>			
The Dr. Edward E. and Sylvia Hagenlocker Chair Fund in Physics (Support for chair position - College of Mathematical and Physical Sciences; provided by from Dr. Edward and Sylvia Hagenlocker)		\$500,000.00	\$500,000.00
The Nick and Iris Swartz Hahn Scholarship Fund (Scholarships - College of Food, Agricultural and Environmental Sciences; provided by gifts from James Nicholas Hahn and Iris Swartz Hahn)		\$100,000.00	\$100,000.00
Irene J. Igoe Scholarship Fund (Scholarships for worthy students preparing for a career in teaching; provided by gifts from Robert Igoe in honor of his mother)		\$100,000.00	\$100,000.00
The Glenn Goltz Scholarship Fund (Scholarships - College of Food, Agricultural and Environmental Sciences; provided by gifts in memory of Glenn Goltz from Robert Hadley)		\$99,050.00	\$99,050.00
The "Bud" Hirsch Golf Scholarship Fund (Scholarships – Department of Athletics; provided by gifts from J. Emerson "Bud" Hirsch)		\$50,000.00	\$50,000.00
The Reba Belston Scholarship Fund (Scholarships – OSU at Lima; provided by gifts from the estate of Reba L. Belston)		\$36,000.00	\$36,000.00
The Thomas A. Spieth Endowment Fund for Lymphoma Research (Support for lymphoma research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by gift from Thomas A. Spieth)		\$35,665.99	\$35,665.99



**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Solomon Family Cancer Research Endowment Fund (Support for cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by anonymous gifts)		\$35,000.00	\$35,000.00
Kathleen E. Kendrick Scholarship Fund (Scholarships - College of Biological Sciences; provided by gifts from the estate of Kathleen Kendrick and from her family, friends, and colleagues)		\$30,969.94	\$30,969.94
The Eloise L. Fisher Endowment Fund for Cancer Research (Support for cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by gifts received in memoriam to Eloise L. and John E. Fisher)		\$30,505.00	\$30,505.00
The Yoder Faculty Excellence Endowment Fund (Support to attract and retain faculty in the Max M. Fisher College of Business; provided by gifts from Dwight J. Yoder)		\$30,000.00	\$30,000.00
The Kenneth O. Smith Mechanical Engineering Scholarship Fund (Scholarships – College of Engineering; provided by gifts from Kenneth O. Smith and other donors)		\$25,500.00	\$25,500.00
Logan County 4-H Endowment Fund (Support for Logan County 4-H program; provided by gifts from friends of Logan County 4-H)		\$25,431.87	\$25,431.87
The Beth Morlan Kinney Scholarship Fund (Scholarships – College of Human Ecology; provided by gifts from Beth Morlan Kinney)		\$25,000.00	\$25,000.00
The Donald F. and Sally Kuntz Jones University Scholars Fund (Merit scholarships for students majoring in engineering or nursing; provided by gifts from Donald F. and Sally Kuntz Jones)		\$25,000.00	\$25,000.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Yoder Undergraduate Scholarship Endowment Fund (Scholarships – Max M. Fisher College of Business; provided by gifts from Dwight J. Yoder)		\$25,000.00	\$25,000.00
<u>Change in Description of Named Endowed Professorship</u>			
The Battelle Professorship in Inhalation Therapeutics			
Totals	\$2,037,515.00	\$3,730,788.79	\$5,768,303.79

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND**

Establishment of Named Endowed Chair

**The Wayne Woodrow Hayes Chair in National Securities Studies**

The Wayne Woodrow Hayes Chair Fund in National Securities Studies was established July 11, 1997, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from the family and friends of Woody Hayes. The funding level of \$1,250,000 has been reached, the chair established, and the description was revised July 7, 2000.

All gifts are to be invested in the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

In keeping with the provisions of the original endowed fund, income shall be used to support the Wayne Woodrow Hayes Chair in National Securities Studies in the Mershon Center in the College of Social and Behavioral Sciences. Appointment to the Hayes Chair will be made upon recommendation of the director of the Mershon Center and with approval of the University Board of Trustees.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$1,287,515.00  
(Grandfathered)

## **REPORT ON UNIVERSITY DEVELOPMENT (contd)**

### **THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

#### Establishment of Named Endowed Professorship

##### **The Dr. Ernest W. Johnson Professorship**

The Dr. Ernest W. Johnson Professorship Fund was established May 1, 1992, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from friends and colleagues in honor of Dr. Ernest W. Johnson (B.A. Bio. Sci. 1948; M.D. 1952; M.S. Med. Sci. 1957). The funding level has been reached and the professorship was being established July 7, 2000.

All gifts are to be invested in the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

In keeping with the provisions of the original endowed fund, the professorship will support research in physical medicine and rehabilitation in the Department of Physical Medicine and Rehabilitation, College of Medicine and Public Health. As funds become available and the principal reaches the chair level of \$1,250,000, the professorship may be converted to a chair that will support research in physical medicine and rehabilitation in the Department of Physical Medicine and Rehabilitation, College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health, chairperson of the Department of Physical Medicine and Rehabilitation, or a representative of the Ernest W. Johnson Society in order to carry out the desire of the donor.

\$500,000.00  
(Grandfathered)

#### Establishment of Named Endowed Funds

##### **Ohio Extension Agents Association and Epsilon Sigma Phi Fund**

The Ohio Extension Agents Association and Epsilon Sigma Phi Fund was established July 7, 2000, by the Board of Trustees of The Ohio State University with gifts from the balance of remaining funds from the national "Galaxy" Conference coordinated by Ohio in 1997.

All gifts are to be invested in the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for continuing education scholarships, continuing education programs, and to enhance working relations with county, district, and state personnel for Ohio State University Extension. Decisions will be made in joint consultation with Epsilon Sigma Phi and the Ohio Extension Agents Association.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of Ohio State University Extension in order to carry out the desire of the donors.

\$32,665.99

## **REPORT ON UNIVERSITY DEVELOPMENT (contd)**

### **THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

#### Establishment of Named Endowed Funds (contd)

##### **The Rodney B. Baldwin Scholarship Fund**

The Rodney B. Baldwin Scholarship Fund was established July 7, 2000, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Rodney B. Baldwin (J.D. 1922).

All gifts are to be invested in the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for financial aid to students enrolled in the College of Law. Recipients shall be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees, with preference being given to recommendations from the appropriate administrative official of the University who is then directly responsible for the College of Law in order to carry out the desire of the donor.

\$25,000.00

### **THE OHIO STATE UNIVERSITY FOUNDATION**

#### Establishment of Named Endowed Chairs

##### **The Max Morehouse Chair in Cancer Research**

The Max Morehouse Chair in Cancer Research was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Martha Morehouse of Columbus, Ohio, in memory of her father, the late Max Morehouse.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for support of a chair position in the field of cancer research within the College of Medicine and Public Health, The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, and the Comprehensive Cancer Center. The position shall be held by a nationally eminent faculty member as recommended by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, and by the director of the Comprehensive Cancer Center to the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. The activities of the endowed chair holder shall be reviewed no less than every five years by the senior vice president and dean to determine compliance with the intent of the donor as well as the academic and research standards of the University.

The research activities of the chair holder may also be supported to include research personnel, equipment, supplies, and other necessary expenses of an active researcher involved in quality medical research in the field of cancer.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Chairs (contd)

**The Max Morehouse Chair in Cancer Research (contd)**

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use (with the exception of AIDS research of any kind or nature) shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$1,250,000.00

**The Martha Morehouse Chair in Arthritis and Immunology Research**

The Martha Morehouse Chair in Arthritis and Immunology Research was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Martha Morehouse of Columbus, Ohio, and made in her memory and that of her son, the late Edward "Buck" Morehouse Johnson.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall provide support for the advancement of medical knowledge of the areas of arthritis and immunology and shall provide salary support for a chair position in the Division of Rheumatology and Immunology in the College of Medicine and Public Health. The position shall be held by a nationally eminent faculty member as recommended by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. The activities of the endowed chair holder shall be reviewed no less than every five years by the senior vice president and dean to determine compliance with the intent of the donor as well as the academic and research standards of the University

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use (with the exception of AIDS research of any kind or nature) shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$1,250,000.00

Establishment of Named Presidential Scholar Fund

**The H. Fred Krimendahl II Presidential Scholarship Fund**

The H. Fred Krimendahl II Endowment Fund was established February 7, 1997, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the H. Frederick Krimendahl II Foundation and West End Road Fund at New York Community Trust at the direction of H. Frederick Krimendahl II (B.A. Arts & Sciences 1950) of New York, New York. The funding level was reached and The H. Fred Krimendahl II Presidential Scholarship Fund was established July 7, 2000.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

## **REPORT ON UNIVERSITY DEVELOPMENT (contd)**

### **THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

#### Establishment of Named Presidential Scholar Fund (contd)

##### **The H. Fred Krimendahl II Presidential Scholarship Fund (contd)**

In keeping with the provisions of the original endowed fund, the annual income shall be used to provide a presidential scholarship. Each recipient will be selected through the University's presidential scholarship competition and will continue through the senior year so long as he or she maintains eligibility as a presidential scholar. When a recipient graduates, a new freshman will be named. This fund shall be administered by the University Honors Center in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$250,000.00

#### Establishment of Named Endowed Funds

##### **The Dr. Edward E. and Sylvia Hagenlocker Chair Fund in Physics**

The Dr. Edward E. and Sylvia Hagenlocker Chair Fund in Physics was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Edward E. Hagenlocker (B.S. Physics 1962; M.S. Physics 1962; Ph.D. Physics 1964) and Sylvia Hagenlocker, both of Bloomfield Hills, Michigan.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be returned to the principal until the fund reaches \$1,500,000 and The Dr. Edward E. and Sylvia Hagenlocker Chair in Physics is established. Income from this chair will provide support for a distinguished senior faculty position in the Department of Physics. The Hagenlocker Chair's teaching and research will be expected to focus on atomic, molecular, and optical physics. Appointment will be for a 5-year term and will be chosen by the chair of the Department of Physics with the approval of the Board of Trustees, President, Provost, and the dean of the College of Mathematical and Physical Sciences.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$500,000.00

##### **The Nick and Iris Swartz Hahn Scholarship Fund**

The Nick and Iris Swartz Hahn Scholarship Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from James Nicholas Hahn (B.S. Animal Sciences 1950), and Iris Swartz Hahn, of Bellevue, Ohio.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Nick and Iris Swartz Hahn Scholarship Fund (contd)**

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for scholarships to support incoming freshmen or first quarter transfer students enrolled in the College of Food, Agricultural, and Environmental Sciences on the Columbus campus, and can be renewed based on academic success. Selection shall be made with a preference for students from Hocking, Wyandot, Erie, or Huron Counties. Scholarship recipients will be awarded by the vice president for Agricultural Administration and executive dean of the College of Food, Agricultural, and Environmental Sciences, or their designee, in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and executive dean of the College of Food, Agricultural, and Environmental Sciences, in order to carry out the desire of the donors.

\$100,000.00

**Irene J. Igoe Scholarship Fund**

The Irene J. Igoe Scholarship Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of the Office of Student Financial Aid from her son, Robert Igoe, Elyria, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

Irene J. Igoe was born November 12, 1897, in Apple Creek, Ohio, to a family of limited resources. Following her graduation as valedictorian of her high school class, she passed an exam for her teaching certificate, beginning a twenty-year career of teaching spanning four decades, and attended college in the summers and raised a family. Most of her teaching was in Wooster (Ohio) City Schools, grades 1-3. Mrs. Igoe felt that financial assistance should be available to qualified and worthy students with need who are preparing for a career in teaching. Irene J. Igoe died in 1984.

The annual income shall be used to provide one or more scholarships to financially needy full-time students at any OSU campus who are preparing for a career in teaching. Scholarships are renewable for worthy students maintaining a B average and making satisfactory progress toward their undergraduate degree. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$100,000.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Glenn Goltz Scholarship Fund**

The Glenn Goltz Scholarship Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Robert Hadley (B.A. Arts & Sciences 1960) of Dayton, Ohio. The scholarship was established in memory of Glenn Goltz of Clyde, Ohio, a food science and technology student, fellow Ohio State band member, and friend of Robert Hadley.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a full scholarship for an incoming freshman undergraduate student who is enrolled in the Department of Food Science and Technology. First preference shall be given to a student who is currently a member of, or trying out for, the Ohio State marching band. If the student maintains at least a 3.0 grade point average, the scholarship can be renewable for up to a total of four years. Should more than one student qualify for this scholarship, the award shall be given to the student with the greatest financial need. If no incoming freshman undergraduate student is identified who meets these criteria, the scholarship shall then go to a student already enrolled in the Department of Food Science and Technology who meets such criteria, or, in the absence of any such student, to the incoming freshman undergraduate student in this department who has the greatest financial need.

The use of the annual income shall be directed by the undergraduate scholarship committee of the Department of Food Science and Technology, in consultation with the department chair, and the Office of Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Vice President for Agricultural Administration and executive dean of the College of Food, Agricultural, and Environmental Sciences, and the chair of the Department of Food Science and Technology or their successor or appropriate administrative officer in order to carry out the desire of the donor.

\$99,050.00

**The "Bud" Hirsch Golf Scholarship Fund**

The "Bud" Hirsch Golf Scholarship Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from J. Emerson "Bud" Hirsch of Winter Haven, Florida.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the grant-in-aid scholarship costs of a student athlete who is a member of the men's varsity golf team pursuing an undergraduate degree at The Ohio State University. Recipients will be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.



**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The "Bud" Hirsch Golf Scholarship Fund (contd)**

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$50,000.00

**The Reba L. Belston Scholarship Fund**

The Reba L. Belston Scholarship Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Reba L. Belston.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships for undergraduate students enrolled at The Ohio State University at Lima. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board, with preference being given to recommendations from the appropriate administrative official of the University who is then directly responsible for The Ohio State University at Lima, in order to carry out the desire of the donor.

\$36,000.00

**The Thomas A. Spieth Endowment Fund for Lymphoma Research**

The Thomas A. Spieth Endowment Fund for Lymphoma Research was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Thomas A. Spieth (M.B.A. 1967) of Fort Lauderdale, Florida.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support lymphoma research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$35,665.99

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Solomon Family Cancer Research Endowment Fund**

The Solomon Family Cancer Research Endowment Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with anonymous gifts.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$35,000.00

**Kathleen E. Kendrick Scholarship Fund**

The Kathleen E. Kendrick Scholarship Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of microbiology faculty member, Kathleen E. Kendrick, Ph.D., and gifts in her memory from family, friends and colleagues.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be distributed to The Ohio State University to support minority students majoring in microbiology in the College of Biological Sciences. Recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$30,969.94

**The Eloise L. Fisher Endowment Fund for Cancer Research**

The Eloise L. Fisher Endowment Fund for Cancer Research for the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with various gifts received in memoriam to Eloise L. and John E. Fisher.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Eloise L. Fisher Endowment Fund for Cancer Research (contd)**

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and the dean of the College of Medicine and Public Health.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$30,505.00

**The Yoder Faculty Excellence Endowment Fund**

The Yoder Faculty Excellence Endowment Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dwight J. Yoder (B.S.Bus.Adm. 1967; M.Acc. 1969) of Rancho Palos Verdes, California.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the dean of The Max M. Fisher College of Business to attract and retain faculty through the support of teaching, research and service.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate university official in order to carry out the desire of the donor.

\$30,000.00

**The Kenneth O. Smith Mechanical Engineering Scholarship Fund**

The Kenneth O. Smith Mechanical Engineering Scholarship Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Kenneth O. Smith (B.M.E. 1948) of Circleville, Ohio, and other donors.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Kenneth O. Smith Mechanical Engineering Scholarship Fund (contd)**

The annual income shall be used by the Department of Mechanical Engineering for scholarships to deserving undergraduate mechanical engineering majors. Students selected as Kenneth O. Smith Scholars will be eligible for renewal pending review of their academic progress. The chairperson of the Department of Mechanical Engineering will be responsible for administering these scholarships in consultation with the University Committee on Student Financial Aid.

It is the desire of Mr. Smith that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of Mr. Smith.

\$25,500.00

**Logan County 4-H Endowment Fund**

The Logan County 4-H Endowment Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends of Logan County 4-H.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the Logan County 4-H program. This support may include, but is not limited to, the funding of scholarships and awards for 4-H members; volunteer leaders and friends of 4-H; sponsoring seminars and programs; purchasing material or services that will supplement 4-H programming or increase the visibility and public support of 4-H in Logan County. All expenditures from this fund shall be approved by the Logan County 4-H Advisory Committee.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board with preference being given to the recommendations of the appropriate administrative official of The Ohio State University who is the director for the Ohio State University Extension, in consultation with the committee representing the local 4-H donors.

\$25,431.87

**The Beth Morlan Kinney Scholarship Fund**

The Beth Morlan Kinney Scholarship Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Beth Morlan Kinney (B.S. Human Nutrition 1936), of Sebring, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Beth Morlan Kinney Scholarship Fund (contd)**

The annual income shall be used for a scholarship for a College of Human Ecology student. Selection will be made by the dean of the College of Human Ecology, or the dean's appointee, in consultation with the College's Executive Committee, and the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Human Ecology, in order to carry out the desire of the donor.

\$25,000.00

**The Donald F. and Sally Kuntz Jones University Scholars Fund**

The Donald F. and Sally Kuntz Jones University Scholars Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Donald F. Jones (B.M.E. 1950), and Sally Kuntz Jones (B.S. Nursing 1949), of Sanford, North Carolina.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide undergraduate merit scholarships for Donald F. and Sally Kuntz Jones University Scholars. Preference shall be given to students majoring or planning to major in engineering or nursing. The scholarship will be administered by the University Honors and Scholars Center in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$25,000.00

**The Yoder Undergraduate Scholarship Endowment Fund**

The Yoder Undergraduate Scholarship Endowment Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dwight J. Yoder (B.S.Bus.Adm. 1967; M.Acc. 1969) of Rancho Palos Verdes, California.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support undergraduate students in The Max M. Fisher College of Business. Preference shall be given to an accounting major with financial need. Selection shall be made by the college scholarship committee in consultation with the University Committee on Student Financial Aid and with formal approval by the dean.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Yoder Undergraduate Scholarship Endowment Fund (contd)**

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate university official in order to carry out the desire of the donor.

\$25,000.00

Change in Description of Named Endowed Professorship

**The Battelle Professorship in Inhalation Therapeutics**

The Battelle Fund in Inhalation Therapeutics was established September 5, 1997, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Battelle Memorial Institute of Columbus, Ohio. The professorship was established September 1, 1999. The description was revised July 7, 2000.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

In keeping with the provisions of the original endowed fund, income shall be used to support a professorship in the College of Medicine and Public Health. The professorship position shall be held by a distinguished scholar as recommended by the senior vice president for health sciences and dean of the College of Medicine and Public Health in consultation with a selection committee within the College. The activities of the endowed professorship holder shall be reviewed no less than every five years by the dean and senior vice president to determine compliance with the intent of the donor as well as the academic and research standards of the University.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board of Directors in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

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**FUNDING PLAN FOR UNIVERSITY DEVELOPMENT**

Resolution No. 2001-10

Synopsis: A funding plan for support of fund raising without General Funds support is proposed.

WHEREAS continued investment is needed to protect and enhance the University's ability to acquire private gift support and to improve the alumni/development information systems and administrative infrastructure beyond the end of the Affirm Thy Friendship Campaign; and

WHEREAS General Fund resources are limited and reallocation from academic programs is not practical; and

### **FUNDING PLAN FOR UNIVERSITY DEVELOPMENT (contd)**

WHEREAS appropriate consultation with Deans and other University officials has resulted in agreement on the methods to gradually replace current general funds resources:

NOW THEREFORE

BE IT RESOLVED, That

1. The current funding supplement consisting of the interest income from holding current use gifts 90 days will continue through June 30, 2003.
2. Effective July 1, 2000, through June 30, 2003 the current 0.5% charge against all endowments should be increased up to an additional 0.6% in order to fully support fund raising and other custodial and stewardship activities.
3. The University be authorized to replace, over time, the 1.1% charge against all endowments with an endowment established to support development activity. Establishment of such an endowment is subject to Board of Trustees approval, and shall be accomplished through one or more of the following means, after appropriate consultation with the Council of Deans and with donors, provided that support to Development is not reduced:
  - a) unrestricted estate gifts;
  - b) gifts in support of Development; and/or
  - c) a portion of general endowment investment growth greater than 10%.
4. Any General Funds freed up by this plan cannot be reallocated without Board approval.
5. The Senior Vice President for Business and Finance and Chief Financial Officer and the Vice President for Development shall report annually to the Investments Committee and Fiscal Affairs Committee of the Board of Trustees and to the University Foundation Board on the acquisition and expenditure of these funds.
6. This plan will be reviewed by the Board of Trustees prior to June 30, 2003, for possible continuance or revision of the funding model beyond the stated date.

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### **EMPLOYMENT OF ARCHITECTS/ENGINEERS AND REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 2001-11

#### **HEART HOSPITAL BYRD POLAR RESEARCH CENTER – ROCK REPOSITORY**

Synopsis: Authorization to employ architect/engineering firms for the Heart Hospital and Byrd Polar Research Center – Rock Repository projects and request construction bids for the Byrd Polar Research Center – Rock Repository project are requested.

WHEREAS the University desires to construct a new Heart Hospital, with the total project cost yet to be determined; and

WHEREAS the University desires to construct a single story building to house the Byrd Polar Research Center's Antarctic rock collection and extend the existing loading dock to connect the building with the existing cold storage building and Scott Hall; and

WHEREAS the total estimated project cost is \$692,526 and the total estimated construction cost is \$540,000, with funding provided by the an National Science Foundation grant (\$503,941), Board of Regents grant (\$94,292), Office of Research (\$44,646), Byrd Polar Research Center (\$44,647), and Department of Geological Sciences (\$5,000):

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND  
REQUEST FOR CONSTRUCTION BIDS (contd)**

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Heart Hospital and Byrd Polar Research Center – Rock Repository projects and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for the Byrd Polar Research Center – Rock Repository project in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time.

(See Appendix II for maps, page 87.)

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**PURCHASE OF REAL PROPERTY**

Resolution No. 2001-12

APPROXIMATELY 16.882 ACRES LOCATED AT 2825 DUBLIN-GRANVILLE ROAD  
COLUMBUS, OHIO

Synopsis: Authorization for the purchase of 16.882 acres of improved real property adjacent to The Ohio State University Airport is proposed.

WHEREAS the Board of Trustees of The Ohio State University is presented with the opportunity to purchase approximately 16.882 acres of land owned by the Ohio National Guard and offered for sale under terms defined by House Bill 479; and

WHEREAS House Bill 479 defines the price for the property as the appraised value and The Ohio State University will offer to purchase the property at a price not to exceed the appraised value of \$1,840,000; and

WHEREAS the appropriate University offices have determined that the purchase of this property is in the best interest of the University; and

WHEREAS the funds for the purchase will be made available initially through internal financing through the College of Engineering, which will be reimbursed through grants from the Federal Aviation Administration and matching funds from the College of Engineering;

NOW THEREFORE

BE IT RESOLVED, That the President and/or the Vice President for Business and Finance be authorized to purchase the 16.882 acres of property in the name of the State of Ohio for the use of The Ohio State University at a price not to exceed \$1,840,000 upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State of Ohio Controlling Board.

(See Appendix III for background material and map, page 89.)

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**PURCHASE OF REAL PROPERTY**

Resolution No. 2001-13

2000 KENNY ROAD  
COLUMBUS, OHIO

Synopsis: Authorization for the purchase of the improved real property at 2000 Kenny Road from 2000A Ltd. And 2000B Ltd, Ohio limited liability companies, is proposed.

WHEREAS the Board of Trustees of The Ohio State University is presented with the opportunity to purchase the improved real property at 2000 Kenny Road; and

WHEREAS the property has an appraised value of from \$3,500,000 to \$3,962,000, and the Seller has agreed to sell the property to the University for \$3,850,000 and

WHEREAS the appropriate University offices have determined that the purchase of this property is in the best interest of the University, and

WHEREAS the funds for the purchase will be provided from internal financing:

NOW THEREFORE

BE IT RESOLVED, That the President and/or the Vice President for Business and Finance be authorized to purchase the improved real property at 2000 Kenny Road in the name of the State of Ohio for the use of The Ohio State University at a price not to exceed \$3,850,000 and upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State of Ohio Controlling Board.

(See Appendix IV for background material and map, page 91.)

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**TRANSFER OF REAL PROPERTY**

Resolution No. 2001-14

2532 APPLE CREEK ROAD  
APPLE CREEK, OHIO

Synopsis: Authorization for the transfer of, and payment for, approximately 1718 acres of agricultural and wooded real property from the Ohio Department of Mental Retardation and Developmental Disabilities to The Ohio State University for the use of the Agricultural Technical Institute is recommended.

WHEREAS the Board of Trustees of The Ohio State University is presented with the opportunity to receive the transfer of 1718 acres adjacent to the Apple Creek Development Center farm; and

WHEREAS the property has an appraised value of \$1,516,000 and the Transferring Agency, the State of Ohio Department of Mental Retardation and Developmental Disabilities, has offered the transfer of the property for a price not to exceed \$1,200,000; and

WHEREAS the appropriate University offices have determined that the purchase of this property is in the best interest of the University; and

WHEREAS the funds for the purchase will be provided from the College of Food, Agricultural, and Environmental Sciences:

**TRANSFER OF REAL PROPERTY (contd)**

NOW THEREFORE

BE IT RESOLVED, That the President and/or the Vice President for Business and Finance be authorized to make payment for, and to accept the transfer of, 1718 acres from the State of Ohio Department of Mental Retardation and Developmental Disabilities to the State of Ohio for the benefit of The Ohio State University for a price not to exceed \$1,200,000 and upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State of Ohio Controlling Board.

(See Appendix V for background material and map, page 93.)

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**CAMPUS PARTNERS  
AUTHORIZATION FOR CONTINUED FUNDING**

Resolution No. 2001-15

Synopsis: Continued funding and support for Campus Partners' initiatives and a request for a five-year business plan are recommended.

WHEREAS in February and November 1995 the Board of Trustees recognized the critical need for revitalization of the High Street and residential areas adjacent to the Columbus Campus and the importance of the initiatives of Campus Partners for Community Urban Redevelopment, Inc., in this effort and identified \$25 million in Endowment funds that could be made available for real estate and related investments in support of the Campus Partners revitalization efforts; and

WHEREAS in May 1997 the Board of Trustees accepted Campus Partners' "University Neighborhoods Revitalization Plan: Concept Document" and, subject to a memorandum of understanding of May 1, 1997, authorized the release of up to \$15 million of the \$25 million previously identified for investment in the South Campus/High Street redevelopment area and authorized the release of up to \$3 million for operating support for Campus Partners through the end of 1999-2000; and

WHEREAS Campus Partners has made significant progress towards the implementation of its priority initiatives, including the University Gateway Center; the faculty and staff home ownership incentive program; improvements to public safety, refuse collection, code enforcement, and other public services; the establishment of a special improvement district; and numerous other initiatives; and

WHEREAS as a result of significant consultation with, and participation by, all parties involved, Campus Partners, through a competitive process, has selected the Druker Company as the master developer for the University Gateway Center, the initial redevelopment project for the South Campus/High Street redevelopment; and

WHEREAS Campus Partners has entered into an Economic Development Agreement with the City of Columbus securing the necessary City commitments for a series of actions to ensure the success of the University Gateway Center, including the creation of a Tax Increment Financing District and the funding of \$5 million worth of the project's public infrastructure requirements; and

WHEREAS the State of Ohio has included \$4.5 million in its most recent biennial capital budget to assist in the funding of the University Gateway Center parking garage; and

WHEREAS Campus Partners has acquired almost 90% of the property in the Gateway redevelopment area, the City of Columbus has adopted a Relocation Plan to assist residents and

**CAMPUS PARTNERS  
AUTHORIZATION FOR CONTINUED FUNDING (contd)**

businesses impacted by the redevelopment, and planning for implementation of this initial project is nearing completion; and

WHEREAS there is a need to identify the next steps of the Campus Partners' revitalization initiative and provide continuing funding support for Campus Partners' operations:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees requests Campus Partners to present to this Board, by the December 1, 2000 Board meeting, a five-year business plan to detail the program for the next phase of the Campus Partners' revitalization initiative; and

BE IT FURTHER RESOLVED, That the Board of Trustees hereby authorizes, from current operating funds set aside for this purpose, the release of up to \$650,000 per year for 2000-01 through 2002-03, to Campus Partners for continued support of its operations to carry out existing initiatives, including the development of the University Gateway Center, subject to any revision by this Board based on approval of the five-year business plan; and

BE IT FURTHER RESOLVED, That this Board hereby authorizes the release of up to an additional \$3 million for the Gateway redevelopment project from the previously identified \$25 million in Endowment funds, bringing the total amount released for investment in the University Gateway Center to \$18 million; and

BE IT FURTHER RESOLVED, That this Board hereby authorizes the release of up to \$3 million of the previously identified \$25 million in Endowment funds for the purchase of additional real estate (and corporate stock associated with said real estate) outside the previously established acquisition area but important for the long-range plans for the entire Campus Partners area; and

BE IT FURTHER RESOLVED, That this Board authorizes the release of up to \$4.6 million in Endowment funds, not a part of the previously identified \$25 million, for the purchase and financing of assets and the payment of related expenses in support of the additional real estate and corporate stock investments; and

BE IT FURTHER RESOLVED, That all of these actions and authorizations shall be subject to whatever further provisions amending the memorandum of understanding of May 1, 1997, as the Oversight Committee shall determine appropriate and by modifications this Board may approve as a result of its review of the new five-year business plan.

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**LEARNING TECHNOLOGY FEE**

Resolution No. 2001-16

**COLLEGE OF NURSING AND  
COLLEGE OF THE ARTS**

Synopsis: Authorization of the Learning Technology Fee in the College of Nursing and the College of the Arts is proposed.

WHEREAS the cost of providing specialized computing technology in the higher education environment has increased tremendously over the years as computing applications have expanded into every field; and

WHEREAS it is essential to invest increasing amounts in computing technology for our students to be competitive in the workforce; and

**LEARNING TECHNOLOGY FEE (contd)**

WHEREAS State funding has not kept pace with this increased demand and provides all universities with the same subsidy rates; and

WHEREAS Ohio State ranks 9<sup>th</sup> in total fees charged to an undergraduate student among all four-year institutions in Ohio; and

WHEREAS Ohio State is subject to the same percentage tuition fee cap as all other State institutions of higher education in Ohio, and the Board of Regents has declined to approve an exception for the campus-wide technology fee; and

WHEREAS the College of Engineering, the Fisher College of Business, the Department of Computer and Information Science in the College of Mathematical and Physical Sciences, and the School of Public Policy and Management in the College of Social and Behavioral Sciences previously have recognized this need and, as approved by this Board, already have implemented their own technology fees; and

WHEREAS the College of Nursing and the College of the Arts have demonstrated the need to invest resources beyond that available through existing budgets in learning technology in order to prepare their graduates adequately for the workforce and have made sound plans for the investment of the proceeds of a fee; and

WHEREAS appropriate consultation has taken place, including among various student groups:

NOW THEREFORE

BE IT RESOLVED, That the College of Nursing and the College of the Arts are authorized to assess undergraduate majors and graduate students in these colleges a learning technology fee, effective Autumn Quarter 2000, of \$43 per quarter for full-time undergraduate majors and graduate students. The fee will be prorated at \$3 per credit hour for undergraduate majors enrolled for fewer than 12 credit hours and \$5 per credit hour for graduate students enrolled for fewer than 8 credit hours; and

BE IT FURTHER RESOLVED, That the use of the College of Nursing and College of the Arts learning technology fee will be reviewed by the Provost's Advisory Committee and the Office of Academic Affairs, subject to annual approval by the Board of Trustees, until the fee is eliminated or replaced by a University-wide computing fee; and

BE IT FURTHER RESOLVED, That the Secretary be instructed to incorporate all supporting documents into the minutes of this meeting, and file these materials with the official records of the University.

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**ARMS - COMPLETION**

Resolution No. 2001-17

Synopsis: Approval of the ARMS Project completion (Phase VIII) is proposed.

WHEREAS Phase VII of the Administrative Resource Management System (ARMS) Project, as authorized by the Board of Trustees at its July 2, 1999, and December 3, 1999 meetings, has been completed; and

WHEREAS completion of the Project has been recommended by the appropriate University offices and required funding will be available:

NOW THEREFORE

**ARMS – COMPLETION (contd)**

BE IT RESOLVED, That the University is authorized to proceed with completion of the ARMS Project (Phase VIII) as described in the accompanying materials, including authorization to spend up to \$3 million for completing the ARMS Project, and supporting the Human Resources, General Ledger, and Procurement systems through September 30, 2000; and

BE IT FURTHER RESOLVED, That the University is authorized to proceed with the transfer of personnel and assets as required to provide for the continuing operations of these systems; and

BE IT FURTHER RESOLVED, That the University will report back to the Board of Trustees before December 31, 2000, with respect to close-out costs for this project and the success of achieving the business objectives of this project.

(See Appendix VI for background material, page 95.)

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**SEARCH FOR  
SENIOR VICE PRESIDENT FOR HEALTH SCIENCES AND  
DEAN OF THE COLLEGE OF MEDICINE AND PUBLIC HEALTH**

Resolution No. 2001-18

Synopsis: Appropriate closure to the search process for the position of Senior Vice President for Health Sciences and Dean of the College of Medicine and Public Health is proposed.

WHEREAS in September 1999 the Board of Trustees approved the reorganization of the Academic Medical Center and authorized the President to appoint a search committee to recommend one or more candidates for the position of Senior Vice President for Health Sciences and Dean of the College of Medicine and Public Health; and

WHEREAS under the leadership of Professor Pascal Goldschmidt, the search committee has worked diligently and tirelessly to seek out the most outstanding individuals for this position and has brought forward the leading candidate for the University's consideration; and

WHEREAS it is the desire of the Board of Trustees to fill this position as soon as possible:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees directs the President to bring appropriate closure to this search process, to complete discussions with the leading candidate on terms acceptable to the University, and bring the appointment to the Board for acceptance and ratification at the August 30 meeting.

Upon motion of Mr. Skestos, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Sofia, McFerson, and Judge Duncan, and Ms. Longaberger and Ms. Hendricks.

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President Kirwan:

I would now like to recommend the following two resolutions for vote:

**EMPLOYMENT OF ARCHITECTS/ENGINEERS**

Resolution No. 2001-19

**MCCRACKEN POWER PLANT - COGENERATION DESIGN PROJECT**

Synopsis: Authorization to employ architect/engineering firm(s) to proceed with a detailed cost study and schematic design for a 59MW cogeneration plant and a detailed analysis of the Columbus Campus electrical distribution system is requested.

WHEREAS the University desires to bring the McCracken Power Plant into compliance with provisions of the Clean Air Act by 2005 as mandated by the EPA; and

WHEREAS it is important to position the University to take advantage of the upcoming electrical deregulation and to evaluate the economic benefits and efficiencies of cogeneration of energy for the campus; and

WHEREAS a technical analysis of the fault-interrupting capability of our electrical distribution system is required due to the growth of electric loads on the campus and as input to the detailed cost study and schematic design for a 59MW cogeneration plant, and

WHEREAS this detailed cost study and schematic design will identify the total costs required to install cogeneration equipment and enable the University to make a firm decision regarding use of this technology; and

WHEREAS the detailed cost study and schematic design and the electrical distribution system analysis are expected to cost about \$1.3 million with funding provided by University bond proceeds, with debt service paid from central General Funds:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the McCracken Power Plant – Cogeneration Project and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the Office of Business and Finance will report back to this Board at its February 2001 meeting for further approvals.

(See Appendix VII for background information, page 103.)

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**EASEMENT**

Resolution No. 2001-20

**AMERICAN ELECTRIC POWER  
1381 KINNEN ROAD**

Synopsis: Authorization to grant the American Electric Power Company an easement across University property located at 1381 Kinnear Road is proposed.

WHEREAS the American Electric Power Company has requested a 25-year easement consisting of approximately 0.028 acres of land to install electric service to the new Science Village located at 1381 Kinnear Road; and

### **EASEMENT (contd)**

WHEREAS this easement will be of benefit to the Science and Technology Campus Corporation and the University; and

WHEREAS the appropriate University offices have recommended the approval of the easement:

NOW THEREFORE

BE IT RESOLVED, That the Vice President for Business and Finance be authorized to request the Deputy Director of Public Works of the Department of Administrative Services, pursuant to his statutory duties, to act for and on behalf of the University in developing the necessary legal instrument to provide for the easement.

(See Appendix VIII for map, page 111.)

Upon motion of Mr. Skestos, seconded by Mr. Patterson, the Board of Trustees adopted the foregoing resolutions with seven affirmative votes cast by Messrs. Skestos, Brennan, Patterson, Sofia, McFerson, and Ms. Longaberger and Ms. Hendricks, and one abstention cast by Judge Duncan.

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### **PERSONNEL COMMITTEE'S REPORT**

Mr. Skestos:

On June 7, 1991, the Board of Trustees approved a set of principles and guidelines to be used in our evaluation of the President. Pursuant to those guidelines, the Personnel Committee of the Board has met with President Kirwan and reviewed his assessment of accomplishments during his second year as president, as well as his goals for the University in the coming year and beyond.

The evaluation was, again, extremely positive, and, at this time, I would like to present the Personnel Committee's recommendation regarding President Kirwan's compensation for the coming year.

In making this recommendation, we want to express the Board's continued support of the work that President Kirwan has accomplished, and we are enormously pleased with the superior leadership he has shown and his dedication to not only Ohio State, but to higher education overall.

In communication with the President, it was upon his insistence that we stay within the four percent compensation guidelines set by the Board of Trustees; and so it is now our recommendation that he receive the four percent increase in his compensation package in the form of a deferred annuity, beginning July 1, 2000.

The Secretary of the Board is hereby authorized and directed to develop the appropriate documents to effectuate this change in compensation.

Upon motion of Mr. Skestos, seconded by Mr. Brennan, the Board of Trustees adopted the foregoing recommendation by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Sofia, McFerson, and Judge Duncan, and Ms. Longaberger and Ms. Hendricks.

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## **PRESENTATION ON THE CURRENT FUNDS BUDGET – FY 2001**

Mr. William J. Shkurti:

Mr. Chairman -- by way of summary since the budget was presented in the Fiscal Affairs Committee -- this is a ratification of the previous decisions the Board made in May and June regarding tuition and compensation, and those set the parameters of the budget. We will be back in August with the details.

The only other thing I would emphasize is that, in terms of overall revenue growth, this applies to the general funds continuing budget. What we're recommending is a \$38 million, 5.3 percent growth in revenues, and an equivalent increase in expenditures. About two-thirds of that, or \$25 million, represents the money to continue what we're doing, including compensation, which is the biggest component of that.

Another \$13 million is to do new things and improve things -- strategic investments -- which are consistent with the economic priorities of the institution. When we come back in August, we will have more detail on those and also on the implications of that budget for the future.

### **FISCAL YEAR 2001 CURRENT FUNDS BUDGET**

Resolution No. 2001-21

Synopsis: Approval of the budget for Fiscal Year 2001, is proposed.

WHEREAS the levels of resources have been identified for the current biennium; and

WHEREAS current legislation requires a second separate vote for any tuition increases above 4.0% for the combined General and Instructional Fees for in-state undergraduates at the Columbus Campus; and

WHEREAS an increase of 4.0% in the combined General and Instructional Fees for in-state undergraduates at the Columbus Campus was approved at the June 2, 2000 meeting; and

WHEREAS an additional increase of 2.0%, for a total of 6.0%, must be approved on a contingent basis in a separate vote; and

WHEREAS Access Challenge requires lower-division resident undergraduate fees to decrease by 5% at the regional campuses and current legislation limits upper-division regional campus fees to a 3% increase; and

WHEREAS appropriate planning and consultation within the University has been accomplished, and the President now recommends approval of the Fiscal Year 2001 budget:

NOW THEREFORE

BE IT RESOLVED, That tuition and fees at the Columbus and Regional Campuses be increased for in-state undergraduates and all other levels of students as specified in the accompanying materials; and

BE IT FURTHER RESOLVED, That the University's General Funds Budget Summary for Fiscal Year 2000-01, as described in the accompanying text and tables, be approved, with authorization for the President to make expenditures within the projected income levels; and

BE IT FURTHER RESOLVED, That the University will submit the completed Current Funds Budget for the August 30, 2000 meeting of the Board of Trustees; and



## **FISCAL YEAR 2001 CURRENT FUNDS BUDGET (contd)**

BE IT FURTHER RESOLVED, That the Secretary be instructed to incorporate all supporting documents into the minutes of this meeting, and to file these materials with the official records of this University.

Upon motion of Mr. Skestos, seconded by Mr. Brennan, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Sofia, McFerson, and Judge Duncan, and Ms. Longaberger and Ms. Hendricks.

(See Appendix IX for background information, page 113.)

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## **STUDENT GOVERNMENT REPORTS**

Mr. Todd Armen:

First is the Undergraduate Student Government report submitted by B.J. Schuerger, President of USG. As the summer has come, the students have gone, but the Undergraduate Student Government is still hard at work. They are currently forming their own GEC reform plan that they will be presenting in the fall. USG will be working with President Kirwan in putting a plan together that makes it easier for students to graduate in four years. They will also be sponsoring an information book containing coupons of campus area businesses and local phone numbers for the students. This book will hopefully be an invaluable resource for the students to use.

On another note, USG is happy to see the installation of more blue lights in the immediate off-campus area. The installation of these lights shows students the commitment of the University to safety in the off-campus community.

Again, on behalf of the Undergraduate Student Government, they would like to congratulate and welcome Mr. McFerson and Mr. Filiatraut to the Board of Trustees. USG wishes Vice President Williams the best of luck as he moves on to Vanderbilt University. His commitment to Ohio State and the Columbus community will be greatly missed. They wish you the best.

Next is the report from the Council of Graduate Students. Since CGS last reported to the Board, they have continued a full plate of activities to serve the University, students, and the public. They appreciate the opportunity to share their activities and views with you, and want to highlight just three of the activities they found most important.

CGS really appreciates being able to continue working with the Provost, and Vice Provost Alayne Parson, on how Ohio State will use revenues from the Coca-Cola contract. They proposed that a share of the funds be used to address critical student concerns. These include: addressing campus area safety; establishing a community engagement center to help students and faculty work with Campus Partners in revitalizing the University neighborhoods; providing funds for diversity initiatives; increasing opportunities for professional and graduate students to present research at conferences; and increasing the training of graduate teaching associates so undergraduates have the best possible teachers. They know these initiatives are important to the University because of the University commitment to student safety, and the support of excellence in undergraduate, graduate, and professional education as important strategies to achieve the 20/10 goal.

In June, CGS participated in the time-honored tradition of the summer field trip to Washington. They took five outstanding OSU students, including former Student

## **STUDENT GOVERNMENT REPORTS (contd)**

Mr. Armen: (contd)

Trustee Allyson Lowe, to join the National Association of Graduate and Professional Students for their Congressional Lobby Day. The group watched throngs of elementary and high school students tour the Capitol while they put themselves to the ultimate test – effectively sharing their views on federal budget and policy issues.

CGS thanks Dick Stoddard for his assistance. He helped make the trip a success and reaffirmed what they heard from many staffers on Capitol Hill – that Dick is a great asset to OSU. The group met with Representative Pryce, staff for Senators Dewine and Voinovich, and staff for eight Ohio Representatives. They spoke on the public good that comes from federal support for graduate and professional education. They also suggested that federal investment in graduate and professional education benefits the public and the nation by training new generations of highly-skilled researchers, educators, and leaders in business and public service.

Just one week later Ron Meyers had the opportunity to visit Monticello, that splendid cultural icon of democracy and monument to Jefferson's life of dedication to research, public service, and higher education. Visiting Monticello and Washington led him to reflect on the incredible gift our ancestors fought to give us by forming this democratic (with a small "d") nation. Every generation of Americans since then has sacrificed to protect it. Ron was proud to know that Ohio State has on their University seal the motto, "Education for Citizenship."

We are an invaluable institution for advancing the public good because we have, as part of our mission, the advancement of what may be the most important experiment and project in history – the United States' effort to create a vibrant democracy that ensures our freedoms. From Monticello, Ron was reminded that this project is a work in progress, never quite done, which we have been advised to protect with vigilance. It is wonderful that Ohio State, as a land-grant institution of public higher education, has a unique, distinctive mission to help build democracy.

CGS is very pleased to work with many others at Ohio State on these big picture issues of citizenship and democracy. CGS will continue to do their part in teaching students about citizenship by engaging them in student governance, registering voters, hosting political candidates, and helping students grow as citizens.

On a final note, CGS would like to say farewell to David Williams, who has provided much important leadership on so many fronts. They will miss him and wish him well at Vanderbilt, but are very pleased that Bill Hall has been appointed interim vice president. His integrity, competence, and leadership on a variety of issues is superlative, and much appreciated by the Council.

Finally, I'll give the Inter-Professional Council report. First, I would like to welcome Mr. McFerson and Mr. Filiatraut to the Board, and thank the Board for the opportunity to address you today, a privilege that the Inter-Professional Council has had since 1987.

As you can see, over the past month IPC has researched and begun to document our history, as it is only through knowing our past that we can seek excellence in the future. As we examined our roots, we also began preparing for the year ahead. In early June we held an executive retreat, which included vice president Kathryn Richdale and secretary Amanda James Wildman among others. We have begun planning both a summer social for the end of July and a

## **STUDENT GOVERNMENT REPORTS (contd)**

Mr. Armen: (contd)

Halloween social to promote interaction between students of the various professional colleges.

We began to organize orientation activities for incoming professional students in August for the Colleges of Law and Medicine and Public Health; and in September for the Colleges of Dentistry, Optometry, and Veterinary Medicine. We also began to plan our summer conference retreat for IPC senators, executives, and representatives to be held July 30.

Additionally, as a medical student myself, and on behalf of the students in the College of Medicine and Public Health, whom I represent, I would like to say that we are extremely excited with the proposal of the OSU Heart Center, and the many opportunities that it will allow to further our education.

During the month, IPC also has had the opportunity to review the outcome of our Professional Development Program which, over the past year, awarded about \$6,000 to over 60 applicants to fund various projects and trips to national conferences. Currently, we are searching for ways to continue and perhaps expand this important program over the next year.

Finally, the Inter-Professional Council Quality of the University Experience, or "I-QUE," Report is complete and being printed at this time. Look for its distribution in the coming weeks, as this document is perhaps the cornerstone in outlining improvement to professional education and student life at the University.

In closing, we wish Vice President Williams the best of luck at Vanderbilt. I thank you, once again, for this opportunity to speak. I look forward to working with you all over the next year to make The Ohio State University not only the premier institution in Ohio, but in the nation. Thank you.

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## **PRESENTATION ON THE CONTINUED SUPPORT FOR THE SCIENCE AND TECHNOLOGY CAMPUS CORPORATION**

Mr. Skestos:

I'd like to now call on Mr. Ora Smith, who will make a presentation on the continued support for the Science and Technology Campus Corporation. Ora --

Mr. Ora Smith: [PowerPoint presentation]

Thank you, Mr. Chairman. I'm happy to be here today.

The purpose of this presentation is a triennial review of the development plan for the Science and Technology Campus Corporation. We are developers and we are required -- under the development agreement which we operate as the developer of 53 acres on the West Campus of the University -- to once every three years present a development plan to the Trustees of the University. At that presentation, the Trustees have the option of either continuing the plan or terminating the development plan, depending on their evaluation of the progress.

Specifically, we're going to be asking for the following things in a resolution which will be voted on at the August 30 meeting. First, approval of our development plan going forward, and each Trustee should have received a copy of the

**PRESENTATION ON THE CONTINUED SUPPORT FOR THE  
SCIENCE AND TECHNOLOGY CAMPUS CORPORATION (contd)**

Mr. Smith: (contd)

development plan and copies of our audited financials for Fiscal Years 1998 and 1999.

The second thing that we will be asking for in the resolution is an extension of the leases under which we operate. SciTech has 40-year leases on both vacant land and existing buildings on West Campus. Every three years, if we pass muster at the triennial development review, those leases will be extended for an additional three years, so they become in effect "evergreen" leases.

The third thing we will be asking for is an extension of the \$300,000 per year operating support that we receive through the Office of Research and OSURF. This money is intended to help support the operations of SciTech until such time it becomes self-sufficient, and the financial plan, under which we have been operating since inception, predicts that will be in the year 2011. I would add that that money is also matched by the State of Ohio, who contributes \$100,000 a year through the Ohio Department of Development, and the City of Columbus, who contributes \$100,000 through development.

And, finally, the fourth thing that we will be asking for is continuation of our borrowing authority from the University. We have a \$7 million construction loan agreement with Ohio State, with \$5 million of that for spec, in the sense that it does not need to be secured by any underlying tenant/lease obligation; and \$2 million of the \$7 million is required to be secured by an underlying lease obligation. Again, we're not asking for anything new. We're just asking for a continuation of SciTech.

Our mission is to promote on-campus research alliances between businesses and the University, and to provide facilities in which to house those companies on campus. Our mission emphasizes connectivity with the University. This is not just a real estate development – it's a lot more. I would say, in fact, the real estate is secondary. The main thing is the connection with the University, the opportunity to enhance education and research at Ohio State.

Just a little history. I found the earliest planning document about the research park effort at Ohio State from 1983, and its recommendation to establish a research park. In 1996 The Ohio State University Research Park Corporation was formed and in 1998 the name was changed to the Science and Technology Campus Corporation. The development agreement between the University and SciTech was signed in March 1998 and made retroactive to 1997.

I was hired in September 1998 as the first full-time president and, it's fair to say, we actually began operations at that point. We signed our leases, both the land and existing building leases, with Ohio State in April 1999, and those were made retroactive to July 1, 1998.

We began construction of our first new building, Science Village Phase I, in October 1999. In June of this year, we launched a new thing called the Technology Commercialization Corporation, which I'll talk about in a bit more detail in a minute.

A little bit on the mechanics of SciTech. We are an independent, non-profit corporation. We're affiliated with the University, but we are independent. We have an independent board, which is chaired by President Kirwan. The board also has on it: a University Trustee, which is currently Mr. Skestos; two deans, Dean Moser from Agriculture and Dean Ashley from Engineering; and the Vice

**PRESENTATION ON THE CONTINUED SUPPORT FOR THE  
SCIENCE AND TECHNOLOGY CAMPUS CORPORATION (contd)**

Mr. Smith: (contd)

President for Research, Brad Moore. The remaining members of the board are community representatives and the bylaws of the corporation provide that the board cannot be controlled by the University. So we are truly independent. We are 501(c)3.

We have exclusive development rights to 53 acres on West Campus. That includes 35 acres that are vacant and three existing buildings. Those development rights were conferred by the development agreement that I talked about. We have \$7 million of construction lending available and there is an additional \$14 million referenced in the development agreement as contingent. We have not yet asked the Trustees for any authority to draw on that.

We have received \$4 million in state capital grant money to aid our construction activities. Half of that will be put into the Science Village and half of it is going into an expansion of our small company incubator, the Business Technology Center. We have an annual operating budget of approximately \$800,000 a year. That operating budget comes from the profits on our real estate operations and the subsidies from Ohio State, the city, and the State of Ohio.

The status of our development activities is that we have currently 380,000 square feet under roof, and we have a 50,000 square foot new building under construction. We have 25 tenants and sub-tenants. We occupy 125,000 square feet of lab and office space, and about 134,000 square feet of warehouse space. We have 48,000 square feet of old building, which is the old Simmons Mattress Warehouse Factory on Kinnear Road, undergoing renovation right now, and we have about 40,000 square feet of that building additionally available for renovation.

While I'm talking about renovation and new construction, I'd also like to introduce Frank Elmer, our project architect, from Lincoln Street Studios, Ltd. Frank, will you please stand? Without Frank, none of this would get done.

We have a major new construction project underway – the Science Village Phase I. This is a 50,000 square foot building located near the corner of Kinnear and North Star Roads. The building was started in October 1999 and will be completed by November of this year. First occupancy is expected by December 2000 and we expect to have it fully occupied by April 2001.

The anchor tenant in this building will be Ohio Micro-MD Laboratory, occupying 28,000 square feet. This is a very exciting new activity, a joint venture between Ohio State and SciTech. It's not legally a joint venture, at least not yet, but this is a laboratory that is going to enable Professor Ferrari, who was referred to earlier in the heart presentation, to do that magic he does so well.

This is going to be a state-of-the-art, world-class, unique, nothing-else-like-it-anywhere facility for the fabrication of nano-scale biomedical devices. We're still working on assembling the financing package for the build-out of the interior of that laboratory, which has a lot of clean rooms and is consequently going to be quite expensive.

The second tenant will be I-Med -- the company that was also referred to earlier and connected with Dr. Ferrari -- who has relocated here from California. A very prominent reason they came here from California was the fact that we promised to build this laboratory to house them and to let them have access on a fee-for-service basis.

**PRESENTATION ON THE CONTINUED SUPPORT FOR THE  
SCIENCE AND TECHNOLOGY CAMPUS CORPORATION (contd)**

Mr. Smith: (contd)

The Micro-MD Laboratory will be a multi-user facility. It will be usable by both academic and industrial researchers for a fee. We expect it will be a strong attractor for tenants on the SciTech Campus. We intend to move our own offices in there, and we're in discussion with a number of additional tenants that we believe will take up the rest of the space.

There are two other projects currently ongoing. The Business Technology Center incubator is expanding – a 35,000 square foot expansion -- which is being financed primarily outside SciTech's balance sheet. We're putting about \$250,000 of structural mods into the building. The residual \$4.5 million consists of \$2 million from the state and the remainder from private contributions from Central Ohio. We're also renovating about 12,800 square feet of the old Mattress Warehouse Factory to house incubator graduates, and we will have a couple of them going in there later this summer.

This is a graphic of Science Village. This is the new 50,000 square foot building near the corner of Kinnear and North Star Roads. These are some construction photos I took last Friday and this is a view from across the street. It's quite innovative architecturally. This design was approved by our Design Review Board, which is made up of half University Architect's representatives and half outside representatives. It's going to be quite interesting architecturally, as well as being a cost-effective building. We've already had one phone call from somebody wanting to buy a condo in it.

We have a number of performance measures that are outlined in the development agreement. The first of these was to have 22,500 square feet of this new construction project, Science Village, occupied by June 30, 2000. We've missed that. We expect to have 50,000 square feet occupied by April 1, 2001. The reason that we missed it is that SciTech's operations began considerably later than they were envisioned to begin when the development agreement was first drafted. As a result, we had delayed signings on the OSU leases, and we didn't feel comfortable going out to bid on a major construction project until we had the legal right to occupy the land.

We also are having a very complex internal build-out in connection with this Ohio Micro-MD Laboratory, which is going to extend the tenant fit and finish a little beyond what you might see in a conventional office building situation.

Another goal that we have in the development agreement is that by June 30, 2000, 30 percent of tenant employees will be students. I'm happy to report that we've exceeded that one. Our tenants currently report that 32 percent of their employees are Ohio State students. Now, the vast majority of those, of course, are part-time employees.

We have another goal that we're not required to meet until the year 2003, which is by the third year after the Science Village occupancy, our tenants would have 45 cooperative research activities. We have been tracking that anyway, and our current tenants report 49 cooperative research activities within the prior 12 months. Obviously, we are very connected with the University.

Another goal that's not due to be met for another three years is \$1 million in sponsored research funding to OSURF. Our tenants currently report \$5.1 million in sponsored research. This number is very big – it's very surprising, it's very fresh, and I think we need to dig into that a little more to make sure that that's real. But clearly, there's a lot of action with the University.

**PRESENTATION ON THE CONTINUED SUPPORT FOR THE  
SCIENCE AND TECHNOLOGY CAMPUS CORPORATION (contd)**

Mr. Smith: (contd)

Here's a goal that we're not doing very well in our progress toward. By the third year after occupancy, we're supposed to show \$250,000 per year in licensing royalty. I'm unhappy to say that our tenants currently report that they're paying no licensing revenues to the University and we're working very hard with Dave Allen and his staff to try to remedy that situation.

These things are not in the development agreement, but I consider them performance measures anyway. We have 25 tenants and sub-tenants – 22 of them reported 200 employees, 64 students, and 99 alums. There are so many OSU people, percentage wise, in this complex it's almost an Ohio State ghetto. It's amazingly filled with Ohio State people.

Our tenants used 11 consultants in the past year from the University faculty – paid them \$115,000 in total. They spent a little over \$100,000 on the use of University facilities. Total payroll is over \$10 million – about \$51,000 per employee. That includes part-time employees, because we didn't ask for a breakdown. There's a very interesting thing about that number. What it shows is that these technology jobs are very high-paying jobs. The true number per employee, factoring out the part-timers, is probably in the mid-60s. This is phenomenal, and we need to encourage these kinds of businesses. Total sales of the companies -- and we have some non-profits in there, but just the companies in the complex -- is \$7 million plus, and they report their market worth as about \$33 million.

In our development plan going forward. We have a number of principles under which we're proceeding. First and foremost, is connectivity with the University. Closely behind that is economic viability. We did not want to build a white elephant that can't pay for itself. The third is that we are doing this development because we're doing it in a relatively risk-constrained method, in small amounts of financing. We're doing the development very incrementally. Which means we don't build a lot of infrastructure out in front of our building construction. And fourth, our development plan is to be consistent with the West Campus Master Plan. We work very closely with the University Architect's office to make sure that consistency happens. That last bullet is the primary job of our Design Review Board.

Growing from our principles, we have a number of strategy elements that we developed: 1) we're focusing our development in a specific area of the land that we have in order to get some economies of togetherness; 2) we're working to get private sector support; and 3) we're working to invoke some other public partners to address some infrastructure deficiencies. We have some big issues in this development land with road access, with pedestrian access, with storm water drainage – these things are probably beyond SciTech's sole economic power to fix. So our approach to that is to partner with both public and private entities to try to leverage the money we have to address some of these infrastructure issues and support issues.

The fourth bullet is to collaborate with Ohio State academic units. So we spend a lot of time working with the colleges of the University to make sure, as this thing develops, it really develops in a way that's tightly coupled with Ohio State.

This is a map of the development, so you can see where things are. You can see that the main academic east campus is over here on the right – there's the Horseshoe. We are on West Campus – the part that's zoned or specified to be the Research Park is this green area.

**PRESENTATION ON THE CONTINUED SUPPORT FOR THE  
SCIENCE AND TECHNOLOGY CAMPUS CORPORATION (contd)**

Mr. Smith: (contd)

The dark buildings are Ohio State buildings. This one's a state building -- the Ohio Computing Center building -- but the remainder of these very dark areas are University buildings. The light gray ones are private sector buildings. The ones in various shades of pink and red are SciTech buildings. This is a current building, this is a current building, this is a current building shown in a somewhat modified form, and this is the old mattress factory. Just for calibration, I should say, that's east, that's north, this is Lane Avenue Road, this is Kenny Road, this is Kinnear Road, and this is North Star Road.

This is our Science Village and our first new building, which is 50,000 square feet. This is the area where we're focusing our initial development. Some of the things that we're proposing to do are to construct some new roads, which will connect both east and west in a grid fashion across the campus and to put in some pedestrian and bicycle access paths, which don't exist now. These ponds here are for the purpose of controlling storm water runoff. Upper Arlington dumps its storm water onto the property here and we have a serious issue dealing with that runoff.

These are some of the major development issues that we face going forward. The storm drainage issue is a big one and, again, there's a lot of water to be dealt with. Parking, pedestrian and bike access, streets, and water pressure are all major issues in this part of town. We will have to provide amenities, other than just cold, hard, bare buildings for tenants who move into the park, to giving them some other reason to be there.

The marketing strategy that we're using to bring tenants into this building looks like this. First, we emphasize University connectivity -- that's really the thing we're selling. We're selling tenancy in buildings, too, but the real reason somebody's going to want to be here is because of the proximity to Ohio State.

We're trying to build the Science Village brand name and the SciTech brand based on the construction of magnet tenants and facilities. The Micro-MD Lab that we're putting up is a perfect example of that.

The third is to support the development of new technology-based businesses. This is the reason that we've started our technology commercialization corporation activity, which I'll talk about in just a minute. We think that it's going to be necessary to make this a success to grow some of our own companies locally.

The fourth thing is to maintain strong relationships with our tenant base. These technology companies are not like law firms -- they don't move into a place and stay there for 50 years -- they change. Their businesses change, they grow quickly, they shrink quickly. The very nature of their business may change over a short period of time. We have to stay close to what they're doing so we can accommodate them. Once we've got them, we don't want to lose them.

One more thing I would add is that everything I've talked about to date is about a building that we're financing and renting out. We've also had two organizations come to us -- and we're discussing large single tenant buildings -- which would be probably financed off SciTech's balance sheet. So we do have some of those larger companies, single tenant relationships, potentially brewing.

We have a cash flow plan. This compares the 15-year plan that was done in 1997 -- which is the black line -- with the plan that was done in the year 2000,



**PRESENTATION ON THE CONTINUED SUPPORT FOR THE  
SCIENCE AND TECHNOLOGY CAMPUS CORPORATION (contd)**

Mr. Smith: (contd)

which is the purple line. You can see, there are some differences here and there. If you add up the total amount of money under these curves, due to the integral, what you would find is that for the 1997 plan, that over this period, \$2.4 million positive cash flow came out of the project. Now that includes the effect of subsidies. If you look at the purple line, the year 2000 plan, and sum that up, it's \$4 million. So things are actually looking quite a bit better than we had originally anticipated. You can see in the early years, the positive cash flow is quite a bit higher than originally forecast. We've been quite conservative in making this new projection, but economically the project is looking good.

These are some of the reasons for the changes. The existing buildings that we're operating are more profitable than originally forecast. We've had a negative, however. We had \$2 million less in state construction subsidy money come into the project than was originally forecast. We are doing fewer, larger construction phases in order to get economy of scale, and that makes us more profitable over the long run, but it makes the line a little more jagged, a little more volatile. And we also updated for the very substantial construction cost increases that we've seen hit within the past couple of years in the regions.

We are forming a new entity -- the Technology Commercialization Corporation, designed to start technology companies. We expect many of them will be companies that come out of Ohio State that are based on faculty inventions. Our goal is to put together companies and get them to the point where they are a package deal that will attract larger venture capital investment to the region.

This is being financed in part by the Governor's Technology Action Fund program. We received an \$800,000 contract from the state. This money is being matched, very interestingly, by a group of venture capitalists and banks. It will be operational this summer. We made an offer and have an oral acceptance for a president for this activity and I expect to have him here by the end of August. When that deal is inked, we will be announcing that person and we'll be off and running.

Again to reiterate, this is the requested Trustee action: approval of our 15-year development plan going forward; the extension by 3 years of the 40-year ground and building leases; extension of the \$300,000 per year operating support that comes through the Office of Research and OSURF; and continuation of our construction borrowing authority from the University.

I thank you very much. There are large placards of these development sites at the back of the room if anyone wants to take a look at them later. Thank you.

Mr. Skestos:

Any questions from the Board? Thank you, Ora.

--0--

July 7, 2000 meeting, Board of Trustees

Thereupon the Board adjourned to meet Wednesday, August 30, 2000, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

William J. Napier  
Secretary

George A. Skestos  
Chairman



## POLICY

### *Policy and Procedure Manual*

Subject: SEXUAL HARASSMENT  
Applies to: University faculty, staff, students,  
student employees, and volunteers

Number 1.15  
Issued 10/1/80  
Revised 7/7/00

### **Policy**

The University administration, faculty, staff, student employees, and volunteers are responsible for assuring that the University maintains an environment for work and study free from sexual harassment. Sexual harassment is unlawful and impedes the realization of the University's mission of distinction in education, scholarship, and service. Sexual harassment violates the dignity of individuals and will not be tolerated. The University community seeks to eliminate sexual harassment through education and by encouraging faculty, staff, student employees, and volunteers to report concerns or complaints. Prompt corrective measures will be taken to stop sexual harassment whenever it occurs.

### **Policy Guidelines**

#### **I. Definition**

Sexual harassment includes unwelcome sexual advances, requests for sexual favors, and other physical or verbal conduct of a sexual nature when it meets any of the following:

- A. Submission to such conduct is made either explicitly or implicitly a term or condition of an individual's employment or academic status;
- B. Submission to or rejection of such conduct by an individual is used as the basis for employment or academic decisions affecting such individual; or
- C. Such conduct has the purpose or effect of unreasonably interfering with an individual's work or academic performance or creating an intimidating, hostile, or offensive environment for working, learning, or living on campus

Sexual harassment can occur between any individuals associated with the University, e.g., an employee and a supervisor; coworkers; faculty members; a faculty, staff member, or student and a customer, vendor, or contractor; students; or a student and a faculty member.

#### **II. Examples of Sexual Harassment**

Examples of sexual harassment include, but are not limited to:

- A. Some incidents of physical assault;
- B. Direct or implied threats that submission to sexual advances will be a condition of employment, work status, promotion, grades, or letters of recommendation;
- C. Direct propositions of a sexual nature and/or subtle pressure for sexual activity that is unwanted and unreasonably interferes with a person's work or academic environment;

- D. A pattern of conduct that unreasonably interferes with the work or academic environment (not legitimately related to the subject matter of a course) including:
1. Sexual comments or inappropriate references to gender;
  2. Sexually explicit statements, questions, jokes, or anecdotes regardless of the means of communication (oral, written, electronic, etc);
  3. Unwanted touching, patting, hugging, brushing against a person's body, or staring;
  4. Inquiries and commentaries about sexual activity, experience, or orientation;
  5. The display of inappropriate sexually oriented materials in a location where others can view them.

### III. Regulations

#### A. Consensual relationships

No person involved in a consensual relationship will have direct responsibility for evaluating the employment or academic performance or for making decisions regarding the promotion, tenure, or compensation of the other party to the relationship. Consensual romantic and sexual relationships between supervisor and employee or between faculty and student are strongly discouraged.

These relationships may be subject to concerns about the validity of consent, conflicts of interest, and unfair treatment of other students or employees. Further, such relationships can undermine the atmosphere of trust essential to the educational process and the employment relationship.

In the event of an allegation of sexual harassment, the university will strictly scrutinize a defense based upon consent when the facts establish that an institutional power differential existed within the relationship.

#### B. Confidentiality and nonretaliation

The University will make every reasonable effort to conduct all proceedings in a manner that will protect the confidentiality of all parties. Parties to the complaint should treat the matter under investigation with discretion and respect for the reputation of all parties involved.

Retaliation against an individual for reporting sexual harassment or for participating in an investigation is prohibited by University policy and state and federal law. Retaliation is a serious violation that can subject the offender to sanctions independent of the merits of the sexual harassment allegation.

The University has a compelling obligation to address allegations and suspected instances of sexual harassment when it obtains information that would lead a reasonable person to believe that this policy has been violated. The University is not precluded from taking any action it deems appropriate, including informing the alleged harasser of the complaint and pursuing an investigation even in cases when the complainant is reluctant to proceed. The complainant will be notified in advance when such action is necessary.

#### C. Corrective measures

When it has been determined that sexual harassment has occurred, steps will be taken to ensure the harassment is stopped immediately. Corrective measures consistent with the severity of the offense will be imposed consistent with applicable University procedures and may include sanctions.

Sanctions imposed on the harasser may include, but are not limited to, a reprimand, suspension, or dismissal from the University. In the event that a record of such sanctions will become a part of the harasser's personnel records, prior notice will be given to the harasser. Sanctions also may be imposed on any individual with a duty to act under this policy and associated procedures who fails to respond to a complaint of sexual harassment in a manner consistent with the provisions of this policy and the associated procedures.

The complainant will be informed of the corrective measures taken.

D. False allegations

It is a violation of this policy for anyone to knowingly or with reckless disregard for the truth make false accusations of sexual harassment. Failure to prove a claim of sexual harassment is not equivalent to a false allegation. Sanctions may be imposed on individuals who knowingly or with reckless disregard for the truth make false accusations of sexual harassment.

E. Use of sexual harassment allegations in employment actions

When making decisions affecting an individual's employment or academic status, allegations of sexual harassment may be considered only if they have been addressed through this policy or procedure, a court of law, or other administrative proceeding. Whenever such an allegation is discussed as part of a determinant in the terms and conditions of an employment or academic status, the affected party should be given notice.

IV. Responsibilities

The Office of Human Resources is responsible for the administration of this policy and the associated procedures. The president and each vice president, dean, department chair, director, administrator, faculty member, and supervisor is responsible for assuring compliance with this policy. Any such individual who obtains information that would lead a reasonable person to believe that this policy has been violated must refer the matter to the appropriate individual for investigation or, if so authorized, initiate a prompt and thorough investigation.

## **Procedure**

### **I. Goals and Objectives**

The University is committed to eliminating and preventing sexual harassment of faculty, staff, students, student employees, and volunteers and to fostering an environment of respect for all individuals. The University promotes educational programs coordinated by the Office of Human Resources to meet the following goals:

- A. Informing all individuals about their rights through training and dissemination of the sexual harassment policy;
- B. Including the sexual harassment policy in orientation materials for new faculty, staff, students, and volunteers;
- C. Notifying persons of prohibited conduct;
- D. Informing all individuals of the appropriate procedures and reporting mechanisms for addressing concerns of sexual harassment;
- E. Informing the community about the problems caused by sexual harassment;
- F. Addressing issues of sexual harassment from a multicultural perspective.

### **II. Who Can Make Allegations**

Sexual harassment concerns can often be resolved by the person being harassed addressing the matter directly with the alleged harasser. When such resolution is not feasible, any faculty, staff, student, or volunteer may bring an allegation against any member of the University community or a customer, vendor, or contractor of the University.

### **III. Confidentiality**

To the extent possible, all information received in connection with the filing, investigation, and resolution of allegations will be treated as confidential except to the extent it is necessary to disclose particulars in the course of the investigation or when compelled to do so by law. All individuals involved in the process should observe the same standard of discretion and respect for the reputation of everyone involved in the process.

### **IV. Retaliation**

Retaliation against an individual for reporting sexual harassment or for participating in an investigation is prohibited by university policy and state and federal law. The university will not tolerate retaliation in any form against any faculty, staff, student, or volunteer who files an allegation, serves as a witness, assists an alleged, or participates in an investigation of sexual harassment. Retaliation is a serious violation that can subject the offender to sanctions independent of the merits of the sexual harassment allegation. Allegations of retaliation should be directed to the Office of Human Resources, Consulting Services.

V. Counseling and Support

A person seeking counseling or support may contact any of the following units:

- A. University Faculty and Staff Assistance Program;
- B. Office of Student Gender and Sexuality Services, Rape Education and Prevention Program;
- C. Office of Residence Life;
- D. Counseling and Consultation Service;
- E. Office of Student Affairs.

The role of the above offices is not to investigate allegations but to provide counseling and support. For information on how to file an allegation, refer to Section VI of these procedures.

VI. Receipt and Referral of Allegations

- A. An alleged violation of the University's sexual harassment policy may be taken to any of the following designated individuals:
  - 1. The human resources professional within a department or unit;
  - 2. A consultant in the Office of Human Resources, Consulting Services; or
  - 3. Any supervisor, faculty member, or faculty or staff administrator.
- B. If the alleged harasser is the alleged's supervisor, the alleged should directly contact either a higher level administrator or the Office of Human Resources, Consulting Services.
- C. When the above individuals receive an allegation of sexual harassment, they will promptly refer the matter to the appropriate individual for investigation or, if so authorized, initiate a prompt and thorough investigation.
- D. The Office of Human Resources, Consulting Services is available to provide consultation to any person who has a potential sexual harassment concern. Likewise, consultants are available to assist any administrator in handling an allegation.
- E. All individuals who are designated to receive allegations are expected to participate in training provided by the Office of Human Resources related to handling sexual harassment allegations.

VII. Duties of the Unit Level or Office of Human Resources Investigator

- A. Persons designated to investigate allegations will be jointly identified by the unit and the Office of Human Resources. As part of the intake process, the person designated to investigate the allegation will discuss with the alleged the following considerations:
  - 1. The options under the policy, which include:
    - a) Filing an allegation for review at the unit level;
    - b) Filing an allegation with the Office of Human Resources, Consulting Services; or

- c) Filing a grievance under the nondiscrimination clause of the appropriate collective bargaining agreement.
  2. The behaviors and any related issues that gave rise to the allegation and whether an investigation is warranted.
- B. The person designated to investigate the allegation will inform the alleged about:
1. The fact that the alleged is not required to file an allegation at the unit level before filing an allegation with the Office of Human Resources, Consulting Services. However, an allegation cannot be processed by both Consulting Services and the unit at the same time.
  2. The University's compelling obligation to address allegations and suspected instances of sexual harassment that would lead a reasonable person to believe that the policy has been violated. The University is not precluded from taking any action it deems appropriate, including informing the alleged harasser of the allegations and pursuing an investigation even in cases when the alleged is reluctant to proceed. The alleged will be notified in advance when such action is necessary.
  3. The manner and frequency with which the alleged will be updated about the status of the investigation.
  4. The need for confidentiality during the investigatory process and what to do if the alleged believes she or he has been retaliated against.
- C. The person designated to investigate the allegation will ensure that sexual harassment intake and allegation forms are completed and filed with the Office of Human Resources within five (5) working days of receiving the allegation.
- D. The person designated to investigate the allegation will inform the alleged harasser in a reasonable time about:
1. The specific allegations and provide an opportunity to respond to the allegations.
  2. The manner and frequency with which the alleged harasser will be updated about the status of the investigation.
  3. The need for confidentiality during the investigatory process and the University's policy with respect to retaliation.
  4. The University's compelling obligation to address allegations and suspected instances of sexual harassment that would lead a reasonable person to believe that the policy has been violated.
  5. University's position that it is not precluded from taking any action it deems appropriate, including informing the alleged harasser of the allegations and pursuing an investigation even in cases when the alleged is reluctant to proceed. The alleged will be notified in advance when such action is necessary.
  6. The University's policy and state and federal law prohibiting retaliation against an individual for reporting sexual harassment or for participating in an investigation. The University will not tolerate retaliation of any form against any faculty, staff, student, or volunteer who files an allegation, serves as a witness, assists an alleged, or participates in an investigation of sexual harassment. Retaliation is a serious violation that can subject the offender to sanctions independent of the merits of the sexual harassment allegation. Allegations of retaliation should be directed to the Office of Human Resources, Consulting Services.



E. The alleged, as well as the alleged harasser, will be provided with a copy of the Sexual Harassment Policy and Procedures.

F. Actions during the pendency of the investigation

The investigator, in consultation with the alleged and a consultant from the Office of Human Resources, Consulting Services, as appropriate, will determine if special provisions are necessary to ensure that no harassment of the alleged occurs during the pendency of the investigation.

#### VIII. Unit Review Investigation

All individuals who are designated to investigate allegations will be expected to attend sexual harassment training offered by the Office of Human Resources. Individuals responsible for the investigation of allegations at the unit level will follow the procedures outlined in this section.

A. Purpose of the investigation

The purpose of the investigation is to evaluate the allegations of sexual harassment, formulate a response that addresses the facts as they are determined, and follow up to ensure that the recommended measures are completed.

B. Method of investigation

Depending on the facts of the case, an investigation may range from a one-on-one conversation with the alleged harasser with an agreement as to further interactions, to an inquiry with several witness interviews, a finding of facts, and recommendations to the unit for appropriate employment action. In every case, a record must be made of the allegations, the investigation, and the action taken.

C. Office of Human Resources notification

Any investigator who receives an allegation will notify the Office of Human Resources, Consulting Services about the allegation by filing a Sexual Harassment Intake and Allegation Complaint Form within five working days of receiving the allegation. This information is for data collection purposes and will be used to monitor allegations and to assure that issues are appropriately addressed.

D. Presence of support persons

The alleged and alleged harasser may be accompanied at the initial interview and subsequently, as appropriate, by an individual of their choice.

E. Time period for resolution of an allegation

Investigations should be concluded within 45 calendar days from the date the issue was raised with the unit. If this is not reasonably possible, the investigator should make the alleged and the alleged harasser aware of the status of the review and provide an estimated conclusion date.

F. Possible outcomes

An investigation may result in the following findings:

1. A determination that there is sufficient evidence to indicate a violation;
2. A determination that there is insufficient evidence to indicate a violation; or
3. A determination that there is sufficient evidence to indicate that an allegation is false.

Sometimes an investigation will uncover behavior that is inappropriate under University policy but does not meet the definition of sexual harassment. When an investigation reveals such behavior, the University will take appropriate steps to correct the behavior.

G. Concluding the investigation

Prior to informing the alleged and the alleged harasser of the outcome of the investigation, the investigator is required to review the facts of the case and discuss possible outcomes with the Office of Human Resources, Consulting Services.

Within 10 workdays of consulting with the Office of Human Resources, Consulting Services, the investigator will notify the appropriate unit or higher level administrator, as well as the alleged and the alleged harasser, of the outcome of the investigation.

H. Written report of outcomes

Within 10 workdays of informing the alleged and the alleged harasser of the outcome of the investigation, the investigator will prepare a written summary of the outcome of the investigation to the Office of Human Resources, Consulting Services.

If the outcome of the investigation reveals a violation of the sexual harassment policy, recommendations will be made to take prompt remedial action consistent with the severity of the offense and all applicable University rules and regulations. These recommendations will be provided to the appropriate administrator who is responsible for implementation.

In instances where the report contains no evidence of sexual harassment but there is evidence of inappropriate behavior under University policy, the investigator will follow up with the appropriate administrator.

I. Other options

A finding may be appealed to the associate vice president for Human Resources within 21 calendar days from the time the alleged is informed of the outcome of the investigation. Such appeal must be based on the record and any new information that could not have been previously provided with reasonable diligence. Allegers and alleged harassers who are not satisfied with the outcome of the investigation at the unit level will be advised of other options outside the University. The outcome of the investigation shall remain in effect during the pendency of the appeal.

IX. Procedures for Office of Human Resources, Consulting Services Investigation

When the alleged elects to have the allegation investigated by the Office of Human Resources, Consulting Services, that office will be primarily responsible for the investigation of the allegation, with the cooperation of all individuals involved. Consulting Services will keep all individuals informed about the status of the investigation.

A. Purpose of the investigation

The purpose of the investigation is to evaluate the allegations of sexual harassment, formulate a response that addresses the facts as they are determined, and to follow up to ensure that the recommended measures are completed.

B. Method of investigation

Depending on the facts of the case, an investigation may range from a one-on-one conversation with the alleged harasser with an agreement as to further interactions, to an inquiry with several witness interviews, a finding of facts, and recommendations to the unit for appropriate employment action. In every case, a record must be made of the allegations, the investigation, and the action taken.

C. Presence of support persons

The alleged and/or alleged harasser may be accompanied at the initial interview and subsequently, as appropriate, by an individual of their choice.

D. Time period for resolution of an allegation

Investigations should be concluded within 45 calendar days from the date the issue was raised with the consultant. If this is not reasonably possible, the consultant should make the alleged and the alleged harasser aware of the status of the review and provide an estimated conclusion date.

E. Possible outcomes

An investigation may result in the following findings:

1. A determination that there is sufficient evidence to indicate a violation;
2. A determination that there is insufficient evidence to indicate a violation; or
3. A determination that there is sufficient evidence to indicate that an allegation is false.

Sometimes an investigation will uncover behavior that is inappropriate under University policy but does not meet the definition of sexual harassment. When an investigation reveals such behavior, the University will take appropriate steps to correct the behavior.

F. Concluding the investigation

At the conclusion of the investigation, the consultant will promptly inform the appropriate unit or higher level administrator, as well as the alleged and the alleged harasser, of the outcome.

G. Written report of outcomes

Within 10 workdays of informing the alleged and alleged harasser of the outcome of the investigation, the consultant will prepare a written summary of the outcome of the investigation.

If the investigation results in a determination of sexual harassment, recommendations will be made to take prompt remedial action consistent with the severity of the offense and all applicable University rules and regulations. This report will be provided to the appropriate administrator who is responsible for implementation.

In instances where the report contains no evidence of sexual harassment but there is evidence of inappropriate behavior under University policy, the consultant will follow up with the appropriate administrator.

H. Other options

A finding may be appealed to the associate vice president for Human Resources within 21 calendar days from the time the alleged is informed of the outcome of the investigation. Such appeal must be based on the record and any new information that could not have been previously provided with reasonable diligence. Allegers and alleged harassers who are not satisfied with the outcome of the investigation by the Office of Human Resources will be advised of other options outside the University. The outcome of the investigation shall remain in effect during the pendency of the appeal.

X. Corrective Action Implementation

Where sexual harassment is found, steps will be taken to ensure that the harassment is stopped promptly. Appropriate corrective action may range from counseling, written reprimands, suspensions, or other action up to and including dismissal, in accordance with established University rules and procedures. The Office of Human Resources, Consulting Services will monitor corrective action to ensure compliance.

Corrective action may be taken as a result of either a unit review or Office of Human Resources, Consulting Services investigation. Units are encouraged to contact either the Office of Academic Affairs or the Office of Human Resources, Consulting Services, as appropriate, before issuing corrective action. Corrective measures will be taken according to the following procedures:

- A. In cases involving faculty members, corrective measures may be imposed in accordance with rule 3335-5-04 of the Administrative Code.
- B. In cases involving staff members, corrective action is governed by the following:
  - 1. For unclassified employees, refer to Policy 8.15, Corrective Action, of the *Human Resources Policy and Procedure Manual*.
  - 2. For classified civil service employees not included in a bargaining unit, refer to *Rules for Classified Civil Service*.
  - 3. For bargaining unit employees, refer to the appropriate collective bargaining agreement.
- C. In cases involving students acting in their employment capacity, measures may be imposed in accordance with Policy 10.10, Student Employment, of the *Human Resources Policy and Procedure Manual*; in all other cases, in accordance with the *Code of Student Conduct*.

XI. Issues of Academic Freedom

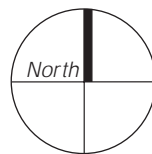
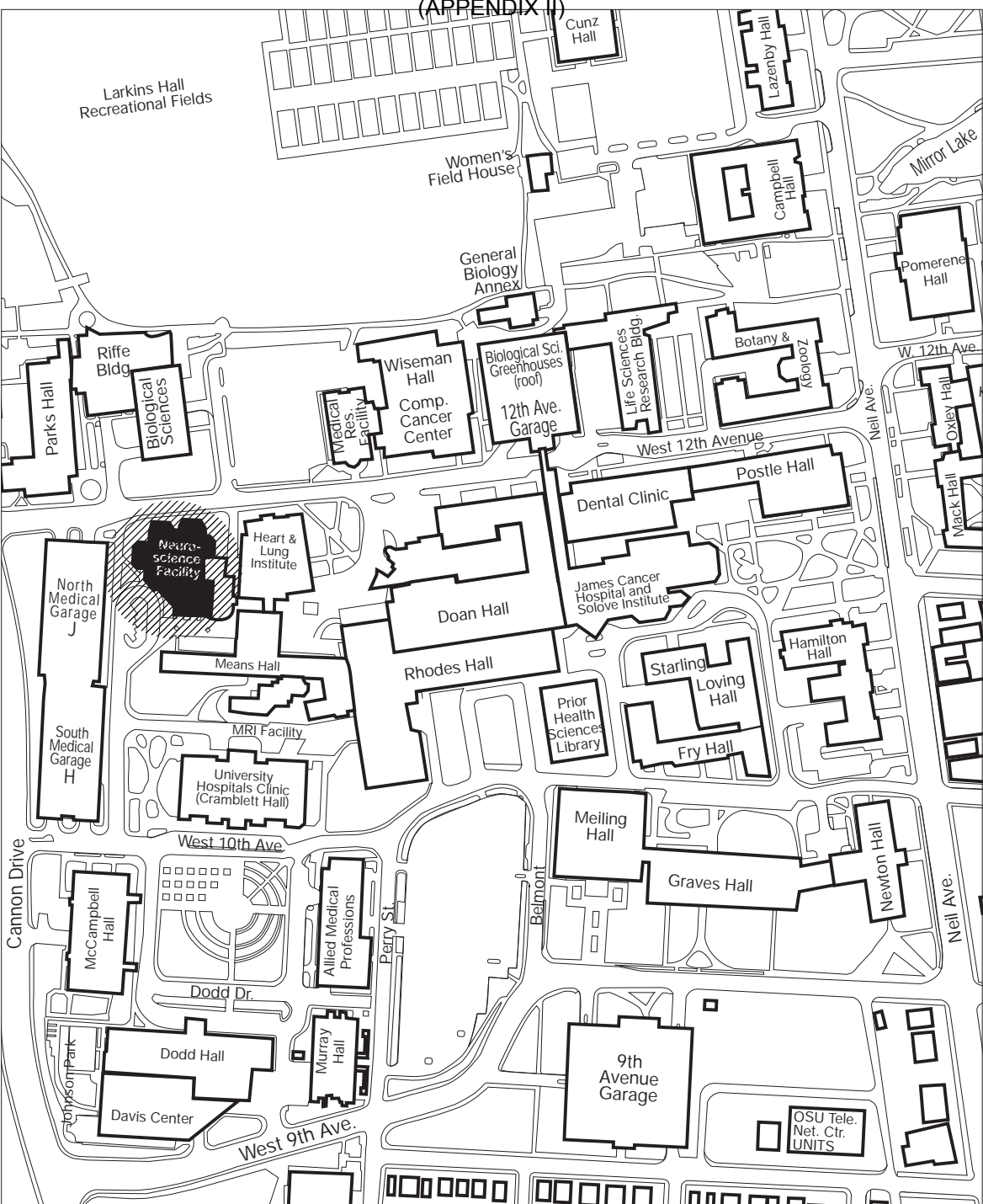
When the alleged harassment takes place in an instructional setting and the alleged harasser believes the allegation of sexual harassment infringes upon academic freedom, a designee from the Committee on Academic Freedom and Responsibility (CAFR) will be consulted. This individual will have access to all pertinent information regarding the investigation to assure due regard for academic freedom. The designee will address concerns about the investigative process with the investigator as necessary.

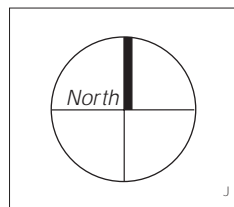
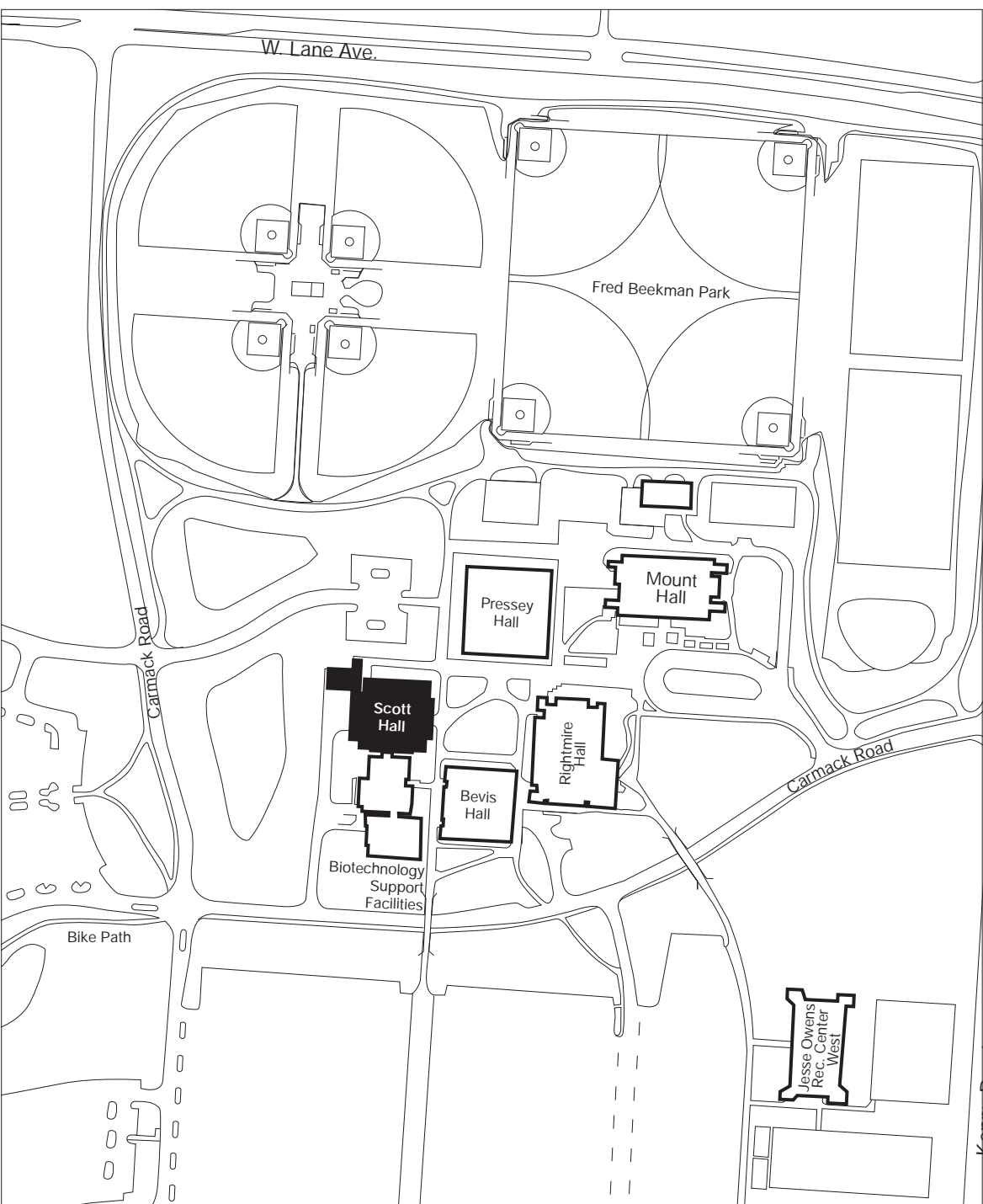
XII. Record Keeping

Any person conducting an investigation will maintain a written record of all witness interviews, evidence gathered, the outcome of the investigation, and any other

appropriate documents. Records of such an investigation will not be maintained in personnel files or student files unless they are part of a formal corrective action. Investigatory records will be maintained in accordance with Office of Human Resources record retention schedules which can be found at [www.ohr.ohio-state.edu](http://www.ohr.ohio-state.edu). When an allegation is filed outside the University, information gathered in the course of the internal investigation may be disclosed to the investigating agency.

## (APPENDIX II)





## **PURCHASE OF REAL PROPERTY**

APPROXIMATELY 16.882 ACRES LOCATED AT 2825 DUBLIN-GRANVILLE ROAD  
COLUMBUS, OHIO

### Location and Description

The property consists of approximately 16.882 acres located north of and adjacent to the University Airport, south of Dublin-Granville Road. The Ohio National Guard owns the property, which includes woods and an unused concrete ramp once used for helicopter service.

### Appraisals and Purchase Price

Authorization for the sale of the property is contained in House Bill 479, effective September 1, 2000. Under the process defined by the act, entities or instrumentalities of the State are given 30 days (ending September 30) in which to purchase the property at appraised value. The Ohio State University will submit an offer to purchase the property at or below the appraised value.

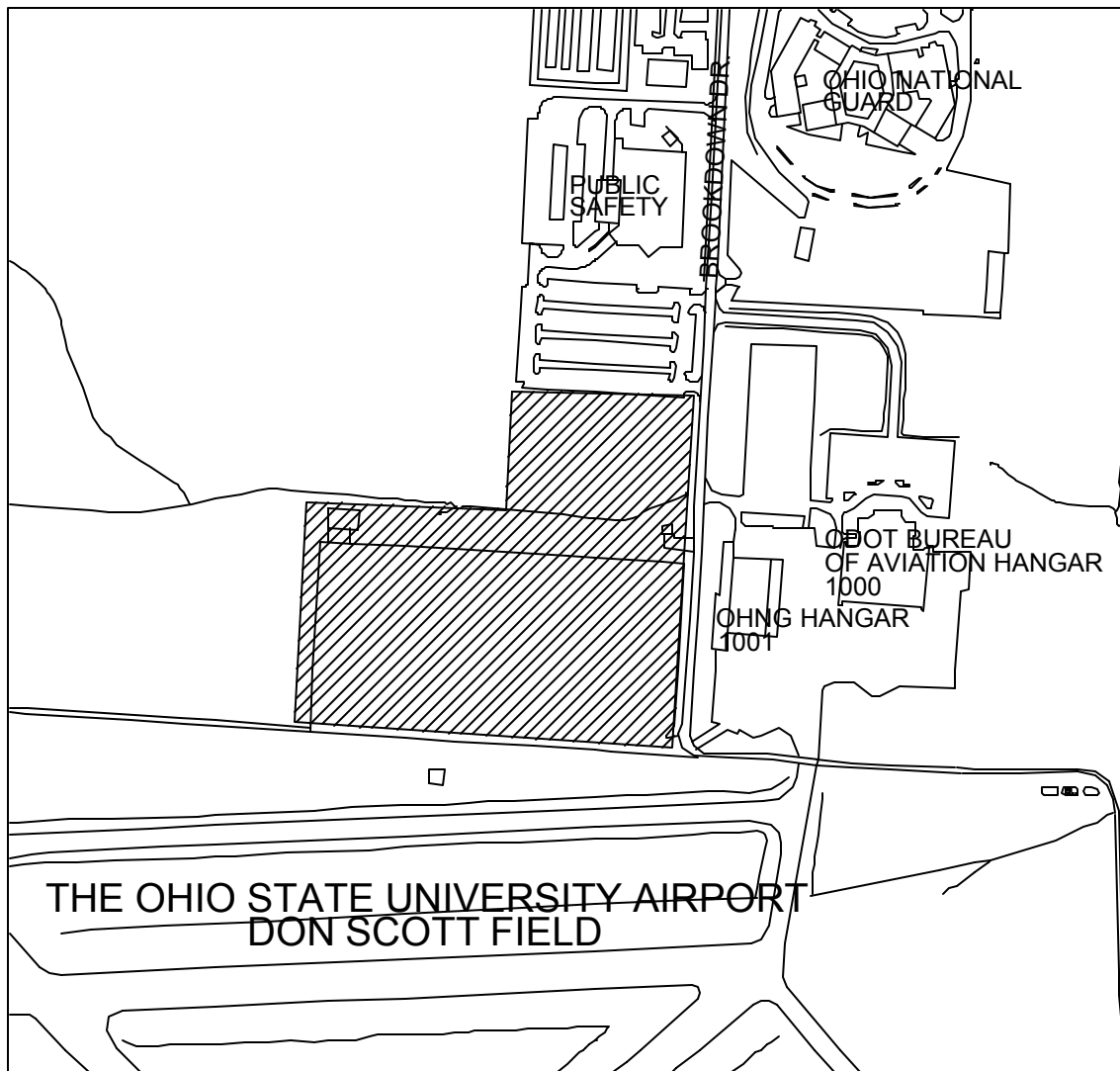
The University has obtained two appraisals: one by Tom Wilhelm and Associates in January 2000 valuing the property for airport use at \$1,750,000; the other by Ralph Berger and Associates in April 2000 placing the value at \$1,840,000 for airport use.

### Use of the Property

Title to the property will be taken in the name of the State of Ohio for the use of The Ohio State University. The property will be part of the University Airport, with future use planned for hangars for based aircraft. Financing will be provided by grants from the Federal Aviation Administration, with 10% shared funds coming from the College of Engineering. The College of Engineering will be responsible for all costs for the purchase, operation, and maintenance of this property. Acquisition of this property is in accordance with the 1992 Master Plan for Don Scott Field.



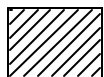
Proposed Purchase of 16.882 Acres  
Don Scott Field  
The Ohio State University Airport



No True Scale

Office of Business and Finance

July 7, 2000



16.882 Acres  
Property to be purchased

Map Provided by University Engineer's Office

## **PURCHASE OF REAL PROPERTY**

2000 KENNY ROAD  
COLUMBUS, OHIO

### Location and Description

The property to be purchased is owned by 2000A Ltd. and 2000B Ltd., Ohio limited liability companies whose managing member is Ms. Jane Setterlin. These are adjacent parcels, each containing one office building, located at the common address of 2000 Kenny Road. 2000 Kenny Road is located on the eastern side of Kenny Road, south of Woody Hayes Drive.

2000A Kenny Road, which is the western most of the two parcels and has frontage on Kenny Road, consists of approximately .8 acres with a 9,928 square foot office building. The office building consists of 8,578 square feet of finished space and 1,350 square feet of unfinished storage space. The property is 100% leased to one tenant through 2003.

2000B Kenny Road is the eastern most of the two parcels at 2000 Kenny Road. It has no frontage on Kenny Road. It consists of approximately 1.453 acres with a new, 27,678 square foot brick and stone office building of two stories. The property is 100% leased to tenants whose leases expire in 2005 and 2006.

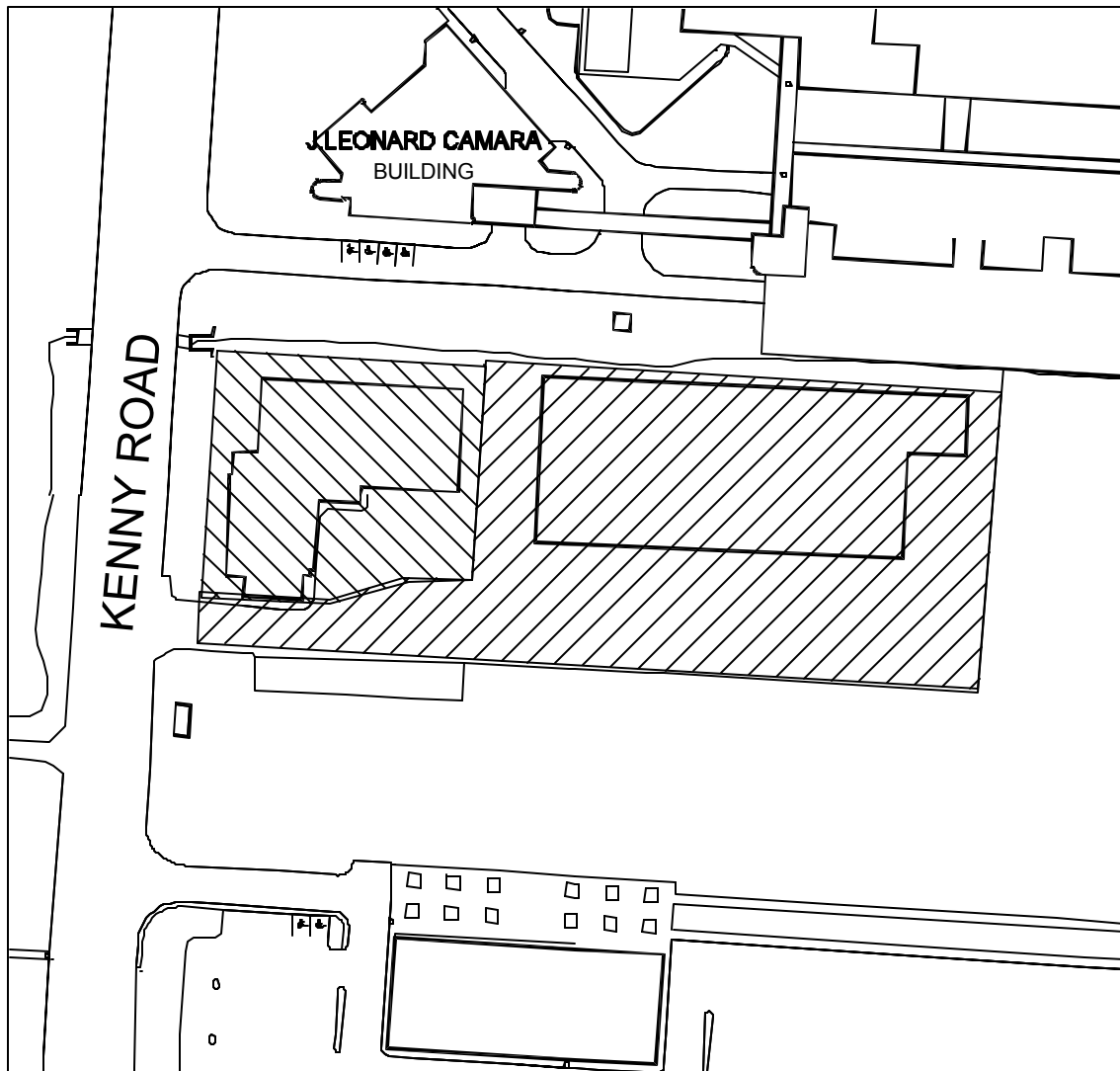
### Appraisals and Purchase Price

MAI appraisals completed in June 2000 by Ohio Real Estate Consultants and James Murr and Associates valued the property at \$3,500,000 and \$3,962,000, respectively. The asking price is \$3,950,000, but the Seller has agreed to sell this property to the University for \$3,850,000.

### Use of the Property

Title will be taken in the name of the State of Ohio for the use of The Ohio State University. Internal financing will be provided. Acquisition of this property fulfills the West Campus Master Plan's purpose of assembling all properties between the C&O Railroad and Kenny Road north of Kinnear Road.

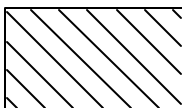
Proposed Purchase of Property  
Project 2000 A and 2000 B  
Kenny Road  
The Ohio State University



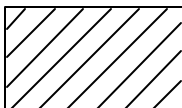
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Office of Business and Finance  
July 7, 2000



Project 2000 A Kenny Road



Project 2000 B Kenny Road

Map Provided by University Engineer's Office

## **TRANSFER OF REAL PROPERTY**

2532 APPLE CREEK ROAD  
APPLE CREEK, OHIO

### Location and Description

The property consists of approximately 1718 acres owned by the State of Ohio and assigned to the Ohio Department of Mental Retardation and Developmental Disabilities (MRDD). The agricultural and wooded real property is located approximately five miles from The Ohio State University's Agricultural Technical Institute (ATI) in Wooster, Ohio. Most of it has been used by and continues to be used by ATI. Approximately 1273 acres are under long-term (40 year) lease to ATI (with 25 years remaining in the lease). This property contains cropland, grazing lands, wood lots, unused tracts, and a number of structures including a 100 cow dairy facility, a swine nursery, an aquaculture building, a land laboratory building, a machinery storage barn, and an equine arena and stall barn. These facilities were built by, and are the property of, The Ohio State University. Another 445 acres have been used by ATI under a short term, year-to-year lease. This acreage contains cropland, pasture for grazing, forage production, woodlots and animal facilities. A phase one environmental assessment conducted by the University has revealed no significant environmental problems with the property.

### Appraisals and Purchase Price

The appraised value of the property is \$1,516,000, based on an MAI appraisal completed by Kendall Appraisal Group, Ltd. of Wooster, Ohio in June of 2000. The Ohio Department of Administrative Services, MRDD and The Ohio State University have agreed to transfer the property to OSU for a price not to exceed \$1,200,000.

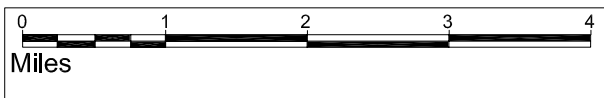
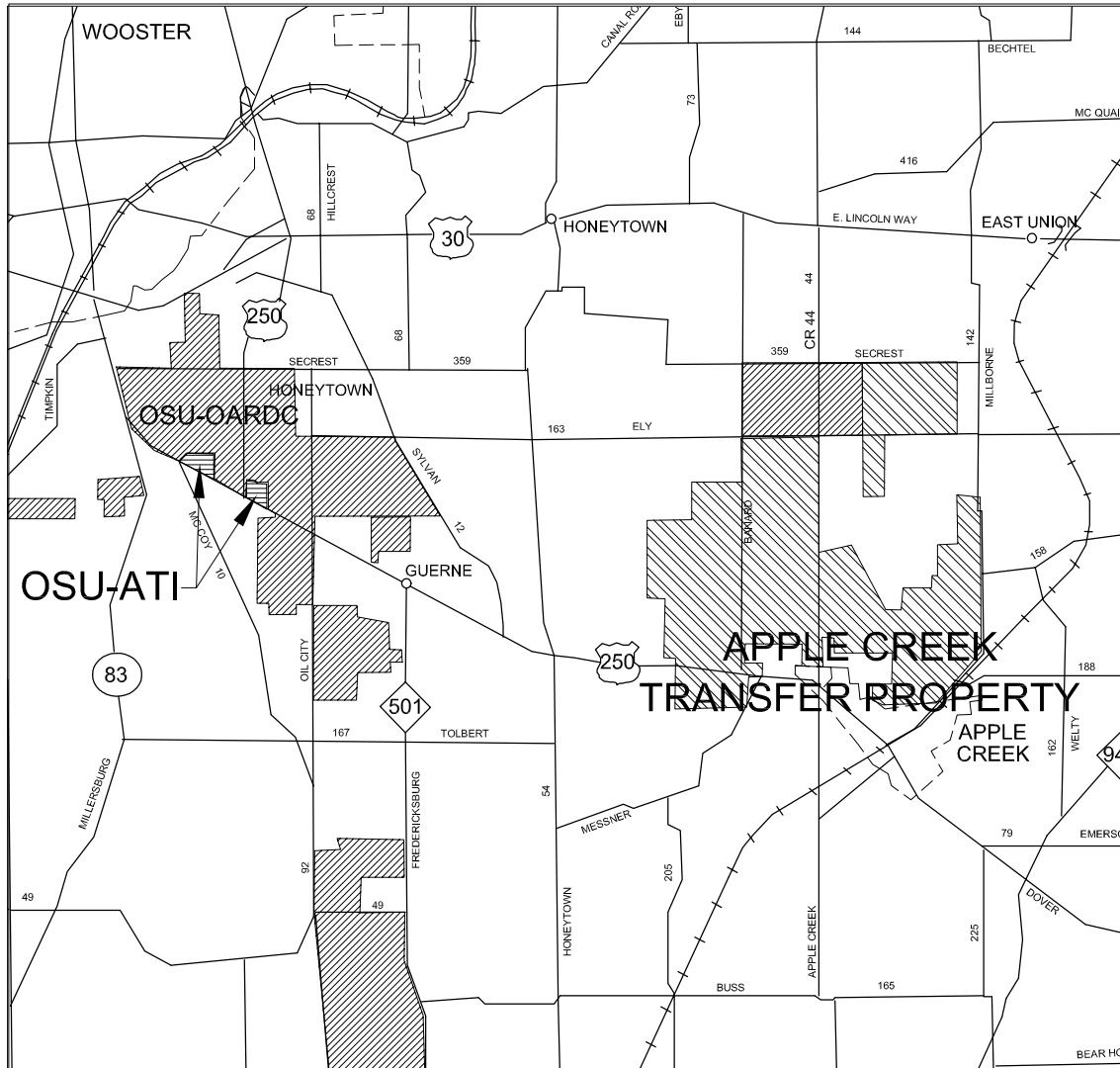
### Use of the Property

Title to the property will be taken in the name of the State of Ohio for the benefit of The Ohio State University. The property will be administered by the Agricultural Technical Institute. The College of Food, Agricultural, and Environmental Sciences will provide internal financing with the first payment of \$500,000 being made on the effective date of transfer (anticipated as being July 31, 2000); the second payment of \$500,000 being made on the first anniversary of the effective date; and a third payment of \$200,000 being made on the second anniversary of the effective date. The primary use of the property will be to support ATI's academic programs.

# Wooster ATI




## Real Estate To Be Transferred To

### The Ohio State University



Map Provided by University Engineer's Office

Office of Business and Finance  
July 7, 2000

-  OSU-ATI
-  Apple Creek Transfer Property
-  OSU OARDC

**OSU Board of Trustees  
Fiscal Affairs Committee  
July 7, 2000**

**ARMS Project  
Completion (Phase VIII)**

- I. Context
- II. Issues Addressed During FY 2000 (Phase VII)
- III. Objectives for FY 2001
- IV. Uses
- V. Preliminary Benefits and Costs Statement
- VI. What Happens Next?

Office of Academic Affairs  
Office of Human Resources  
Office of Business and Finance  
07/03/00

## **I. CONTEXT**

**A. Objectives** - ARMS is a major upgrade and re-engineering of core Human Resources and Financial systems. It is designed to achieve the following objectives:

1. Replace out of date systems that are increasingly expensive to maintain.
2. Address the year 2000 problem for these systems.
3. Achieve compliance with previous audit findings.
4. Position the University for improvement in quality and speed of administrative systems and management information.

### **B. Previous Board Action**

1. Project in discussion since 1992.
2. This is the 13<sup>th</sup> report to the Board of Trustees since formal reports began in 1994.
3. Funding of \$35 million for implementation and continuing operations for FY 2000 was approved at the December 3, 1999 meeting of The Ohio State University Board of Trustees.

## **II. ISSUES ADDRESSED DURING FY 2000 (PHASE VII)**

### **A. Issues addressed during first Half of FY 2000 (Phase VII-A)**

1. Completed HR upgrade to version 7.0
2. Completed Procurement upgrade to version 7.0
3. Completed user functionality improvements
  - 8 critical reports
  - Time and Labor changes
4. Completed HR systems back-up
  - Y2K testing
  - Manual back-up plans
5. Successfully addressed remaining major audit remediation issues
6. Stabilized HR Payroll continuing operations
7. Completed back-ups for Financial Systems
  - Y2K testing of FAS and feeder systems
  - Manual back-up plans
8. Addressed short term OSURF systems integration issues
9. Initiated integration planning for ongoing operations

**B. Issues addressed during second half of FY 2000 (Phase VII-B)**

1. Prepared for successful implementation of General Ledger System in July, 2000, including adjustments to Procurement and HR systems to use the new chartfield
2. All HR, Procurement and General Ledger systems on version 7.0 of the application package software
3. Successfully navigated transition through Y2K issues for HR and Procurement Systems
4. Completed Integration Plan for ongoing operations
5. Stabilized Payroll Process
6. Developed enterprise-wide approaches for:
  - a) Report distribution
  - b) User friendly access (Self-Service/Front End)
  - c) OSURF integration issues (longer term)
  - d) Ongoing operations
7. Finalized project phase-out plan for first quarter FY 2001
8. Developed preliminary cost-benefit analysis

**III. OBJECTIVES FOR FY 2001 (Phase VIII)**

**A. Follow through on successful implementation of GL system and phase out of the ARMS project by September 30, 2000**

1. Transition of personnel
2. Assurance of continuing operations
3. Disposal of assets (e.g. servers for Office of Disability Services)
4. Financial accounting of costs and benefits

**B. Enterprise-Wide Issues and Initiatives**

1. Integrated technology organization and support
2. Integration with other systems (Hospitals/OSURF)
3. Upgrade schedule
4. Security and disaster recovery
5. Enterprise-wide reporting
6. Equipment replacement
7. Archiving and data warehousing
8. Payroll administration
9. Student information system
10. End User interface
11. Recruitment and retention of personnel



#### IV. USES

##### A. ARMS Uses for FY 2000 (Phase VII)

Uses	Projected	Actual
OSU Staff	\$8.6	\$7.0
External Staff	17.6	19.1
Software/Hardware Maintenance	1.8	1.5
Computers/Non-office Equipment	1.9	1.6
Supplies & Services	2.0	2.3
Other (including contingency)	3.1	.3
<b>Total Expenses</b>	<b>\$35.0</b>	<b>\$31.8</b>
Hospital reimbursement	(.5)	(.5)
<b>Total Net Expenses</b>	<b>\$34.5</b>	<b>\$31.3</b>

Notes: These figures are intended to include all direct personnel and non-personnel costs associated with the project in FY 2000. They also include continuing costs for the operation of HR, Procurement and Y2K compliance. They do not include indirect costs to units for personnel and training, which are significant.

##### B. ARMS Uses for FY 2001 Close-out (Phase VIII)

Category	Projected Expense (7/1/00-9/30/00)
OSU Staff	\$ .7
External Staff	1.7
Software/Hardware Maintenance	-0-
Computers/Non-office Equipment	-0-
Supplies & Services	.3
Other (including contingency)	.3
<b>Total Expenses (in millions)</b>	<b>\$3.0</b>

#### V. PRELIMINARY BENEFITS AND COSTS STATEMENT

##### A. University-wide Benefits

1. Satisfied audit requirements, protecting annual flow of Federal and state funds of \$650 million per year.
2. Integrated, up-to-date systems for HR, Procurement and General Ledger.
3. Year 2000 challenges successfully addressed.
4. Platform for future efficiency improvements.
5. Helped insure retention of most qualified systems personnel.

##### B. Process Improvements

1. Human Resources
  - Improved position management processing. Establishing, approving and posting positions has been reduced from 3-5 weeks to 3-5 days through the use of workflow. (over 4,500 positions posted per year)
  - Improved turnaround time on Personnel Action Requests. Hiring personnel into positions and maintaining job data has been reduced from 2-4 weeks to 3-5 days through the use of workflow. (over 50,000 actions per year)

- Integrated Salary and Labor Distribution Planning (SLDP) with Payroll. All personnel action updates recorded during salary planning process, which OHR had to re-key into multiple systems, are now automatically reflected in the department salary plan resulting in a more streamlined process. OHR, which had approximately 5 Full Time Equivalents (FTEs) for 3 weeks supporting the salary process, now only has .5 FTE over a 6 week period.
- Less time spent administering personal data changes because Human Resource information is maintained in one centralized database. Updates to 13 databases were required using the Legacy systems and processes.
- Returned mail for W2 and benefit communications, such as benefit cards, has been reduced from 10 to 4 percent.
- Basic employee payroll inquiries and questions resolved on a more timely basis because end users have online inquiry and update capabilities to human resource/payroll information.

## 2. Procurement

- Number of calls needed to research a procurement transaction (e.g. requisition, purchase order, invoice, payment) has been reduced from as many as 4 to in many cases 0. End users can determine the status using master inquiry panels.
- Creating, printing and sending purchase orders to vendors, which typically took 3-5 days, can happen in the same day because these capabilities are now available to the delegated buyer resulting in more an efficient process.
- Improved system flexibility. The new system can accommodate different buying approaches, delegated buyer role or centralized buying, resulting in more tailored and streamlined process for the Colleges/Departments.
- Improved ability to quickly respond to ad-hoc requests immediately or within 24 hours through the use of online inquiries and query tools. Pre-ARMS, ad-hoc requests were typically responded to in 2-3 weeks because the data was very difficult to extract out of the system and it was accessible only by University Technology Service staff.
- On-line reports which allow users to access and print the information they want, when they want it, in a format they can use resulting in the reduction of duplicative shadow systems and less time spent on administration. This has improved the quality and timeliness of information available for decision making at all levels.

## 3. General Ledger - Anticipated process improvements.

- Users can view and understand the impacts of financial events much sooner. Recording journal entries in the financial system will be reduced from 3-4 weeks to same day because users will have on-line data entry capabilities. Also, more than five FAS journal entry forms will be eliminated by the new system.
- Users will have on-line capabilities to view their budget, actual expenditures, commitments, encumbrances and available funds in a format that is tailorable to their individual needs.

- Users will have the ability to define their own exception criteria for management reporting, so they can focus on items requiring immediate attention.
  - Users will have the ability to report financial data across multiple segments of Chartfield enabling the users to cross-cut the same financial information to meet various reporting needs.
  - Users will have the ability to drill down from summary balances to detail transactions in the end-user environment.
  - Researching transaction errors and activities will be streamlined because the functional users will have online inquiry and query capabilities. End users will not have to rely on technical personnel to extract the data or central personnel to perform the research.
4. ARMS implementation has changed work processes for both central units and the departments, which has also created additional demands on department personnel.

### C. Annual Continuing Costs

Category	FY 2001 (in millions)
OSU Staff	\$4.6
External Staff	.0
Software/Hardware Maintenance	1.7
Computers/Non-office Equipment	.7
Supplies & Services	.3
<b>Total Annual Continuing Costs</b>	<b>7.3</b>
Hospital reimbursement	(.5)
<b>Total Net Costs</b>	<b>\$6.8</b>

Note: These are not additional costs. Continuing costs for HR and Procurement averaged \$7M annually from FY 1998 through FY 2000.

## VI. WHAT HAPPENS NEXT?

### A. Close Out of the ARMS Project

1. Stabilize and tune the new GL system: July - September
2. Transition into new integrated Office of Information Technology (OIT): July - September
3. Formal close down of ARMS Project: September 30
4. Final reconciliation of costs and benefits: December 31

**B. Related issues for evaluating potential funding regarding administrative computing for FY 2001**

	<b>FY 2001 (in millions)</b>	
	One Time	Annual Rate
1. HR Process Simplification Planning/Analysis/Upgrade	\$1.7	\$0
2. Enterprise-wide Reporting (Brio)	.3	.2
3. Financial Integration Feasibility	.7	.0
4. Disaster Recovery/Security	.2	.0
5. Distance Learning Support Suite Planning	.1	.0
6. Archiving/Data Warehousing	.0	.0
7. Vendor Payment Status Inquiry	.1	.0
Total	3.1	.2

*(NOTE: Cost estimates are preliminary and subject to change. More refined estimates and recommendations will be available as part of the August 30<sup>th</sup> budget presentation.)*

Related initiatives regarding administrative computing for FY 2002 could require funding of \$4.5-6.5M. Most of this will be one-time funds for installation and upgrades, including:

- HR Upgrade to Release 8.0 (completion of upgrade)
- Financial Upgrade to Release 8.0
- Enterprise-wide reporting
- Research Foundation Grants Management implementation
- Distance Learning support suite

**Board of Trustees**

**July 7, 2000**

**Addressing Future University Energy Needs**

**CONTEXT:**

Due to impending environmental regulations on nitrogen oxide (NO<sub>x</sub>) emissions from boilers, The Ohio State University is faced with making a decision regarding the manner in which its energy requirements will be satisfied. Planning began in 1998 for a strategic review of energy needs in light of new EPA emissions standards and the impact of de-regulation of electric rates on cost and services. Last Spring the University approved the purchase of the Buckeye Substation, the re-negotiation of a five-year contract with AEP and the hiring of a consultant to review long-term options (Appendix A). Sebesta Blomberg and Associates, a nationally recognized consulting firm, was hired to conduct a review of strategic options. The result of this review was a recommendation to install a 59MW cogeneration plant.

**RECOMMENDATIONS:**

The conclusion of the Sebesta Blomberg and Associates study includes:

- Given the age and condition of the existing boilers (with the exception of the coal-fired boiler and new natural gas boiler) all should be replaced rather than modified to achieve compliance.
- The Ohio State University's electric rates are very attractive, averaging 48% below the national average. These rates will likely increase in 2005 as the current contract expires and the emerging competitive electric generation market begins to mature.
- Given the uncertainties regarding rates and service in a de-regulated environment, the University needs to protect its flexibility as long as possible.
- Cogeneration capacity offers an option of increased reliability from a proven technology, increased efficiency and leverage in future rate negotiations.
- The long lead-time for environmental permitting requires the University to initiate action as soon as possible.
- A more reliable cost estimate requires detailed design work to determine a specific equipment configuration.

A summary of the Sebesta Blomberg report is included as Appendix A.

The Electric Price Survey showing the rates paid by neighboring schools is included as Appendix B.

A list of four questions and answers regarding key issues is included as Appendix C.

A chart summarizing the options is included as Appendix D.

**ISSUES:**

- What are the relative costs, benefits and risks of the various options?
- Why can't the private sector do this more quickly and more efficiently?
- What happens to OSU's current relationship with AEP?
- What will the Committee be asked to do in February?
- What additional information does the Committee want?

***ACTION REQUESTED OF BOARD OF TRUSTEES:***

Approval to proceed with the hiring of an engineering firm. The resolution before the board allows either option to be pursued.

- Boiler installation is the first step for both Case A and Case B allowing the schematic design to initially apply to both cases. Case C is no longer under consideration.
- The detailed cost study will include a fault current analysis of the campus electrical distribution system.
- Simultaneously a RFP for electric contracts is being developed. The response from this request will serve as another indicator for the future of electric rates.
- By gathering this information and using it to develop a detailed cost estimate, it is believed that a sound recommendation can be brought before the Board in February 2001.
- Whichever of the two projects is most cost-effective for the University will be the one that is recommended, and the one for which the total capital will be requested.
- This work is expected to cost about \$1.3 million

## Summary of Cogeneration Feasibility Study

In August 1999, Sebesta Blomberg was hired to conduct a feasibility study to determine whether cogeneration is a viable option for the University. The evaluation included the development of a utility model to study the University's next 20 years energy needs. Three different plans to achieve a common objective of ensuring that McCracken Power Plant is in compliance with the latest emission standards with ample capacity to meet campus steam requirements were investigated. The study recommended the development of a project to install 59MW of electric generating capacity in McCracken.

The Ohio State University is at a critical juncture. The existing steam production capacity must be replaced or modified by 2005 to comply with new NO<sub>x</sub> emission standards. Given the age and condition of the existing boilers (with the exception of the coal-fired boiler and new natural gas boiler) they should be replaced rather than modified to achieve compliance. Minimally the University must replace existing boilers #1, 3, 6, & 7. This boiler-replacement option was considered the base-case and labeled Case A, and includes the installation of three new boilers with improvements to the campus electrical distribution system. The 20-year operating and capital costs associated with Case A have a net present value that ranges between \$382 and \$485 million. (The capital cost component of this total is \$34.56 million.) The wide range in operating costs is due to the variability that exists in the projections for electric rates during the next 20 years. Under Case A, the University will purchase all campus electricity from an electric supplier and will be at the mercy of the electric market as deregulation is phased in.

The second plan explored was labeled Case B. This Case proposes to fulfill the energy and utility requirements of the campus through the development and operation of a steam and electricity cogeneration plant. Case B includes the installation of two gas turbines and one condensing turbine to give the University a total generating capacity of 59MW. The 20-year operating and capital costs associated with option 1 of Case B have a net present value that ranges between \$400 and \$411 million. (The capital cost component of this total is \$78.95 million.) Comparatively, the 20-year operating and capital costs associated with option 2 of Case B have a net present value that ranges between \$396 and \$407 million with a capital cost component of \$74.95 million. As in the situation for Case A, the range in costs exists due to the potential for fluctuations in the price of electricity. The impact of these price fluctuations has a much smaller influence on the operating costs for the Case B. The University will have capacity to generate its own electricity and be relatively independent of the changes in the electric market.

The third scenario labeled Case C examined the feasibility of giving the university the ability to internally produce all its projected future electrical requirements. Case C is accomplished by installing 99MW of new generating capacity, including three gas turbines and two condensing turbines. The estimated capital is \$105.95 million. With a total cost of \$421 million (and no notable changes in operating costs

due to the isolation from the power grid), Sebesta Blomberg concluded that the additional capital cost of this case could not justify the marginal operating benefit over Case B. Sebesta Blomberg with Physical Facilities concurrence eliminated Case C as a viable option for the University.

Sebesta Blomberg then further explored the science of cogeneration and the benefits that this technology could bring to the University, reporting the following advantages:

- Cogeneration offers increased reliability of electric service to the campus, as the on-site generation capacity can represent a second point of delivery for a portion of the campus load.
- With the advent of a deregulated utility market, generation capacity can be a leverage for negotiating more attractive rates for the residual electric load served by the utility or interruptible service for the loads served by the cogeneration system.
- The improved thermal efficiency of cogeneration compared to conventional utility operations mitigates the effects of variations in fuel and electric price markets.

Sebesta Blomberg concluded that the incremental cost of developing Case B, when evaluated under the most conservative pricing forecast scenario, appears to be a prudent investment given the uncertainties of future utility prices and the operating flexibility afforded by cogeneration.

Having made this recommendation to proceed with a decision to pursue Case B, Sebesta then offered a list of programming activities to outline the next steps in the process of planning and developing this project. The list suggests that the University commence with the following activities:

- Develop a Stakeholder Education Program to inform interested parties of the benefits of cogeneration.
- Commission a load and fault current study of the electrical distribution system.
- Initiate the environmental permit process for cogeneration
- Pursue a detailed cost estimate and initial schematic design
- Formulate an overall schedule to follow the project from programming through commissioning.

With the approval of the board of trustees, the University intends to proceed with accomplishing these activities.



Electric Price Survey  
Ohio Universities  
6/12/99

The following table displays the results of an informal telephone survey of universities and a few colleges around Ohio by Wally Giffen. Our thanks to participants. Because some voltages of purchase vary from 11,400 to 13,800 this class is referred to by its generic "15kV." Other transmission level voltages are listed as reported. It is assumed that demand is averaged into the listed rate.

School	Contact	Phone/email	Utility	Voltage & Comments	Cents /Kwh
Bowling Gn. U.	Carl Cogar	<a href="mailto:ccogar@bgnet.bgsu.edu">ccogar@bgnet.bgsu.edu</a>	BG Muni	15kV, Includes HV Line Maint.	4.5
Cleveland State U.	Joseph Migliosi	<a href="mailto:j.migliosi@csuohio.edu">j.migliosi@csuohio.edu</a>	First Energy	15kV (80%) + Misc. lower V. aggregated + "No cogen pledge"	5.1
Cuyahoga Comm. Col.	Roy Whitmore	<a href="mailto:roy.whitmore@tri-c.cc.oh.us">roy.whitmore@tri-c.cc.oh.us</a>	First Energy	3 campus aggreg. of all bills, incl. HV maint.	6.5
Denison U.	Art Chonko	<a href="mailto:chonko@cc.denison.edu">chonko@cc.denison.edu</a>	AEP	15kV	4.7
Kent State U	Tom Dunn	<a href="mailto:tdunn@kent.edu">tdunn@kent.edu</a>	O. Edison	69kV	5.7
Med. Center Co. (Case Western & Others)	Ray Aylward	ray@mcco.org	Cleveland Public Power	138kV 5MW cap on cogen	3.9
Miami U.	Paul Wenner	wennerpa@muohio.edu	CINERGY	69kV (Rate is time of day/day of year and varies from 2.2 to 5.6 cents)	4.4 average
OH Northern	Joe Hermiller	<a href="mailto:jhermiller@onu.edu">jhermiller@onu.edu</a>	AEP	15kV	4.5-5
OARDC Wooster	John Ott	<a href="mailto:ott.53@osu.edu">ott.53@osu.edu</a>	AEP	15kV	4.0
Ohio State U	Wally Giffen	<a href="mailto:giffen.1@osu.edu">giffen.1@osu.edu</a>	AEP	138kV	3.4
Ohio Univ.	Mick Harris	<a href="mailto:harris@ohio.edu">harris@ohio.edu</a>	AEP	69kV	3.5
U. of Akron	Ed Hegnauer	<a href="mailto:ech@uakron.edu">ech@uakron.edu</a>	Ohio Edison	23.5kV	5.8
U. of Cinci.	Ed Curtis	<a href="mailto:curtis@ucmail.uc.edu">curtis@ucmail.uc.edu</a>	CINERGY	15kV	4.5-5
U. of Toledo	John Donegan	<a href="mailto:jdonaiga@utnet.utoledo.edu">jdonaiga@utnet.utoledo.edu</a>	Toledo Edison	64kV	5.3
Wright State	John Howard	<a href="mailto:john.howard@wright.edu">john.howard@wright.edu</a>	DP&L	15kV	5.1
Youngstown State	Vince Sacco	<a href="mailto:vsacco@cc.ysu.edu">vsacco@cc.ysu.edu</a>	Ohio Edison	15kV	6-6.5

### Key Questions

1. Question: What is the problem you are trying to solve and what are the options you have considered?

Answer: The University is faced with the challenge of supplying the steam requirements to the campus in light of the new EPA regulations for NOx emissions. With the existing boilers, the University cannot comply with the new regulations. Four existing boilers have to be replaced with ones that can meet NOx compliance. Since a large capital investment is necessary, the University investigated an alternative possibility of installing a cogeneration facility to supply not only the steam needs of the campus, but a large portion of the electrical needs as well. This alternate was explored due to the approaching deregulation of the electric industry. Along with both of these options, the University also explored each in the context that the facilities would be owned and/or operated by an outside party. The general strategies that were explored are described below:

- 1) Replace the four existing boilers with new boilers that can meet the new emission standards.
- 2) Replace current boilers with cogeneration plant operated by the University.
- 3) Outsource all electricity and steam generation.

2. Question: What is cogeneration?

Answer: Cogeneration is a highly efficient energy conversion process that generates two forms of usable energy (steam and electricity) for each unit of fuel energy consumed. Because less fuel is burned to produce a greater quantity of usable energy, fewer emissions are released into the atmosphere than would be the case if electricity and steam were generated separately. Installing a cogeneration plant would be another big step forward in becoming a more energy-conservative University.

3. Question: Why did Sebesta Blomberg recommend cogeneration?

Answer: The study conducted by Sebesta Blomberg concluded that cogeneration was the option that should be pursued by the University due to the following:

- 1) Cogeneration provides a second point of delivery for the campus electrical load and therefore increases the reliability of electric service to the University.
- 2) Cogeneration reduces overall operating costs.
- 3) Cogeneration provides leverage for electric rate negotiating.
- 4) Cogeneration has high cycle efficiency.

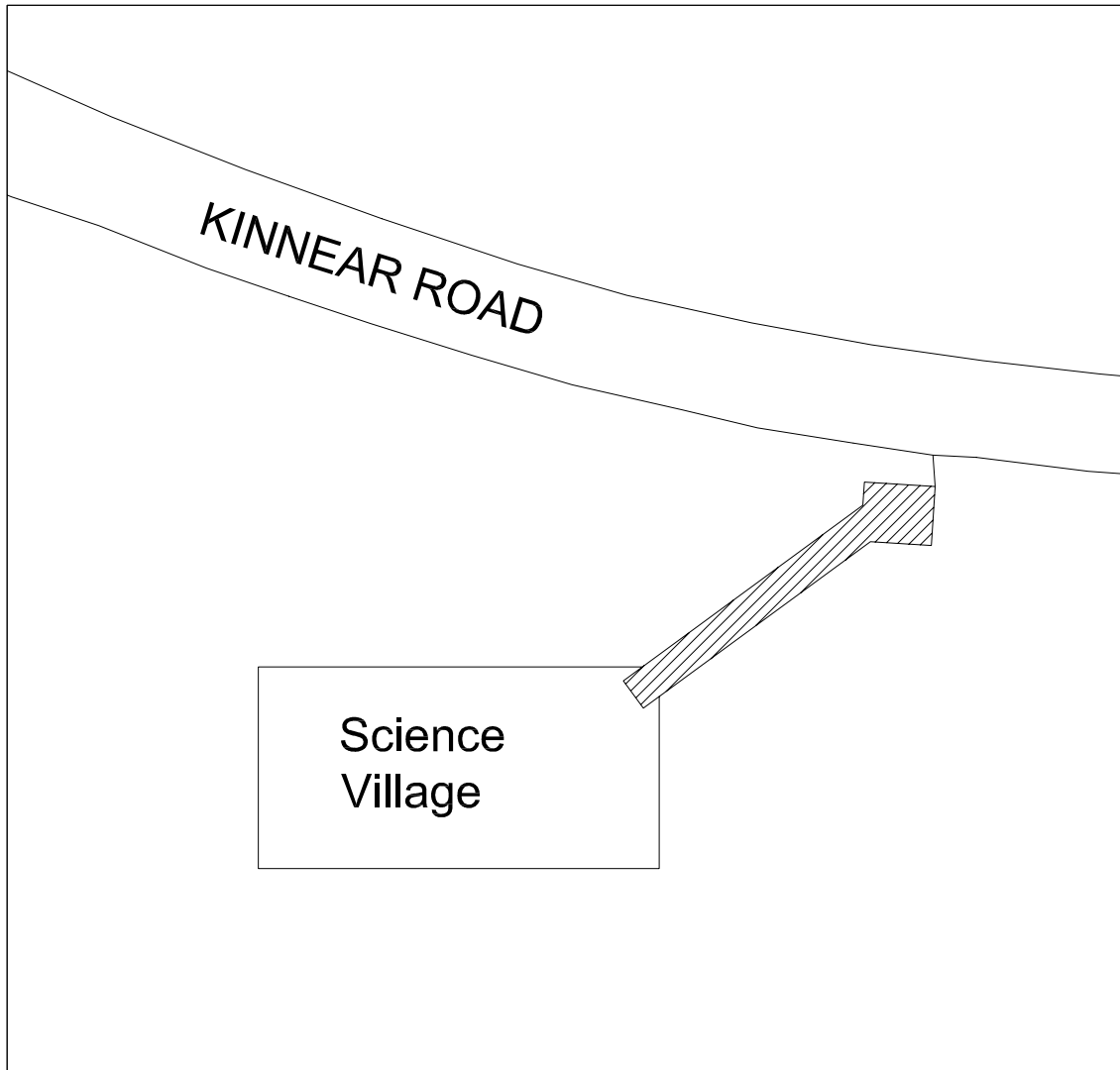
4. Question: What will \$1.3 million for an additional study tell us that we don't already know?

Answer: It is the intent of the project team to spend the \$1.3 million on a detailed study of a 59MW electrical steam cogeneration plant. The scope of work to be accomplished during this phase would include: 1.) Refining the costs associated with a cogeneration plant, 2.) A detailed fault current study to identify all necessary electrical work, 3.) A detailed schematic design to show the placement of the new equipment, relocation of existing systems, and any required building modifications and an alternative boiler replacement design, and 4.) Addressing any public relations issues that may exist due to the addition of this cogeneration system to the campus.

**Summary of Options regarding  
Electric and Steam Needs**

<b>Characteristic</b>	<b>Current</b>	<b>Option A</b>	<b>Option B</b>	<b>Options C</b>
<b># of turbines</b>	<b>1</b>	<b>1</b>	<b>4</b>	<b>6</b>
<b># of boilers</b>	<b>6</b>	<b>5</b>	<b>6</b>	<b>6</b>
<b># of boilers out of compliance</b>	<b>4</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Electrical generation capacity</b>	<b>3 MW</b>	<b>3 MW</b>	<b>59 MW</b>	<b>102 MW</b>
<b>% of electric need self-generated</b>	<b>4%</b>	<b>4%</b>	<b>93%</b>	<b>100%</b>
<b>Steam production capacity</b>	<b>700 MLBH</b>	<b>830 MLBH</b>	<b>845 MLBH</b>	<b>850 MLBH</b>
<b>Capital cost (costs in current dollars)</b>	<b>NA</b>	<b>\$35M</b>	<b>\$75-79M</b>	<b>\$106M</b>
<b>Operating Expenses @4.5¢ KWH through 2020 (costs in current dollars)</b>	<b>NA</b>	<b>\$421M</b>	<b>\$379M</b>	<b>\$373M</b>

0.028 Acre Easement  
To American Electric Power for  
Electrical Services at 1381 Kinnear Rd.



No True Scale

Office of Business and Finance  
July 7, 2000

Map Provided by University Engineer's Office

**OSU Board of Trustees  
Fiscal Affairs Committee  
FY 2001 Budget Summary  
July 7, 2000**

- I. Context
  - A. Strategic Objectives
  - B. Environment
  - C. Previous Board Action
  - D. Income and Spending Summary
- II. Income
  - A. Enrollment Projection
  - B. Revenue Projections
- III. Expense
  - A. Funding for Continuing Services
  - B. Funding for Strategic Investment
- IV. Implications
  - A. Strategic
  - B. Financial
  - C. Programmatic
  - D. What Happens Next?

Office of Academic Affairs  
Office of Business and Finance  
July 3, 2000

## I. Context

A. Objectives – to enhance the quality of the education and campus experience for our students. The four cornerstones of this strategy are:

1. Continue to improve the quality and national reputation of our academic programs.
2. Improve the quality of the student experience inside and outside the classroom.
3. Become a good example within higher education for the success of our commitment to diversity.
4. Expand outreach and engagement activity to better respond to the needs of the communities the University serves.

B. Environment

1. FY 2001 represents the fifth consecutive year of budget stability since achieving budget equilibrium in FY 1996.
2. During this period, significant funding increases have been provided to support academic priorities.
3. This has been achieved in an environment where undergraduate tuition has been capped, federal funding interrupted, enrollments have declined, competition for the best faculty and best students has increased and the state has had to address a court decision regarding school funding.
4. FY 2001 provides a number of new challenges, including:
  - A significant restructuring of state funding practices, including a cap on Ph.D. funding, growth in performance funding and differing expectations regarding regional campuses.
  - Continued uncertainty as a result of DeRolph vs. State of Ohio.
  - Continuing competitive pressures on salaries and student financial aid.
  - A significant list of unmet needs and continuing commitments.

C. Previous Actions of OSU Board

- |    |         |                                  |
|----|---------|----------------------------------|
| 1. | March 3 | Revenue Benchmark Report         |
| 2. | April 7 | Compensation Benchmark Report    |
| 3. | May 5   | Compensation Guidelines approved |
| 4. | June 2  | Approval for Tuition and Fees    |

D. Income and Spending Summary

1. Available General Fund Resources are projected to increase 5.3%, including:

a)	State Instructional Subsidy	+ 2.4%
b)	Performance Challenge	+55.7%
c)	Student Fee Income <sup>1</sup>	+ 7.4%
d)	All Other	+ 1.5%

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<sup>1</sup> Reflects impact of potential enrollment fluctuations.

2. General Fund Expenses and Reserves are recommended to increase 5.3%, including:
  - a) Unit Budgets 4.3%
  - b) Student Financial Aid 4.6%
  - c) All Other<sup>2</sup> 1.9%
  
3. Planned Reserves are as follows:
  - a) Planned Fund Balance \$ 0.1M
  - b) Enrollment Reserve \$ 0.0M
  - c) Safety Margin for Revenue Projections ± 1%

## II. Income

### A. Enrollment Projections

The enrollment adjustments are based on the following headcounts for the Columbus Campus:

<b>FY 98(Au)</b>	<b>FY 99 (Au)</b>	<b>FY 00 (Au)</b>	<b>FY 01 (Au)</b>
48,278 (act)	48,511 (act)	48,003 (act)	47,942 (est)

### B. Revenue Projections

- Instructional Subsidy is based on the approved State budget. Reflects delayed impact of previous enrollment declines.
- Student fees increase on Main Campus at 6.0% for undergraduates and 5.0% for all others unless otherwise noted, adjusted for enrollment changes.
- Student fees on Regional Campuses will decrease 5% for lower division undergraduates and increase 3% for upper division undergraduates.
- OSURF Overhead is projected to increase 3.0% to cover increased research costs.
- Other Overhead, including earnings overhead, projected to increase 0.5% partly due to a reduction in most earnings overhead rates.

### Continuing General Funds Income (Columbus Campus Only (all figures in millions))

Revenue Source	FY 00 Base	FY 01 Estimate	\$ Difference	% Change
Instructional Subsidy	\$312.8	\$320.3	\$7.5	2.4%
Performance Challenge	12.2	19.0	6.8	55.7%
Student Fees	303.2	325.5	22.3	7.4%
All Other				
Research Overhead	37.5	38.6	1.1	3.0%
Other Overhead	35.0	35.2	0.2	0.5%
Temporary Investments	10.1	10.1	0.0	0.0%
Unrestricted Endowment	4.1	4.1	0.0	0.0%
All Other	.9	.9	0.0	0.0%
<b>Subtotal</b>	<b>87.6</b>	<b>88.9</b>	<b>1.3</b>	<b>1.5%</b>
Continuing Funds Carried Forward	NA	NA	(0.4)	NA
<b>Total Resources Available</b>	<b>\$715.8</b>	<b>\$753.7</b>	<b>\$37.5</b>	<b>5.3%</b>

NOTE: Figures on state instructional subsidy and Performance Challenge are still preliminary and may change slightly.

<sup>2</sup> Includes reserves.

### III. Expense

#### A. Funding for Continuing Services includes:

1. Compensation - 4.0% increase in salary budgets, plus \$0.6M for faculty promotions and \$0.5M for contract settlements.
2. Student Financial Aid - includes 6.0% increase for undergraduate scholarships plus \$1.7M for the Buckeye Scholarship Program and 5.0% for graduate fee authorizations minus a \$2.1M adjustment due to decreased graduate enrollments.
3. Research Support - increase in Research Support is equal to inflationary cost of continued services. Increase in indirect cost recoveries should fund this increase.
4. Facilities - includes 2.7% inflation adjustment for Plant, Operation and Maintenance of existing facilities, including utilities plus an additional \$1.0M for new facilities and rentals.
5. All other expenses - such as legal and bank fees are not given inflationary adjustments.

Summary	FY 00 Base	FY 01 Recommend ed	\$ Difference	% Change
Compensation	\$467.2	\$487.5	\$20.3	4.3
Student Financial Aid	85.2	89.1	3.9	4.6
Research Support <sup>3</sup>	35.3	37.4	2.1	5.9
Facilities	41.6	43.7	2.1	5.0
All Other <sup>4</sup>	86.6	83.1	(3.5)	(4.0)
Sub Total				
Continuing Services	715.9	740.8	24.9	3.5
Strategic Investment <sup>5</sup>	NA	13.0	13.0	NA
Total Expenses	\$715.9	\$753.8	\$37.9	5.3

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<sup>3</sup> Includes Research Fee Authorizations.

<sup>4</sup> Includes Reserves

<sup>5</sup> See detail of Strategic Investment on page 6. Strategic Investments were \$13.1M in FY 2000 and have been distributed.



B. Strategic Investments

Listed below are funds earmarked for strategic investment over and above current services. Recommendations for detailed allocations will be presented at the September 1 Board of Trustees Meeting.

**OSU Columbus Campus  
Strategic Investment Funds  
FY 2001  
(General Funds - Continuing Funds Only)**

Item	Amount	Distribution Determined by	Allocation Status
<b>OAA Multi-year</b>			
Academic Enrichment <sup>6</sup>	\$2,000,000	OAA	Yes
Recruitment	500,000	OAA	Yes
Library Acquisitions	628,000	OAA	Yes
<b>Sub Total</b>	<b>3,128,000</b>		
<b>Student Set Aside</b>			
Student Services	500,000	Univ. Policy	TBD
Instructional Technology	500,000	Univ. Policy	TBD
<b>Sub Total</b>	<b>1,000,000</b>		
<b>Challenge Funds</b>			
Research	875,000 (est)	State Law	TBD
Success	5,941,000 (est)	State Law	TBD
<b>Sub Total</b>	<b>6,816,000</b>		
<b>Additional Facilities</b>	<b>975,000</b>	Univ. Policy	Yes
<b>Reserves</b>			
Provost	250,000	Univ. Policy	TBD
General	100,000	Univ. Policy	NA
<b>Sub Total</b>	<b>350,000</b>		
<b>Other</b>			
Mandates	500,000	Univ. Policy	TBD
Service Improvements	250,000	Univ. Policy	TBD
<b>Sub Total</b>	<b>750,000</b>		
<b>Grand Total</b>	<b>\$13,019,000</b>		

<sup>6</sup> Will be supplemented by planned reallocation of an equal amount from college budgets.

#### IV. Implications

##### A. Strategic

1. These recommendations advance the University's strategic goals, particularly Academic Enrichment/Selective Investment, recruitment and retention.
2. Quality of academic programs will continue to improve through academic enrichment and enhanced recruiting.
3. Quality of the student experience will improve, particularly through increased investment in retention.
4. Additional support will be provided for diversity and outreach and engagement.

##### B. Financial

1. The University will have sufficient resources to continue to move towards achieving its academic goals, but significant challenges remain.
2. The trend of earmarking revenues and expenditures brings in more resources but also reduces budget flexibility.
3. The change in state funding for Ph.D. programs will require a re-thinking of our management of graduate enrollments.
4. The operating margin for continuing funds is extremely tight; therefore, flexibility is limited.
5. The gap between Columbus Campus and Extended Campuses for tuition will widen as a result of state policy designating extended campuses as a high priority for removing financial barriers to access resulting in Extended Campus lower-division undergraduate fees decreasing 5% and upper-division undergraduate fees increasing only 3%, while Columbus Campus undergraduate fees will increase 6%.

##### C. Summary Allocation of Resources by Function (in millions of dollars of continuing funds - Columbus Campus Only)

###### To Continue Doing What We're Doing Now:

Compensation	\$20.3
Student Financial Aid	3.9
All Other	<u>0.7</u>
Total	\$24.9M

###### Additional Strategic Investment:

Academic Excellence	\$ 5.5
Student Experience	6.4
All Other	<u>1.1</u>
	\$13.0M

*NOTE: More detail will be provided at the August 30, 2000 Board of Trustees Meeting.*

D. What Happens Next?

1. Proposed tuition increases on Columbus Campus will take effect Fall Quarter.
2. Board will receive detailed spending recommendations and Budget Red Books at the August 30 meeting.

NOTE: Compensation increases and increases in various user charges were previously approved by the board and took effect July 1.